

MOTIONS OF THE US CHESS EXECUTIVE BOARD IN FY 2020 - 2021

Key: C = Phone Conf. Call, E = Electronic, M = Physical Meeting,
I = Internet Conference, O = Objections Procedure

Motion #	Sponsor	Date/Method	Subject	Disposition
EB21-001	Bauer	08/16/20 I	President Named	ACCLAMATION
EB21-002	Unruh	08/16/20 I	Vice President Named	ACCLAMATION
EB21-003	Bauer	08/16/20 I	Vice President of Finance Named	ACCLAMATION
EB21-004	Bauer	08/16/20 I	Secretary Named	ACCLAMATION
EB21-005	Board	08/18-19/20 E	Special Delegate Meeting in September	PASSED 6-0-1
EB21-006	Velez	08/20-21/20 E	St. Louis US Championships Proposal	PASSED 7-0
EB21-007	Board	08/25-26/20 E	Date & Time for Sept. Special Delegates Mtg	PASSED 7-0
EB21-008	Board	08/25-26/20 E	FIDE Zonal President	PASSED 7-0
EB21-009	Velez	08/31-9/2/20 E	National Tournament Entry Fee Increase	PASSED 7-0
EB21-010	Meyer	09/01-02/20 E	Reduction in Scholarship Prizes	PASSED 7-0
EB21-011	Meyer	09/08/20 E	Accept August Meeting Minutes	PASSED 6-0-1
EB21-012	Meyer	09/08/20 E	US Amateur Team Online	PASSED 7-0
EB21-013	Hoffpauir	09/08/20 E	Extend Top 100 List Cut-off	PASSED 7-0
EB21-014	Meyer	09/09-16/20 E	K-12 Grades Nat'l Scholastic Regulations	PASSED 6-0
EB21-015	Velez	09/16-26/20 E	2020-2021 Scholastic Regulations	PASSED 5-0
EB21-016	Unruh	09/17-27/20 E	Flowers Trust Investment	PASSED 7-0
EB21-017	Meyer	09/18-26/20 E	U.S. G/10, G/30, G/60 Championships Online	PASSED 7-0
EB21-018	Meyer	09/25-10/01/20 E	National Open Online	PASSED 7-0
EB21-019	Unruh	09/26-27/20 E	Reserve Fund Distribution	PASSED 7-0
EB21-020	Hoffpauir	09/30-10/12/20 E	US Chess Rating Systems Paper Update	PASSED 7-0
EB21-021	Velez	10/01-12/20 E	2020-2021 Committee List	PASSED 7-0
EB21-022	Meyer	10/05-12/20 E	Online US Amateur Team East	PASSED 6-0
EB21-023	Meyer	10/12-19/20 E	Online US Amateur Team North	PASSED 6-0
EB21-024	Meyer	10/12-19/20 E	Online US Amateur Team West	PASSED 6-0
EB21-025	Velez	10/19-26/20 E	Grade Level Championship 2020	PASSED 6-0
EB21-026	Velez	11/02-09/20 E	Approving October Meeting Minutes	PASSED 6-0
EB21-027	Meyer	11/09-16/20 E	National Event Bidding Temp. Changes	PASSED 6-0
EB21-028	Hoffpauir	11/10/20 I	Hackett Ethics Decision	PASSED 7-0
EB21-029	Hoffpauir	11/10/20 I	McNall Rules Decision	PASSED 7-0
EB21-030	Hater	11/13-16/20 E	Wrona-Lytwyn Appeal	PASSED 7-0
EB21-031	Meyer	11/15-25/20 E	Suspending International Event Delegations	PASSED 7-0
EB21-032	Board	11/25-12/3/20 E	FIDE General Assembly Initiatives	PASSED 7-0
EB21-033	Velez	11/30-12/5/20 E	College Chess Appendix	PASSED 7-0
EB21-034	Velez	12/21-31/20 E	Approval of December 2020 Minutes	PASSED 7-0
EB21-035	Velez	12/21/20-1/1/21 E	College Chess Eligibility Requirements	PASSED 6-0

EB21-036	Meyer	12/22-30/20 E	Extension of Activity Requirements	PASSED 6-0
EB21-037	Hoffpauir	12/31/20 O	Add Rich to Competition Integrity Comm	NO OBJECTION
EB21-038	Hoffpauir	01/04/21 I	Giannatos TDCC Appeal	PASSED 6-0-1
EB21-039	Hoffpauir	01/04/21 I	Oen Ethics & TDCC Appeal	PASSED 3-3-1 & 6-0-1
EB21-040	Meyer	01/05-9/21 E	Invitational Rules Update	PASSED 7-0
EB21-041	Meyer	01/06-09/21 E	Suspend Invitational Rules Due to Pandemic	PASSED 7-0
EB21-042	Meyer	01/07-09/21 E	Correspondence Chess Charter	PASSED 7-0
EB21-043	Meyer	01/13-21/21 E	Development Comm Charter Update	PASSED 5-0
EB21-044	Meyer	01/14-21/21 E	Nominating Committee Charter	PASSED 7-0
EB21-045	Velez	01/14-21/21 E	Scholastic Council Charter	PASSED 7-0
EB21-046	Hoffpauir	01/18-19/21 E	US Chess-Chess Trust Agreement	PASSED 7-0
EB21-047	Unruh	01/19-23/21 E	Payroll Protection Plan Application	PASSED 7-0
EB21-048	Board	01/20-02/04/21 E	Chair & Vice Chair for Correspondence Chess	PASSED 6-0
EB21-049	Hoffpauir	02/03-10/21 E	Chess Coach Certification Extensions	PASSED 7-0
EB21-050	Velez	02/04-10/21 E	Approval of January 2021 Minutes	PASSED 6-0
EB21-051	Hoffpauir	02/11-13/21 E	Supernationals Replacement Event	PASSED 7-0
EB21-052	Velez	02/19-21/21 E	Approval of February 2021 Minutes	PASSED 7-0
EB21-053	Velez	02/20-21/21 E	2021 Natl Online Schol Chess Championships	PASSED 7-0
EB21-054	Fong	02/22-27/21 E	Restructuring Correspondence Chess Events	PASSED 6-0
EB21-055	Hoffpauir	03/11-16/21 E	Lichess Fair Play Method	PASSED 7-0
EB21-056	Unruh	03/16-21/21 E	Reserve Fund Allocation Change	PASSED 7-0
EB21-057	Meyer	03/16-18/21 E	FIDE Fees Update	PASSED 7-0
EB21-058	Meyer	03/17-21/21 E	Natl Online Schol Champ Backroom Contract	PASSED 7-0
EB21-059	Meyer	03/19-28/21 E	Approve Contract with Muck Media	PASSED 7-0
EB21-060	Meyer	03/24-28/21 E	T-Shirt Vending Award	PASSED 7-0
EB21-061	Hoffpauir	04/13/21 I	Approve March Minutes	PASSED 7-0
EB21-062	Board	04/13/21 I	2021 President's Cup	PASSED 7-0
EB21-063	Board	04/13/21 I	Research Data Requests	PASSED 7-0
EB21-064	Board	04/13/21 I	Third Party Event Fundraising	PASSED 7-0
EB21-065	Board	04/13/21 I	Herbert B. Jacklyn Program	PASSED 7-0
EB21-066	Board	04/13/21 I	Bookstore Vending	PASSED 6-0-1
EB21-067	Board	04/13/21 I	Correspondence Chess Online Event	PASSED 7-0
EB21-068	Board	04/19-23/21 E	Creation of Annual Dan Heisman Award	PASSED 7-0
EB21-069	Board	04/22-23/21 E	NOSC Weekend Rules	PASSED 7-0
EB21-070	Board	04/22-23/21 E	Regan's Fair Play Endorsement Letter	PASSED 7-0
EB21-071	Meyer	05/05-08/21 E	Modify NOSC Pairing Rules	PASSED 7-0
EB21-072	Board	05/11/21 I	Approve April Minutes	PASSED 7-0
EB21-073	Board	05/11/21 I	Changes to Nominating Committee Charter	PASSED 7-0
EB21-074	Board	05/12/21 E	Available World Cup Spots	PASSED 7-0

EB21-075	Velez	05/25/21 I	Approve May 11 Minutes	PASSED 7-0
EB21-076	Board	05/25/21 I	Altruic Advisors Contract for CFO Services	PASSED 7-0
EB21-077	Board	05/25/21 I	2021 Awards	PASSED 7-0
EB21-078	Board	05/27/21 E	US Open & Governance Mtgs Mask Policy	PASSED 7-0
EB21-079	Hater	05/28/21 E	2021 Outstanding Player Achievement Award	PASSED 7-0
EB21-080	Velez	06/08/21 I	Approve May 25 Minutes	PASSED 7-0
EB21-081	Board	06/08/21 I	Proposal to Recalculate Online Ratings	PASSED 7-0
EB21-082	Hoffpaur	07/13/21 I	Approve June 8 Minutes	PASSED 5-0
EB21-083	Unruh	07/13/21 I	Change to Reserve Fund Portfolio	PASSED 5-0
EB21-084	Hoffpaur	07/30/21 E	Revised Mask Policy	PASSED 7-0
EB21-085	Hoffpaur	08/05/21 M	Approve July Meeting Minutes	PASSED 5-0-1
EB21-086	Hoffpaur	08/05/21 M	Approve the Budget	PASSED 6-0

The first meeting of the 2020-2021 US Chess Executive Board took place on August 16, 2020, online via Zoom due to the COVID-19 pandemic.

The following five motions came out of closed session and were voted on in open session later the same day:

EB21-001 – Bauer – I nominate Mike Hoffpaur as US Chess President. **PASSED BY ACCLAMATION**

EB21-002 – Unruh – I nominate Randy Bauer as US Chess Vice President. **PASSED BY ACCLAMATION**

EB21-003 – Bauer – I nominate Chuck Unruh as US Chess Vice President of Finance. **PASSED BY ACCLAMATION**

EB21-004 – Bauer – I nominate Ryan Velez as US Chess Secretary. **PASSED BY ACCLAMATION**

EB21-005 – Board – The Executive Board moves to call Special Delegates Meeting in accordance with Article V, Section 7 of the US Chess ByLaws to vote for members of the US Chess Nominating Committee. **PASSED 6-0-1** with David Day abstaining

EB21-006 – Velez – I move that the EB accept the St. Louis proposal to run the US Championships as written. **PASSED 7-0**

EB21-007 – Board – The Executive Board moves to call a Special Delegates Meeting at 12PM (noon) EDT, Saturday, September 26, 2020 for the sole purpose of allowing the Delegates to cast ballots for up to 6 persons from among the nominees for the US Chess Nominating Committee. The US Chess office will send the official Letter of Notification to all Delegates on Wednesday, August 26, 2020, thereby providing Delegates with the minimum 30 days of notification in accordance with Article V, Section 7 of the US Chess Bylaws. **PASSED 7-0**

EB21-008 – Board – The Executive Board moves to appoint Allen W. Priest (KY) as the FIDE Zonal President for Zone 2.1 to replace Francisco (Franc) Guadalupe (TX). The board deeply appreciates Franc's service in this capacity as he came out of retirement to serve in late 2018 following the untimely death of then Zonal President and former US Chess President Ruth Haring. **PASSED 7-0**

EB21-009 – Velez – I move that the Executive Board increase the entry fee to over the board US Chess national tournaments, that US Chess specifically runs itself, by \$10 for the expressed purpose of purchasing PPE and related materials. Furthermore, if the funds raised by this fee exceed the cost of PPE, those monies will be directed toward our scholastic teams and scholastic chess individuals who represent us in FIDE events. Finally, if the need to purchase PPE ever goes away, we will remove the \$10 fee. **PASSED 7-0**

EB21-010 – Meyer – I move to approve a 40% reduction in the scholarship prize level for the 2020 US Cadet, US Junior and US Junior Girls events that are rescheduled to be run online. US Chess and Dewain Barber will equally share in the funding of the scholarships. **PASSED 7-0**

EB21-011 – Meyer – Accept the minutes of the August 16, 2020, Executive Board meeting. **PASSED 6-0-1**

EB21-012 – Meyer – Accept a bid to move the US Amateur Team Playoff on the ICC platform with a 2-camera setup. It will be online rated without a requirement to have TDs at each site. A warning about fair-play violations will be provided. **PASSED 7-0**

EB21-013 – Hoffpauir – Extend the participation cut-off date for the Top 100 list. The ratings window will be extended retroactively back to March 1, 2020 and through December 31, 2020. **PASSED 7-0**

EB21-014 – Meyer – Regarding the December 2020 K-12 Grades Nationals, I move to waive selected portions of the Scholastic Regulations related to:

1. Pairings/wallcharts posted on site
2. Opening/closing ceremony
3. Other as-yet-unspecified measures as deemed necessary. The Director of Events will consult with the ED, the Chair of the Scholastic Council and the US Chess President for time sensitive matters requiring quick decisions that arise during the course of the event.

PASSED 6-0

EB21-015 – Velez – I move that the EB approve the scholastic regulations for this year. **PASSED 5-0**

EB21-016 – Unruh – I move that the Executive Board authorize and request the US Chess Trust Investment Trustees to invest a portion of funds in the Flowers Trust according to the attached Exhibit "A" of investment selections and allocations. It is understood when the investments are in whole shares, that dollar allocations approximate targeted allocations. US Chess furthermore holds US Chess Trust harmless for any and all of the investments recommended in the attached Exhibit "A". Exhibit "A" emailed separately to the members of the Executive Board. **PASSED 7-0**

EB21-017 – Meyer – I move to accept the proposal from the Charlotte Chess Center to move the U.S. G/10, G/30, G/60 National Championships Online. **PASSED 7-0**

EB21-018 – Meyer – Vegas Chess Festivals and Chess Weekend propose to run the 2020 National Open Online at ICC.

The dates would be November 20-22 and the format would be similar to the [Chicago Open](#) On ICC but with the National Open flair.

Organizer

- Shall pay US Chess \$5 per paid entry.
- Shall provide a National Tournament Director approved by US Chess.
- Guarantees all prizes and expenses.
- Retains all profit or loss.
- Shall process all entry fees and pay prizes and expenses.

US Chess

- Shall provide complimentary TLA.
- Shall announce the event on the US Chess website.
- Shall provide 1 free email blast to all potential players.
- Shall provide complimentary rating fees for the National Open and branded side events.
- Shall recognize the winner of the Open section as the 2021 National Open Champion.

PASSED 7-0

EB21-019 – Unruh – I move that the Executive Board approve a \$150K transfer from the "Rainy-Day" Reserve TD Ameritrade Account to the US Chess Operational bank account. The funds are necessary to meet general obligations during a significant decline in membership revenue. **PASSED 7-0**

EB21-020 – Hoffpauir – I move the Executive Board approve the update to the US Chess Rating System paper as proposed by the Ratings Committee on September 2, 2020. If approved, the updated Rating System paper will supersede the version

dated June 20, 2020, and the Office will apply the updated formulas to the US Chess ratings database. **PASSED 7-0**

EB21-021 – Velez – I move that the Executive Board accept the committee list as is with the understanding that we may make changes as necessary throughout the year. **PASSED 7-0**

The following four motions (EB21-022 through EB21-025) are in response to the continuing COVID-19 public health threat.

EB21-022 – Meyer – I move to accept the proposal from Steve Doyle, NJ Chess Federation Organizer that moves the 2021 US Amateur Team East to an online format. **PASSED 6-0** with David Day not voting

EB21-023 – Meyer – I move to allow the organizer of the US Amateur Team North to move that event online for 2021. **PASSED 6-0** with Fun Fong not voting

EB21-024 – Meyer – I move to allow the organizer of the US Amateur Team West to move that event online for 2021. **PASSED 6-0** with Fun Fong not voting

EB21-025 – Velez – I move that the EB allow the director of events, and office staff, to change the 2020 National Grade Level Championship into an event that meets the current standards for online play. I also move that guidance from the Scholastic Council be given special consideration as many on the council are gaining expertise in online event organization. **PASSED 6-0** with David Day not voting

EB21-026 – Velez – I move that we accept the attached minutes from our 2020 October Board meeting. **PASSED 6-0** with Fun Fong not voting

EB21-027 – Meyer – I move to allow prospective organizers to submit bids for available US Chess National Events that occur November 10, 2020 through June 30, 2021, as either an Over-the-Board (OTB) or Online event, subject to review and approval by the US Chess Director of Events. For events during this period that have already been awarded, I move to allow the Director of Events to review and approve modified proposals to move events from OTB to online formats. **PASSED 6-0** with Randy Bauer not voting

EB21-028 – Hoffpauir – I move that the Executive Board place a flag on the official record of former US Chess member Trent Elias Hackett (US Chess ID 16755524, TX) to prohibit his ability to renew his membership or otherwise rejoin US Chess until such time as he is cleared of the criminal charges against him. **PASSED 7-0**

EB21-029 – Hoffpauir – I move that the Executive Board uphold the decision made by the Rules Committee to deny the complaint made by the parents of a minor player who alleged the Chief Tournament Director, Mr. Kent McNall (US Chess ID 12153660, WA) had improperly reversed the game results of an online tournament. **PASSED 7-0**

EB21-030 – Hater – I make the following motion: The Executive Board has considered the appeal of Nicholas Wrona-Lytwyn US Chess ID # 16967612 regarding the Ethics Committee decision. After reviewing the entire case and hearing from Mr. Wrona-Lytwyn, the Executive Board vote to uphold the finding of guilty and the membership suspension. However, the Executive Board reduces the suspension from 24 to 18 months. The period of suspension commences on August 24, 2020 which is the date he admitted to the offenses. **PASSED 7-0**

EB21-031 – Meyer – In light of the increase of COVID-19 cases worldwide, the Executive Board re-affirms EB20-064: Due to US State Department Level 4 travel warnings US Chess will not authorize delegations for any international events until further notice. US Chess will not provide financial support to any participants for international competition until further notice. The Executive Board will review this policy as new information becomes available. **PASSED 7-0**

EB21-032 – Board – The Executive Board (EB) moves that at the 6 December 2020 FIDE Online General Assembly the US Chess Federation vote in support of the following items:

- 1) The English Chess Federation's resolution about the Iranian Chess Federation
- 2) The Hungarian Chess Federation's bid for the 46th FIDE World Chess Olympiad in 2024
- 3) The Jamaica Chess Federation's proposal that FIDE adopt the Olympic Creed and Values of Olympism.

PASSED 7-0

EB21-033 – Velez – I move the Executive Board approve Appendix A (attached) of the College Chess Rules. This requires EB approval because it contains information about standards for online play at the college chess level. **PASSED 7-0**

EB21-034 – Velez – I move that we accept the minutes as written from the December 8th, 2020 EB meeting. **PASSED 7-0**

EB21-035 – Velez – The College Chess Committee voted 10 in favor and 1 abstaining on the attached document outlining a new eligibility requirement to participate in the 2020 - 2021 Online Pan American Intercollegiate Championships (Pan AM).

This rule is necessary because the current College Chess Rules state that participants must be attending their respective colleges and universities in person. This new rule helps address the issue by allowing participants to sign a letter of commitment to attend their college or university in person as soon as is feasible.

The language they passed is attached as Appendix D. **PASSED 6-0**

Executive Director Meyer mentioned that the following motion is a revised posting of a prior motion that was withdrawn to remove the All America Team from the list.

EB21-036 – Meyer – I move to extend the activity requirements for the US Chess Certified Coach Program, Invitational Lists, and Top 100 Lists through December 31, 2021. This motion provides that current experience earned by March 1, 2020 will not expire until December 31, 2021. **PASSED 6-0**

Rationale for EB21-36: US Chess honors and certifications are linked to activity requirements from over-the-board (OTB) play. With few OTB opportunities due to the ongoing COVID-19 pandemic, affected members should not be penalized for their lack of opportunities to play. This motion extends the activity window.

The following motion was submitted under the 5-day objection rule.

EB21-037 – Hoffpauir – I hereby nominate Tony Rich, Executive Director of the St. Louis Chess Club, for service on the EB-appointed Competition Integrity Committee. Tony has agreed to serve. **PASSED NO OBJECTION**

EB21-038 – Hoffpauir – On Jan 4, 2021, the Executive Board (EB) met to hear the appeal by Peter Giannatos (US Chess ID 13055966) of a ruling against him by the Tournament Director Certification Committee (TDCC). The EB voted 6-0-1 on the TDCC ruling, so the ruling is upheld. **PASSED 6-0-1** with Fun Fong recusing himself from the appeals process

EB21-039 – Hoffpauir – On Jan 4, 2021, the Executive Board (EB) met to hear the appeal by Grant Oen (US Chess ID 12926610) of rulings against him by the Ethics Committee and the Tournament Director Certification Committee (TDCC).

The EB voted **3-3-1 on the Ethics Committee's ruling, so the ruling is upheld.** Bauer, Unruh and Day voted against and Hoffpauir, Velez and Hater voted for.

The EB voted **6-0-1 on the TDCC ruling, so the ruling is upheld.**

EB member Fun Fong recused himself from the Oen appeals process.

EB21-040 – Meyer – I move to update the US Chess Invitational Rules as noted below:

Proposed 1c

c. FIDE Federation Transfers. In order to be eligible for participation in International FIDE events, transferred players must fulfill all FIDE transfer fee requirements prior to invitation to these events. See <https://handbook.fide.com/chapter/B042018> for federation transfer information and <https://ratings.fide.com/transfers.phtml> to show transfers approved, including dates when players become USA players with FIDE and when they may represent the USA at International FIDE events fee free.

Proposed 2a

a. U.S. Championships. In even-numbered years, players must meet all the requirements listed in Section 1, with the

exception of transfer fees (required for USA representation at International FIDE events). Players must still be listed as USA federation in FIDE. In odd-numbered years, when the U.S. Championships are also the USA Zonal Tournaments, players must also meet the transfer fee requirements as explained in 1.c above.

When the U.S. Championships are also the USA Zonal Tournaments, per the FIDE regulations for Zonal Tournaments at <https://handbook.fide.com/chapter/D0101> and <https://handbook.fide.com/chapter/D0102>, these events will qualify players for the next stage of the World Championship cycle. Any additional nominated player spots US Chess is awarded will be filled in order of the finishing positions in the USA Zonal Tournaments of those players not already qualified through other paths. **PASSED 7-0**

Rationale for EB21-40: This motion was introduced to update Invitational Rules to harmonize with FIDE transfer regulations.

EB21-041 – Meyer – I move to suspend the odd-numbered year Invitational Requirement 2.a. and replace it with the even-numbered year requirement from the same section of the Invitational Requirements for 2021. **PASSED 7-0**

Rationale for EB21-041: The ongoing effects of the Coronavirus pandemic are forcing the delay of the US Championships past the deadline for qualifying for the Zonal Championships. The US Chess Zonal President will issue guidance on the selection method for the zonal spots available to US Chess players.

EB21-042 – Meyer – I move to approve the draft Correspondence Chess Working Group Charter.

Upon approval of the Working Group Charter, the following members are appointed to the Working Group:

- Johnny Brown, US Chess ID 12531561
- Mike Buss, US Chess ID 12437668
- Larry Cox, US Chess ID 12429678
- *Timothy Harris, US Chess ID 13443503*
- Tom Langland, US Chess ID 11236219
- Chris Torres, US Chess ID 12767336
- Wilbur Tseng, US Chess ID 12692226
- Hal Sprechman, US Chess ID 12454908

PASSED 7-0

Timothy Harris subsequently requested that he be removed as a member when he was informed of his appointment to the above committee.

EB21-043 – Meyer – I move to approve the updated draft charter for the Development Committee. **PASSED 5-0**

Rationale for EB21-043: The Development Committee has evolved during its existence and the changes to this charter reflect the current state. An updated version of their charter has been added to this motion (01/17/2021).

EB21-044 – Meyer – I move that the Executive Board endorse the Nominating Committee Charter, as approved by the Nominating Committee on October 12, 2020. **PASSED 7-0**

Rationale for EB21-044: The Executive Board drafted a charter for the newly formed Nominating Committee as it was getting underway in Fall of 2020. The Executive Board did so to provide some operational guidance and structure to the Committee since none was given by the Delegates in the creation of this committee.

EB21-045 – Velez – I move that the US Chess Executive Board accept the attached and updated Charter for the Scholastic Committee and Council. **PASSED 7-0**

Rationale for EB21-045: The changes consisted of a lot of clarification and grammar. There were 2 major changes: (1) The Scholastic Council cannot have more than 2 people from the same state and (2) the Scholastic Council will meet with the EB biannually. The first change is because the EB felt there should be more geographic diversity on the council to represent other areas of the country. The second change is to help bridge any communication gaps with the SC.

EB21-046 – Hoffpauir – I move that the Executive Board approve extending the deadline for the current Letter of Agreement between US Chess and The Chess Trust from February 1, 2021 to September 30, 2021. **PASSED 7-0**

Rationale for EB21-046: Extending the agreement allows time to form a Working Group comprised of US Chess and Chess Trust board members to discuss the agreement, develop recommended revisions, and to give consideration to one or more "joint projects" the two organizations could collaboratively pursue.

EB21-047 – Unruh – I move that the Executive Board authorize the Executive Director / US Chess Operations to apply for the second Payroll Protection Plan loan funding on behalf of US Chess. **PASSED 7-0**

Rationale for EB21-047: Congress recently approved the funding to help small businesses during the on-going pandemic. US Chess currently qualifies per the terms of the loan and for eventual forgiveness under the government program.

EB21-048 – Board – The Executive Board moves to appoint Mike Buss as Chair and Fun Fong as Vice Chair of the Correspondence Chess Working Group. **PASSED 6-0**

EB21-049 – Hoffpauir – I move that the Executive Board authorize the office to extend until December 31, 2021, the expiration dates for all Chess Coach Certifications scheduled to expire in 2021. The EB shall revisit this motion before the end of 2021 to determine if further extension is warranted. **PASSED 7-0**

Rationale for EB21-049: The COVID-19 crisis and loss of in-person contact with students has affected chess coaches in a manner similar to how the pandemic impacts the ability of tournament directors to meet TD certification standards and retain their titles. EB Motion 20-084, which passed 7-0 in August of 2020, extended through 12/31/2021 the window for expiring TD certifications. We should do the same for our certified chess coaches.

EB21-050 – Velez – I move the EB accept the January meeting minutes as written. **PASSED 6-0** with David Hater not voting.

EB21-051 – Hoffpauir – The Executive Board (EB) moves to approve US Chess to organize and host a national-level scholastic chess tournament to replace the cancelled SuperNationals VII. The event will ***crowd National Online Scholastic Champions and Co-Champions for individual players and school-based teams***. Sections for the tournament will be consistent with the grade-groups featured at the SuperNationals, e.g. K-1, K-3, K-5, K-6, K-9, and K-12. The tournament will be ***organized in two phases held over three weekends***. Phase 1 will be two open qualification events—one weekend for selected sections, the other weekend for the remaining sections. Phase 2 is an invitational championship event (1 weekend) for 32 to 64 players selected from each section of the qualification events. Players will be invited by US Chess based on the number of players who participated in each section of the qualifiers, with competition in the championship occurring in the same sections as the qualification events. US Chess will publish Event Regulations specific to this tournament that supplement the U.S. Chess Scholastic Regulations and U.S. Chess Official Rules of Chess. **PASSED 7-0**

Rationale for EB21-051: Cancellation of SuperNationals VII due to COVID-19 was a significant decision for US Chess. The loss of this unique scholastic event to players is difficult to describe. To partially fill the void, US Chess organized the K12 Showdown in December 2020 (13 sections with over 2800 players in a 2-day event). It showcased what can happen when there is insufficient time for US Chess to organize a major nationwide online tournament. It also called attention to the need to spread out the schedule for a large online event, a lesson US Chess applied in the Spring of 2021 during the National Online Scholastic Championship qualification tournaments. Other key lessons learned were to reduce the number of sections conducted simultaneously, as well as to conduct active pre-event communication with participants, parents, and coaches about the event's rules, procedures, and technical requirements. The technical problems encountered during the K12 Showdown overwhelmed the event staff and frustrated the players, especially our young players in grades 6 and below. ***We have learned from those mistakes and what it takes to prevent them.*** The organization of this SuperNationals replacement tournament directly reflects the lessons US Chess learned from the K12 Showdown and ***our firm commitment not to repeat them.*** The event outlined in the motion called heavily upon direct input from a number of experienced sources and it expresses US Chess's desire to bring the excitement of the over-the-board SuperNationals to an online national player and team championship.

EB21-052 – Velez – I move we accept the Feb 9th, 2021 minutes as written. **PASSED 7-0**

EB21-053 – Velez – I move the EB accept the Rules for the 2021 National Online Scholastic Chess Championships as written. **PASSED 7-0**

EB21-054 – Fong – I move that the Executive Board authorize the office to immediately restructure the US Chess Correspondence Chess events that are organized and run by US Chess to the following:

Postal Events:

- Golden Knights – 7 player RR, \$25 entry fee, \$2300 prize fund based on 200 entries plus \$10 correspondence chess gift certificates to players who do not forfeit any games and do not make it past the Preliminary Round.
- John W. Collins Class Tournaments – 4 player quads (double RR), \$10 entry fee, \$25 correspondence chess gift certificate prize and signed certificate to 1st place finisher.
- Matches – 2 players, either 2 or 6 games in length - \$5 entry fee per player, no prizes.

Email Events:

- Electronic Knights – 7 player RR, \$25 entry fee, \$2300 prize fund based on 200 entries plus \$10 correspondence chess gift certificates to players who do not forfeit any games and do not make it past the Preliminary Round.
- Matches – 2 players, either 2 or 6 games in length - \$5 entry fee per player, no prizes.

Correspondence Chess Server Events (ICCF):

- Walter Muir Class Tournaments – 4 player quads (double RR), \$10 entry fee, \$25 correspondence chess gift certificate prize and signed certificate to 1st place finisher.
- Victor Palciauskas Class Tournaments – 7 player RR, \$5 entry fee, signed certificate to 1st place finisher.

Class Restructure for Pairing Class Tournaments:

- Class A: 1800 and above
- Class B: 1500-1999
- Class C: 1200-1699
- Class D: 1399 and below

Events Removed from Offerings:

- Trophy Quads – postal, 4 player quad (double RR)
- Victor Palciauskas Tournament – postal, 7 player RR, event changed to ICCF electronic format
- Swift Quads – email, 4 player quad (double RR)

All players who have entered one of the above events will be offered refunds or the opportunity to move their entries to another event.

PASSED 6-0 with David Hater not voting.

Rationale for EB21-054: This motion is recommended for immediate implementation by the Correspondence Chess Working Group. Some of the current postal events take a long time to attain the appropriate number of entries, making pairing the events difficult to do within the rating restrictions for those respective events. Some postal players have been waiting approximately 2 years to get a pairing for an event. The above event list simplifies the events offered by US Chess, removing duplication of offerings, and offers more “electronic” correspondence chess offerings than we currently do, taking advantage of this newer, more technological, ways to play correspondence chess. Simplifying our offerings and overlapping of the class restructuring will allow pairings to be attained much more quickly for each event, especially for incarcerated players who cannot play against each other, thereby meaning less waiting time for players awaiting to play correspondence chess.

The substance of the Correspondence Chess proposal in EB21-054 was drafted by Chris Bird, the Interim Correspondence Chess Director. This proposal met with concurrence of the Correspondence Chess Working Group without dissent.

EB21-055 – Hoffpauir – Colleagues: As you may recall, a subcommittee reviewed the LiChess FP method and recommends endorsement by the EB. On Feb 8 I sent everyone the subcommittee's letter to the Executive Board, and I attach it to this note to refresh your memories. Bottom line, they recommended the EB endorse LiChess's approach to cheat detection. The review committee members were: Ken Ballou, Brian Yang and Dr. Bala Bala.

Also attached is the FP Endorsement Letter that has been reviewed and approved by LiChess and our own Ethics Committee.

Recommend you vote YES to approve this letter. The approval helps US Chess TDs and Affiliates feel confident that LiChess's algorithm is sound and benefits the events they run.

PASSED 7-0

EB21-056 – Unruh – I move that the Executive Board approve the addition of a 5% Reserve Fund portfolio allocation of diversified Closed-end Fund healthcare equities. Currently, the approved Reserve Fund investment allocation targets a 45% position in Cash Deposits. The motion would reduce the Cash Deposit allocation from 45% to 40% and increase financial exposure to well-known public healthcare companies. The Reserve Fund investment policy has a primary objective of capital preservation with a secondary objective of value preservation by dividend/interest returns equal to or greater than the rates of inflation in the economy. The portfolio addition of diversified Closed-end healthcare equities improves the overall yield distributions from the conservative healthcare sector in the public markets. **PASSED 7-0**

EB21-057 – Meyer – I move to update US Chess rating fees charged to affiliates for processing FIDE events. The new fee structure is in response to changes made to FIDE's ratings fee structure that took effect, 1/1/2021.

PROPOSED NEW FEE STRUCTURE:

Tournament Type	Fees for Affiliates	Fees for FIDE Academies
Swiss/Team Events	\$1.30/player \$60.00 minimum	\$1.20/player no minimum
Round Robins		
Avg FIDE Rating up to 2230	\$1.30/player	\$1.20/player
Avg FIDE Rating 2230-2379	\$6.50/player	\$6.00/player
Avg FIDE Rating 2380-2599	\$13.00/player	\$12.00/player
Avg FIDE Rating 2600-2699	\$19.50/player	\$18.00/player
Avg FIDE Rating above 2700	\$26.00/player	\$24.00/player

The proposed fee structure also includes an update to the exchange rate.

PASSED 7-0

Rationale for EB21-057: FIDE's new structure can be found in the FIDE Handbook Financial Regulations at <https://handbook.fide.com/chapter/FinancialRegulations2021> and the proposed fees would currently cover the rating fee costs charged to us by FIDE for such events. The fee structure will be reviewed annually to ensure US Chess is recovering all costs associated with processing FIDE ratings.

EB21-058 – Meyer – I move to approve the Hyatt Regency Columbus contract for running the backroom for the National Online Scholastic Championship (April 23-25 and May 7-9). **PASSED 7-0**

Rationale for EB21-058: The replacement event for SNVII is an online event that plans to utilize offline pairings via SwissSys. To effectively manage the event, a backroom set up is being deployed in a hotel in support of the online event. The staff will scale from 4 to 8 to support the two weekends, based on anticipated attendance. The EB has been presented the contract in its discussion area.

EB21-059 – Meyer – I move to approve the contract between US Chess and Muck Media for rights to film upcoming National Events that build on their earlier demo reel filmed at the 2019 K-12 Grade Nationals. **PASSED 7-0**

Rationale for EB21-059: In promoting chess to a wider audience, US Chess gratefully partners with Muck Media to bring the story of competitive chess to a broader audience. US Chess involvement is limited to providing access to the film crew at national events through spring 2022.

EB21-060 – Meyer – The Executive Board moves to accept the bid submitted by Fine Designs for T-Shirt Vending at US Chess National Events beginning in August 2021 and ending in May 2025. **PASSED 7-0**

EB21-061 – Hoffpauir – The Executive Board approves the March minutes as amended. **PASSED 7-0**

EB21-062 – Board – The executive board moves to name the 2021 Presidents Cup a national championship pending fair

play review. **PASSED 7-0**

EB21-063 – Board – The Executive Board adopts the US Chess Policy on Research Data Requests as presented to the Executive Board. **PASSED 7-0**

EB21-064 – Board – The Executive Board moves to adopt the Third-Party Event Fundraising Policy as presented to the Executive Board. **PASSED 7-0**

EB21-065 – Board – The Executive Board adopts the Herbert B. Jacklyn Program for players aged 18-21 and directs the Executive Director and the US Chess office to finalize and implement the program and award application. **PASSED 7-0**

EB21-066 – Board – Executive Board moves to accept the bid submitted by US Chess Sales for Bookstore vending at US Chess National Events beginning in August 2021 and ending in May 2025. **PASSED 6-0-1** with Ryan Velez abstaining

EB21-067 – Board – The Executive Board moves to allow the Correspondence Chess Working Group to select, in coordination with the US Chess Office, a US Chess affiliate to run a rated online correspondence chess tournament as a pilot program for the purpose of bolstering interest in correspondence chess and to test the proposed correspondence chess updated rules. **PASSED 7-0**

EB21-068 – Board – The Executive Board moves to approve the annual Dan Heisman Award for Excellence in Chess Instruction. **PASSED 7-0**

Rationale for EB21-068: US Chess has award categories for many of the important roles played in making chess play happen. Until now, no award was given to specifically honor Chess Instructors. FM Dan Heisman approached US Chess about creating such an award. The attached document [Appendix G] outlines the intent of the award and criteria used in selecting the winner.

EB21-069 – Board – The EB moves to approve the Championship Weekend Rules for the National Online Scholastic Championships (NOSC) as prepared by the Scholastic Council. These rules will become Appendices A and B to the NOSC Rules published earlier this year. The EB thanks the Scholastic Council for their hard work on the NOSC Championship Rules. **PASSED 7-0**

EB21-070 – Board – The Executive Board moves to approve the US Chess Letter of Endorsement for the Fair Play Methodology designed and used by Professor Ken Regan, Univ. of Buffalo. **PASSED 7-0**

EB21-071 – Meyer – *Replace NOSCC Rule 3.5.1.3 with the following:*

3.5.1.3. Pairing of Teammates or Players from the Same State.

3.5.1.3.1. Teammates will not be paired during the first 6 rounds of the event.

3.5.1.3.2. In Round 7 and 8, teammates might be paired if they are in a score group with a plus three score or higher. If such a pairing does occur, the TD must manually check to see whether any other reasonable pairing is available within the score group and make the appropriate adjustment.

3.5.1.3.3. There are no restrictions for players from the same state being paired.

PASSED 7-0

EB21-072 – Board – The Executive Board approves the April minutes. **PASSED 7-0**

EB21-073 – Board – The Executive Board moves to approve the Nominating Committee charter as modified and presented before the board this evening on May 11, 2021. **PASSED 7-0**

EB21-074 – Board – US Chess has one nomination spot to offer in each of the 2021 World Cup and 2021 Women's World Cup. The US Chess Executive Board approves that this nomination go to the highest rated player on the May, 2021 US Chess Invitational Rating Lists, who has not already qualified via another method. **PASSED 7-0**

Rationale for EB21-074: Since 2021 was to have been a Zonal year for the US Championships but those events could not be held in time to meet the World Cup entry deadline, US Chess has had to pivot to make other arrangements to award the available World Cup spots not qualified through other means. Our Zonal President, Allen Priest, suggested using the May Invitational list.

EB21-075 – Velez – I approve we accept the May 11 minutes as written and presented in this meeting. **PASSED 7-0**

EB21-076 – Board – I move the following: After an RFP process that yielded eleven responses, interviews with four firms, and reference checks of the preferred vendor, the Executive Board moves to award a contract for CFO services to Altruic Advisors and directs the Executive Director to negotiate and sign a contract for a term of up to one year with an option of up to four one-year extensions. **PASSED 7-0**

EB21-077 – Board – The Executive Board moves to approve all of the following nominees for the listed awards:

Distinguished Service Award: Allen Priest, Mike Nietman, Ken Ballou.

Outstanding Career Achievement Award: Jack Peters, Alex Dunne.

Meritorious Service Award: Lauren Goodkind, Richard Smouse.

Special Services Award: Robert Franklin, Pete Tamburro.

Frank J. Marshall Award: Stuart Rachels.

Organizer of the Year Award: Abel Talamantez.

Grandmaster of the Year Award: Wesley So.

Woman Player of the Year Award: Irina Krush.

Outstanding Team Achievement Award: US Team in the FIDE Olympiad for People with Disabilities.

Koltanowski Awards: Gold: Saint Louis Chess Club, John D. Rockefeller V. Silver: David H. Raymond.

The Board chose not to give an award for Chess City this year given the lack of in-person tournaments.

Committee of the Year Award: Nominating Committee.

Club of the Year Award: Tom's River Chess Club.

Chess College of the Year Award: UC Berkeley.

Accessibility & Special Circumstances Person of the Year: Joan Dubois.

Tournament Director of the Year Award: Joseph Yun.

Tournament Director Lifetime Achievement Award: Franc Guadalupe.

Individual Scholastic Service Award: Danny Rohde.

Organization Scholastic Service Award: Internet Chess Club.

Dan Heisman Award for Excellence in Chess Instruction: Bruce Pandolfini.

PASSED 7-0

EB21-078 – Board – The Executive Board moves to implement the following mask policy during the US Open.

In consideration of the potential for vaccinated and unvaccinated players at the US Open, the US Chess Executive Board directs the US Chess Events staff to implement a required mask policy for all participants in the US Open chess tournament and its related activities taking place between July 31-August 8, 2021. This mask policy applies to all sanctioned games, skittles room, side events, bookstore and other activities, such as when interacting with chess control or the registration desk.

This policy does not apply to the US Chess governance activities co-located with the US Open during August 4-8, 2021 because the participants in governance activities are all eligible to be vaccinated and presumed to have been so. Individuals may elect to wear a mask at any time during the governance meetings.

This policy is subject to review and change based on updates to the CDC's guidelines and any federal, state, local or hotel policies.

PASSED 7-0

EB21-079 – Hater – I move that the 2021 Outstanding Player Achievement Award be awarded to FM John Curdo from Massachusetts. **PASSED 7-0**

EB21-080 – Velez – I move we accept the minutes for the May 25 Executive Board meeting. **PASSED 7-0**

EB21-081 – Board – The Executive Board moves to adopt the Ratings Committee recommendation to recalculate online ratings using the proposal provided by the Ratings Committee and reviewed by the Executive Board on June 8, 2021.

PASSED 7-0

EB21-082 – Hoffpauir – I move that the minutes for the June 2021 Executive Board meeting be accepted as written. **PASSED 5-0** with Randy Bauer and David Day absent and not voting

EB21-083 – Unruh – I move that the Executive Board approve the addition of a 3% reserve fund portfolio allocation of diversified equity closed end fund. Currently the approved reserve fund investment allocation targets a 45% position in cash deposits. This motion would not reduce the cash deposit allocation but add a new equity fund with strong dividend distributions from primarily the financial sector. The reserve fund investment policy has a primary objective of capital preservation with a secondary objective of value preservation by dividend/interest returns equal to or greater than the rate of inflation in the economy. This portfolio addition of a diversified equity closed end fund improves the overall yield distributions with key equities outside the previous 4% additions in the health care sector. The Reserve Fund Investment Committee recommends Executive Board approval to purchase this investment. **PASSED 5-0** with Randy Bauer and David Day absent and not voting

EB21-084 – Hoffpauir – In view of the recent CDC guidance on use of masks, the EB moves to update the mask policy for the US Open and the Annual Delegates Meeting by requiring all participants, family members, and accompanying friends or relatives to wear face masks during all US Open, Delegates Meeting, and associated activities. When speaking at the mic, Delegates have the option to lower their masks. **PASSED 7-0**

EB21-085 – Hoffpauir – I move that the minutes for the July 2021 Executive Board meeting be accepted. **PASSED 5-0-1** with Randy Bauer abstaining and with Ryan Velez absent and not voting

EB21-086 – Hoffpauir – I move to approve the budget as discussed during closed sessions. **PASSED 6-0** with Ryan Velez absent and not voting

APPENDIX A

COMMITTEES LIST

<http://www.uschess.org/docs/gov/reports/2020-2021CommitteesList.pdf>

APPENDIX B

EB21-015

SCHOLASTIC REGULATIONS



US CHESS
FEDERATION

Schoolyear 2020-21
NATIONAL SCHOLASTIC
CHESS TOURNAMENT
REGULATIONS

FOR USE IN THE FOLLOWING TOURNAMENTS:

US CHESS NATIONAL SCHOLASTIC K-12 GRADE CHAMPIONSHIP

US CHESS SUPERNATIONALS

INCLUDING: THE NATIONAL ELEMENTARY (K-6) CHESS CHAMPIONSHIP,
THE NATIONAL JUNIOR HIGH (K-9) CHESS CHAMPIONSHIP, AND
THE NATIONAL HIGH SCHOOL (K-12) CHESS CHAMPIONSHIP

AND

US CHESS YOUTH EVENTS

INCLUDING: THE NATIONAL YOUTH ACTION CHAMPIONSHIP
THE U.S. JUNIOR CHESS CONGRESS
THE U.S. JUNIOR OPEN
THE ALL-GIRLS NATIONALS

FIRST PUBLISHED IN 1984

EFFECTIVE SEPTEMBER 1, 2020 FOR SCHOOLYEAR 2020-21

CHANGES FROM THE SEPTEMBER 2019 EDITION
ARE HIGHLIGHTED USING ~~STRIKE-THROUGH~~ AND **YELLOW-SHADED TEXT**

THIS PAGE IS INTENTIONALLY BLANK

Yellow highlighting shows changes made since last year's Regulations.

TABLE OF CONTENTS

TABLE OF CONTENTS	a-c
SUMMARY OF CHANGES FOR SCHOOLYEAR 2020-21	d
1 INTRODUCTION	1
1.1 Basic Principles.....	1
1.2 Tournament Proposals.....	1
1.3 US Chess Point of Contact.....	1
2 EVENT DATES.....	1
2.1 National Scholastic Events.....	1
2.2 National Youth Events	2
3 SITE SELECTION AND CHARACTERISTICS.....	2
3.1 Quality and Site Selection.....	2
3.2 Site Characteristics.....	2
4 LODGING	3
4.1 Cost	3
4.2 Hotel Check-in.....	3
5 TRANSPORTATION	3
6 FINANCES.....	3
6.1 Contracts	3
6.2 Responsibilities	3
7 FOOD & EQUIPMENT VENDORS.....	4
8 STAFFING.....	4
8.1 Chief Tournament Director	4
8.2 Number of TDs.....	4
8.3 Compensation.....	4
9 BACK ROOM & AUTOMATION REQUIREMENTS	5
9.1 Back Room.....	5
9.2 Printers	5
9.3 Computers.....	5
10 PUBLICITY	5
10.1 Importance.....	5
10.2 Announcements / Press Releases	5
10.3 Program Book	5
11 ENTRY FEES & REGISTRATION.....	5
11.1 Fees & Entry Deadlines.....	5
11.2 Late Registration, Section Change Requests, Byes, and Check-in Procedures.....	6
12 PLAYER ELIGIBILITY.....	7
12.1 General Eligibility.....	7
12.2 Age and Grade Eligibility	7
12.3 Section Eligibility.....	7
12.4 Rating Supplements.....	8
12.5 Other Rating Systems.....	8
12.6 Definition of an Unrated Player.....	9
13 TEAM REQUIREMENTS FOR NATIONAL SCHOLASTIC EVENTS.....	9
13.1 Individual Tournament, Team Scoring	9
13.2 Team Composition.....	10
13.3 Home Schooled Students	11
13.4 Virtual School Students.....	11

13.5	Team Size.....	11
13.6	Other Specifications.....	11
14	TEAM REQUIREMENTS FOR NATIONAL YOUTH EVENTS.....	12
14.1	Basic Team Composition.....	12
14.2	Club Teams.....	12
15	OPENING CEREMONY.....	12
15.1	Ceremony Length.....	12
16	AWARDS CEREMONY.....	13
16.1	Ceremony Length.....	13
16.2	Number of Awards Presented.....	13
16.3	Awards Presentation.....	13
17	SECURITY AND HEALTH.....	13
17.1	Security.....	13
17.2	Health Matters.....	13
18	TOURNAMENT FORMAT.....	13
18.1	Pairings.....	14
18.2	Scoring.....	14
18.3	Sectioning.....	14
18.4	K-12 Grade Championship.....	14
18.5	National Youth Action Championship.....	14
18.6	Junior Chess Congress.....	14
18.7	All-Girls Nationals.....	15
18.8	U.S. Junior Open.....	15
18.9	Start Times.....	15
18.10	Time Controls:.....	16
19	CHESS NOTATION.....	16
19.1	Requirements.....	16
19.2	Exceptions.....	17
20	TIE BREAKS.....	17
20.1	Calculating Tie Breaks.....	17
20.2	Players tied for 1 st Place.....	18
20.3	Tie-Break Systems Used.....	18
21	CONDUCT OF THE TOURNAMENT.....	18
21.1	Playing Area Setup Considerations.....	18
21.2	Announcements and Photography.....	19
21.3	Event Staff and Pairing Lists for Teams.....	19
21.4	Game Result Slips.....	19
21.5	Electronic Devices, including Cell Phones.....	19
21.6	Spectator Behavior.....	19
21.7	Players who have Ongoing Games.....	20
21.8	Contact between Players.....	20
21.9	Answering Questions about Checkmate or Stalemate.....	20
22	TROPHIES & AWARDS.....	21
22.1	General Specifications & Principles.....	21
22.2	Sizes and Face Plates.....	21
22.3	Quantities of Trophies & Awards.....	21
23	SCHOLASTIC MEETING AND OTHER MEETINGS.....	22
23.1	Scholastic Meeting.....	22
23.2	Other Meetings.....	23
24	INTERNET COVERAGE AND SPECIAL CONSIDERATIONS.....	23
24.1	Before the Tournament.....	23
24.2	During the Tournament.....	23
24.3	After the Tournament.....	23
24.4	Recommended Special Considerations.....	23

25	SUPERNATIONALS.....	23
25.1	Definition & Specifications.....	23
APPENDIX A:	NATIONAL SCHOLASTIC BLITZ CHAMPIONSHIP REGULATIONS.....	i
APPENDIX A-1:	US CHESS SCHOLASTIC BLITZ RULES.....	ii
APPENDIX B:	US CHESS SCHOLASTIC BUGHOUSE REGULATIONS.....	v
APPENDIX B-1:	US CHESS SCHOLASTIC BUGHOUSE RULES.....	vi
APPENDIX C:	FAMILY AND FRIENDS TOURNAMENT.....	viii
APPENDIX D:	FORMS.....	ix
APPENDIX E:	GUIDE FOR SPECTATOR CONDUCT.....	xvi
APPENDIX F:	APPROVED ELECTRONIC NOTATION DEVICES.....	xvii

SUMMARY OF CHANGES FOR SCHOOLYEAR 2020-21

The 2020-21 Scholastic Regulations are essentially unchanged from the 2019-20 version. Any changes from 2019-20 that are effective this year are **highlighted in yellow like this text**.

What has changed

- Since 2021 is a “SuperNationals Year”, we made numerous updates throughout the regulations to reflect that fact.
- In Sections 12.1 and 13.2, we increased from 2 weeks to 3 weeks the lead time required for parents, coaches, and players to notify the US Chess Director of Events about any “eligibility questions” involving a Player or a Team.
- In Section 20.2, we updated the language used to refer to “winners” of various sections.
- Minor refinements to the language in the Scholastic Blitz Rules and Scholastic Bughouse Rules found in Appendices A and B, respectively.

The COVID-19 Situation and Schoolyear 2020-21.

At this writing it is too early for anyone to accurately forecast how the pandemic may (or may not) impact the conduct of the schoolyear’s major scholastic tournaments. The US Chess Executive Board will make decisions on this matter that are based solely on the safety and health of all participants in those events. Please watch for any announcements at www.uschess.org.

In the meantime, there is plenty of action occurring Online! US Chess now has online rating systems for you to play rated games at Blitz, Quick and Regular (slow) time controls. Even if you choose not to play online rated events, we encourage parents, players, and coaches to organize online games between schools and clubs everywhere. Keep your game sharp by organizing and participating in online tournaments!!

Good Chess!!

Sunil Weeramantry
Chair, US Chess Scholastic Council

1 INTRODUCTION

1.1 Basic Principles.

The US Chess Federation (US Chess) National Scholastic Chess Tournament Regulations are intended to ***fulfill the-needs and expectations of players, coaches and parents at our national scholastic events***. These regulations are a reference to the special rules that govern these events, along with some suggestions to help the event run smoothly. They are designed to maintain, promote, preserve and enhance the dignity and significance of, and fair play at, US Chess national scholastic events. These regulations supplement the 7th Edition of the US Chess Federation's *Official Rules of Chess* and carry the weight of history and hands-on experience. In instances where there are no existing regulations or rules, US Chess shall apply "common sense" to fairly address the situation. Proposed improvements to these regulations shall be referred to the US Chess Office and the Scholastic Council for consideration in the next scholastic chess year. Clarification or interpretations of the existing rules for the current scholastic chess year shall be referred to the US Chess office and the Scholastic Council. After all, the main principle of these regulations is this: ***Our young players deserve the best!***

1.1.1 To foster consistency between state and national-level scholastic events, US Chess encourages state scholastic organizations to adopt these regulations for their state scholastic championships.

1.2 Tournament Proposals.

US Chess and the Scholastic Council will review the proposals for the following tournaments: National Elementary (K-6), National Middle School/Junior High (K-9), National High School (K-12), National Youth Action Championship, and the National Scholastic K-12 Grade Championship. US Chess will solicit interest in site selection and then negotiate with the local organizer details of the proposed tournament. The Scholastic Council will be part of the final selection process. Expressions of interest by affiliates are indeed welcome, since in all cases US Chess wishes to partner with a local organization(s) for local arrangements (e.g. securing local TDs), in coordination (and agreement) with US Chess. However, site selection and all contracting for these events will be handled by US Chess.

1.2.1 Whenever US Chess is the organizer the US Chess main office shall be responsible for all details listed herein as the organizer.

1.2.2 Many chess tournaments and chess activities are organized under the name "US Chess" or "US Chess Federation," yet are managed fully by other organizations and/or individuals. Such organizations may enter into contracts for facilities, goods, services, etc., though US Chess must approve these contracts first. Such organizations are not representatives of US Chess management, they are independent contractors.

1.3 US Chess Point of Contact.

The US Chess ***Director of Events*** is the point of contact for questions about these regulations. The Director of Events can be reached at the US Chess Main Office, (931)787-1234.

2 EVENT DATES

2.1 National Scholastic Events

2.1.1 Fall Nationals. The National K-12 Grade Championship:

2.1.1.1 Should be played between November 15 and December 15.

2.1.1.2 Must not be scheduled on Thanksgiving Day weekend.

2.1.2 SuperNationals & Spring Nationals. **2021 is a SuperNationals year, meaning the National Elementary (K-6), Middle School/Junior High (K-9) and High School (K-12) Championships will be held concurrently at the same venue:**

2.1.2.1 Should be held between and to include the third weekend in March and the second weekend in May.

2.1.2.2 Must not be scheduled on Easter or Passover.

2.1.2.3 Try to avoid Daylight Savings Time weekend, as well as, the test dates for the Scholastic Aptitude and Advanced Placement exams (High School only).

2.2 National Youth Events

- 2.2.1 National Youth Action
 - 2.2.1.1 Should be held between November 1 and December 1.
 - 2.2.1.2 Must not be scheduled on Thanksgiving Day weekend.
- 2.2.2 U.S. Junior Chess Congress: Whenever possible.
- 2.2.3 U.S. Junior Open: Should be held in the summer.
- 2.2.4 Dates should not conflict with any other National Tournament and, if possible, they should not conflict with the North American Youth Chess Championships, the Pan-American Youth Festival, the World Youth Chess Championships, or the World Cadet Chess Championships.

3 SITE SELECTION AND CHARACTERISTICS

3.1 Quality and Site Selection.

- 3.1.1 Our primary concern is assuring the quality of national scholastics.
- 3.1.2 US Chess will make every reasonable effort to rotate these events geographically from year to year.
- 3.1.3 Acceptable sites include, but are not limited to, hotels with convention facilities, schools, colleges, and convention centers.
- 3.1.4 All tournament-related playing and meeting space proposals must be approved by US Chess before they are signed.

3.2 Site Characteristics.

- 3.2.1 The Playing Hall must allow for a minimum of 25 sq. ft. of usable space per player. This should include space for spectators. The top 20 boards of all Championship sections, except for primary grades, should have no more than two boards per six-foot or eight-foot table. Bids must include a Playing Area able to accommodate the maximum number of expected players.
- 3.2.2 The site should have a large Skittles Area that is at least half the size of the playing hall(s). The Skittles Area should be in the immediate vicinity of the playing site, indoors, and easily accessible from the playing room. It is recommended the Skittles Area be 3/4 of the size of the playing area(s).
- 3.2.3 Team Rooms (especially for the Elementary and Middle School/Junior High events) should be available to coaches needing a more private area to instruct and counsel team members. Teams should expect to pay reasonable charges for the use of such space. Whenever possible, Team Rooms should be under the same roof as the Playing Hall, or in a building connected to it. The US Chess Main Office or the Event Organizer will advertise Team Room availability, pricing, and request and allocation procedures well in advance of the event.
- 3.2.4 Toilet facilities must be adequate for large numbers of youngsters and must be inspected, cleaned and maintained every hour during rounds. Restrooms for players only should be easily accessible to the playing room.
- 3.2.5 Water should be provided in the Playing Area(s) and maintained every hour during rounds.
- 3.2.6 Pictures and descriptions of the tournament site, including size, lighting, available sanitary facilities, or any physical equipment available should be included with each contract.
- 3.2.7 A map of the area should be available showing inexpensive restaurants and attractions near the site. This should be done on the website site and available on paper at chess control.
- 3.2.8 For the National Middle School/Junior High (K-9) and National Elementary (K-6) Tournaments a "Play Area" should be provided that allows the children an acceptable area to run and play.
- 3.2.9 A centrally located information booth, called "Chess Control", shall be provided near the Playing Area, or on the primary route players will take to and from the Playing Area. Chess Control shall:
 - 3.2.9.1 Be a one-stop-shop where players, coaches and parents can enter the tournament, change sections, check the status of their registration, and ask general questions about the event.

- 3.2.9.2 Provide participants with directions to local restaurants, transportation, interesting sites, and other non-tournament information.
- 3.2.9.3 Provide a message center where families and players can receive emergency information. This message center should have a pre-advertised phone number and/or email address.
- 3.2.9.4 Serve as the central location for “Lost and Found.”
- 3.2.10 There should be food available on site that is priced comparably to fast food. There should be ample food services available that, if necessary, all of the anticipated participants may be fed within a two-hour period. Food vendors should be open at least 60 minutes before the first round starts each day and close no earlier than 60 minutes before the last round is scheduled to end.
- 3.2.11 The site must be handicapped accessible.
- 3.2.12 It is recommended that a photographer be available throughout the tournament.
- 3.2.13 Ample signage should be on display at numerous locations throughout the facility a minimum of twelve hours prior to the first scheduled event of the tournament.

4 LODGING

4.1 Cost

- 4.1.1 Lodging should be inexpensive and accessible to the playing site.
- 4.1.2 A single price for 1-4 people per room should be negotiated.
- 4.1.3 If the hotel(s) is providing the playing site, the cost of meeting space should be directly related to the total number of room-nights sold (such as on a sliding scale). Free meeting space is generally negotiable with most hotels.
- 4.1.4 If the hotel(s) is not providing the playing site, the hotel(s) contract(s) may be structured to provide the tournament a rebate of \$3 to \$10 per room night sold to help defray playing site and other tournament costs. Rebates are considered tournament income. When US Chess is not the organizer, any amount higher than \$10 per night may not be accepted without the prior written consent of the US Chess main office.
- 4.1.5 The organizer should provide, at least 6 months before the start of the event, a list of all recommended hotels, rates, addresses, phone numbers, distance from playing site, and contact information on the US Chess website.

4.2 Hotel Check-in.

- 4.2.1 Some hotels do not allow players under the age of 18 may to check in to their hotel rooms without an adult. It is the responsibility of each individual player to ensure that local hotel requirements are satisfied. US Chess and the organizer assume no responsibility in this regard.

5 TRANSPORTATION

Organizers should ensure the availability of transportation between the headquarters hotel and nearest commercial airport, railroad, and bus terminals and shuttle service between playing site and headquarters hotel if they are beyond walking distance of each other. All such travel arrangements should be publicized at least six months in advance for the benefit of players, coaches and parents.

6 FINANCES

6.1 Contracts

- 6.1.1 All contracts must be approved and signed by US Chess when US Chess is undertaking full management responsibility for the event.
- 6.1.2 When a local organizer is undertaking full management responsibility, US Chess need only review and approve the contract, though it need not be a signatory thereon, as the local organizer is acting as an independent contractor and is fully responsible and liable for all activities or actions pursuant to the contract.

6.2 Responsibilities

- 6.2.1 When US Chess is not the principal organizer, the local organizer is contractually responsible for the execution of these regulations (with US Chess oversight) before, during and after the tournament.

- 6.2.2 US Chess will provide financial statements from previous events to the organizer upon request.
- 6.2.3 US Chess's share of the profits from National scholastic events will go to US Chess. Tournament income includes, but is not limited to, entry fees, book and equipment sales, food and beverage sales, sale of chess pieces and boards used in the tournament, advertising income, fees paid by promoters and exhibitors, hotel room night rebates, team room rentals, rebates, etc.
- 6.2.4 US Chess will negotiate an agreement with the local organizer, which will define roles, responsibilities, and the financial arrangements between the two parties. The financial arrangements may be a share of the profits, a per-head fee, or some combination thereof.

7 FOOD & EQUIPMENT VENDORS

US Chess has the right of first refusal to sell chess books, chess equipment, T-shirts, commemorative boards and sets and other chess paraphernalia at any US Chess national event. All outside vendors must be approved by US Chess, including concessions.

8 STAFFING

8.1 Chief Tournament Director

The Chief TD for all national scholastics must be certified at the "National Tournament Director" level. Additionally, the Chief TD must have strong experience in administering large scholastic events, especially those using computer pairing programs. The Chief TD must be acceptable to US Chess.

8.2 Number of TDs

The Chief TD and/or organizer is responsible for appointing an adequate number of certified assistant TDs to help administer each event. The organizer should not serve on the directing staff.

- 8.2.1 A minimum of four TDs, including the Chief TD should be on staff. These TDs should have strong knowledge of computers and computer pairings, as they are expected to generate pairings, standings, team results, etc., round by round, as well as, to handle inevitable problems.
- 8.2.2 Each event must have a Chief Floor TD ("Floor Chief") with a sufficient number of assistants.
 - 8.2.2.1 The ratio of TDs to players should be as follows:
 - Grades 10-12: 1 TD per 100 players
 - Grades 7-9: 1 TD per 75 players
 - Grades K-6: 1 TD per 50 players
 - 8.2.2.2 Each Floor TD should begin the tournament by having responsibility to support one specific Section Chief. As the round progresses, the Section Chief or Floor Chief can re-assign Floor TDs to other sections based on the number of remaining games. Floor TDs are not "released" from the Playing Hall until relieved of their responsibilities for the round by their Section Chief or the Floor Chief.
 - 8.2.2.3 Floor TDs will handle disputes and make rulings. Players are allowed to appeal rulings under the specifications of US Chess Rule 21H. ***The best time for a player to appeal a ruling is immediately after the TD makes the initial ruling.*** Waiting several more moves, or until after the game ends, tends to complicate the appeals process. Appeals are to be made first to the Section Chief TD, then the Chief Floor TD, and then the Chief TD. Appeals above the Chief TD will be made to a Special Referee appointed to cover the tournament by the Director of Events. If a Special Referee is consulted, the decision of the Special Referee is final for the purpose of the event, but may be overruled later if the player appeals to the US Chess Federation under US Chess Rule 21L.
 - 8.2.2.4 During play, a minimum of two experienced Floor TDs should be present in large tournament rooms and one Floor TD in small tournament rooms.
 - 8.2.2.5 All staff should wear identification stating their name and duty. The TD certification level should be listed on the name tag.
 - 8.2.2.6 For National Scholastic events organized and run by US Chess, all tournament staff shall receive a Background Check before hiring. Persons who refuse this check will not be hired by US Chess.

8.3 Compensation

The TD staff is expected to be appropriately compensated. Such compensation should include, but is not limited to, lodging and free food. Each TD should be compensated enough to at least cover any reasonable

transportation costs.

9 BACK ROOM & AUTOMATION REQUIREMENTS

9.1 Back Room

The computer room (e.g. the “Back Room”) should be assembled at least one day before the tournament to make sure there are no problems

9.2 Printers

9.2.1 Fast ink-jet or laser printers are preferred.

9.2.2 At least one computer and printer shall be available for non-pairing/technical purposes by authorized staff.

9.3 Computers.

9.3.1 The large size of these events necessitates pairing by computer. A list of approved computer programs may be obtained from US Chess.

9.3.2 If any computer equipment is acquired or donated for the tournament, such equipment will be considered assets of the tournament. Assets may not be disposed of without the express consent of US Chess.

10 PUBLICITY

10.1 Importance

Publicity is an important part of attracting new participants to National Scholastic events. Many scholastic chess programs are not aware of the existence of US Chess’s slate of national scholastic chess championship events. Organizers should include plans for publicity in their proposals. The organizer should coordinate with the US Chess main office to produce press releases and publicity for the event.

10.2 Announcements / Press Releases

10.2.1 These events will be announced in **Chess Life** and **Chess Life Kids**.

10.2.2 Flyers advertising National Scholastic and Youth events will be mailed to all US Chess affiliates except prison affiliates.

10.2.3 Organizers should arrange publicity with local news media, prior to, during, and immediately after these events.

10.2.4 The organizer should prepare a press release listing major winners immediately upon conclusion of the tournament. Ideally, this release should be available during the awards ceremony.

10.3 Program Book

A tournament program book should be prepared that includes the following components:

10.3.1 Schedule of events

10.3.2 List of past winners

10.3.3 List of Prizes and Awards

10.3.4 Specific rules for the tournament (e.g. rules that supplement the official **Rules of Chess**)

10.3.5 List of tournament directors and key event staff

10.3.6 *(Optional)* Scoresheets for each round of play

10.3.7 Information about local restaurants and attractions.

10.3.8 The location, time, and sequence of events for the Awards Ceremony.

10.3.9 Instructions for “early pickup” of trophies after the last round.

10.3.10 Player procedures and acceptable conduct for spectators, players, and coaches.

11 ENTRY FEES & REGISTRATION

11.1 Fees & Entry Deadlines

11.1.1 The entry fee shall be kept as economical as practical and set in accordance with the approved budget.

- 11.1.2 Early Entry deadline(s) should be used for each event. When practical, early entry deadline dates should be set to occur no sooner than one week after the date when US Chess is expected to publish the Rating Supplement to be used for the event. To comply with this requirement, organizers will discuss their proposed early entry dates with the US Chess Director of Events before advertising those dates in TLAs, event flyers, mass emails, and websites.

11.2 Late Registration, Section Change Requests, Byes, and Check-in Procedures

- 11.2.1 **Late Registration:** Players registering the day of the event may be paired or receive a Half-point Bye at the discretion of Chief Tournament Director, or the organizer when the event is outsourced.
- 11.2.2 **Section Change Requests:** Player requests for a “section change” are subject to a fee. Players should make their section change requests as soon as possible. To encourage teams to properly form before the tournament the following rules apply to section change requests **made after Round 1 has begun:**
- 11.2.2.1 For players who change sections **before Round 2:**
- Players **moving up to a stronger section** will have their Round 1 score reduced by one-half point. Losses are not adjusted.
 - Players **dropping down to a weaker section** will retain their Round 1 score.
 - Players with a full-point or half-point Bye in Round 1 will retain their Bye score.
 - Each Player’s eligibility for section change will be screened by the tournament staff in accordance with **Section 12** of these regulations.
- 11.2.2.2 If a player changes sections **after Round 2**, then **none** of the player’s points carry to the new section except their Round 1 score adjusted in accordance with Section 11.2.2.1 above, as well as, points earned due to player-requested or computer-generated Byes. When Byes are involved, no player may carry more than one total point to the gaining section.
- 11.2.2.3 If the need for a section change is caused by an error of the Tournament Staff or US Chess, then the Chief TD has the authority to decide how a player’s points will carry to the gaining section.
- 11.2.2.4 In all situations, any games played shall remain as “rated games.” The player’s Tie Break Points from the original section are not carried to the new section.
- 11.2.3 **Half-Point and Zero-Point Bye Requests.** Unless specified otherwise in the official Tournament Life Announcement and pre-Tournament information on the US Chess website, **a single Half-Point Bye is available for any round,** to include the final round, of a US Chess National Scholastic event.
- 11.2.3.1 If the Bye is requested for Round 1, it must be requested as part of the advance or on-site entry process **at least 2 hours before the published start time for Round 1.**
- 11.2.3.2 If the Bye is requested for a later round, it must be requested before the published start time for Round 1.
- 11.2.3.3 Thereafter, the only bye available is a Zero-Point Bye, which is an option for any round and may be requested up to 2 hours before the start of the round for which the Zero-Point Bye is requested.
- 11.2.3.4 Any Bye, including a Zero-Point Bye may not be revoked after Round 1 begins.
- 11.2.3.5 The Chief Tournament Director has the authority to grant a Half-point Bye for any round based on unusual circumstances, except for the last round.
- 11.2.4 **Check-In Procedures.** All players (or their parents/coaches) are advised to “check-in” at Chess Control when they arrive to be sure there are no problems with their entry, team information, or Bye requests.
- 11.2.4.1 It is important that check-in is a smooth process allowing players to resolve any problems they may have with their entries.
- 11.2.4.2 A complete list of the advance entry players shall be posted periodically on the tournament web page. This web page should be updated regularly.
- 11.2.4.3 Two lists of participants per section should be posted prior to Round 1: alphabetical and with school name. A complete team roster for all schools shall be posted on site. It is the responsibility of the players and/or coaches to report mistakes or corrections to the TD staff.
- 11.2.5 Rules specific to the tournament and side events will be available in advance of the event.

12 PLAYER ELIGIBILITY

12.1 General Eligibility

The following players are eligible:

- 12.1.1 Full-time students who are enrolled in school (public, private, home, or virtual) in the United States or its territories for the entire semester in which the event is held. ***US Chess strongly encourages players, parents or coaches who have questions about eligibility to contact the US Chess Director of Events by email or phone at least three (3) weeks before the tournament.*** For “team” related aspects of this rule, see [Section 13](#) of these regulations. *(See footnote)¹*
- 12.1.2 U.S. citizens who are attending school abroad.
- 12.1.3 Home-schooled and virtual-schooled students who live in the United States or are U.S. citizens living abroad.

12.2 Age and Grade Eligibility

- 12.2.1 The ages for player age/grade eligibility shown in the table below apply as of September 1 of the Schoolyear in which the tournament takes place. These age requirements are the ***upper limit for each grade***, including students who are home-schooled or virtual-schooled. Our national events are grade-based and require all players to play in a section that allows for their current grade. For example, a “K-9 Championship” section is open to all age-eligible players currently enrolled in Grades K through 9 (and Pre-K). By comparison, a “Grade 2” or “Second Grade” section is open only to players currently enrolled in the 2nd Grade. When sections are limited to a single grade, players who have “skipped” one or more grades must play in the grade in which they are enrolled in school—they cannot play in the grade they skipped.

For home-schooled or virtual-schooled players, their grade eligibility is determined using their age ***as of September 1st of the current Schoolyear*** and referencing the table below. For example, a 5th Grader cannot be more than 11 years old, ***and no younger than 10***. Similarly, a 9th Grader’s age cannot exceed 15, ***and s/he may be no younger than 14 years old***.

Grade	Age Limit	Grade	Age Limit
Kindergarten	6 years old	7 th Grade	13 years old
1st Grade	7 years old	8 th Grade	14 years old
2nd Grade	8 years old	9 th Grade	15 years old
3rd Grade	9 years old	10 th Grade	16 years old
4th Grade	10 years old	11 th Grade	17 years old
5th Grade	11 years old	12 th Grade	18 years old
6th Grade	12 years old		

- 12.2.2 There shall only be one year of eligibility per grade level unless one of the situations listed below applies:
 - 12.2.2.1 ***Pre-schoolers*** may play in the Kindergarten Section at the K-12 Grade Nationals, or in any “K-X” Section in other events. Pre-schoolers may continue to play as Kindergartners or in K-X sections in subsequent years until they start the first grade.
 - 12.2.2.2 ***Players who repeat a grade*** in school may have a second year of eligibility in that grade as long as they are age-eligible for that grade in accordance with the table above.

12.3 Section Eligibility

- 12.3.1 For the Spring Nationals or SuperNationals a player who finished with 6 or more points in an Under Section of the previous year’s tournament, and who is otherwise age/grade eligible for the same section this year, ***must play this year in a Section with a higher grade or rating limit***. For example, a player who scored 6.0 in the K3U1000 Section last year in the National Elementary Championships, and who is age/grade eligible for that same section this Schoolyear, must now play in the K3 Championship or any rated K5 or K6 section.

¹ Contact the US Chess Director of Events at (931)787-1234. ***US Chess strongly recommends*** contacting us at least three weeks before a tournament starts AND before players, parents, and coaches commit their financial resources toward a tournament. We strongly advise against waiting to adjudicate a player’s eligibility on-site at a tournament.

12.4 Rating Supplements

- 12.4.1 For Spring Nationals or SuperNationals starting ***before the 10th of the month***, the previous month's Rating Supplement will be used.
- 12.4.2 For Spring Nationals or SuperNationals starting ***on or after the 10th of the month***, the current month's Rating Supplement will be used.
- 12.4.3 **The 2021 SuperNationals shall use the April 2021 Rating Supplement.** Ratings for the April 2021 Rating Supplement are taken from the US Chess ratings database on or about March 17th, 2021. While many players continue to play rated games after March 17th, changes in their ratings **DO NOT impact their sectioning or prize eligibility for SuperNationals.**
- 12.4.4 The K-12 Grade Championships being held **December 11-13, 2020** will use the December **2020** Rating Supplement (to be published on or about **November 19th**).

12.5 Other Rating Systems

- 12.5.1 When the US Chess main office receives other over-the-board (OTB) rating information during the event entry process, US Chess shall seriously consider, in the interest of fair play, and in consultation with the Scholastic Council and the Ratings Committee, using this rating information to determine section and prize eligibility in accordance with US Chess rules 28D and 28E.
- 12.5.2 For the K12 Grade Nationals, the Spring Nationals, the SuperNationals, and the All-Girls Nationals, players in all sections shall be **required to disclose at the time of registration whether they have one or more ratings in other OTB rating system(s).**
- 12.5.2.1 Other OTB rating systems include, but are not limited to, FIDE, foreign systems, the Northwest Scholastic Rating System (NWSRS), and ChessXpress (CXR).
- 12.5.2.2 Authority for deciding whether and how ratings from any particular OTB system will be used resides with a 3-person group consisting of the US Chess Director of Events or Event Organizer, the Chief TD for the tournament, and the Scholastic Council Representative for the event. When necessary, this "Ratings Review Group" (RRG) shall consult with the US Chess Ratings Committee.
- 12.5.2.3 For the K12 Grade Championships, the Spring/Super Nationals, and the All-Girls Nationals, the tournament staff shall apply the calculations below to players entering any section of the tournament, and then use the higher of the US Chess or Adjusted Rating to: 1) validate or correct section eligibility, 2) pair players, and 3) determine their prize eligibility.
- NWSRS: If the player's NWSRS is:
 - ♦ Below 1400, subtract 200 points. (*See footnote*)²
 - ♦ From 1400 to 1499, subtract 100 points
 - ♦ 1500 or above, subtract 50 points
 - CXR: No rating adjustment formula is necessary.
 - Other Rating Systems: Other systems brought to the attention of US Chess shall be reviewed by a 3-person group using specifications in the Rules of Chess (28C, 28D and 28E) and guidance from the Ratings Committee.
 - For any OTB rating system, if the source of a player's rating is their US Chess rating, or if an OTB rating is judged by the RRG to not be representative of the player's current strength, then that player's current US Chess rating shall be used as given in the Rating Supplement for the event, not the rating listed in the other OTB system's database. (*See footnote*)³

² **For example**, a 4th grade player with a US Chess Rating of 710 discloses during registration, or is discovered by US Chess to have, a NWSRS Rating of 1080 and enters the K5-U900 Section at the Elementary Nationals. The player's NWSRS rating is less than 1400, so the Adjusted Rating is 1080 minus 200, or 880. Since the 880 Adjusted Rating is greater than the player's 710 US Chess Rating, the Adjusted Rating is used to declare the player "eligible" to compete in the K5-U900 section. The rating of 880 also becomes the player's Assigned Rating for purposes of **pairings and prize eligibility**. The Assigned Rating will be shown on the Wall Charts and Pairing Sheets at the tournament but are not listed online before the tournament.

³ **For example**, consider a player in one of the Under sections who has an established US Chess rating. If this player is found to have a performance rating in another system that is higher than the player's US Chess rating, but that rating represents a performance in comparatively few games (e.g. one tournament vs. dozens of US Chess-rated games), then the player's US Chess rating shall be used. This includes instances when "the other rating" may be more recent. **The decision about what rating to use is the responsibility of the "3-person group" specified in Section 12.5.2.2.**

- 12.5.2.4 To track other OTB rating for each Spring National Scholastic Tournament, the US Chess Scholastic Council shall appoint one person as the OTB Database (OTB DB) Lead for each tournament. The OTB DB Lead’s primary responsibilities are to:
- 1) Assist the US Chess Office, Director of Events, and Chief TD in tracking information on registered players who have disclosed their other OTB rating(s)
 - 2) Research other common OTB rating systems to identify players who may have ratings in those systems
 - 3) Notify the Director of Events, US Chess Office, and Chief TD upon learning of players who have other OTB ratings
 - 4) Provide recommendations to the Director of Events and Chief TD on how to handle each specific case
- 12.5.2.5 The database created by the OTB DB Lead will be used by the Tournament Staff (Chief TD and Back Room Chief) to ensure each player’s rating is correctly listed in the pairings program for purposes of section eligibility, pairings, and prize eligibility. For each other OTB system the OTB DB Lead shall create, where feasible, a product similar to the US Chess Rating Supplement used for the tournament. *(See footnote).*⁴
- 12.5.2.6 Players who enter an Under or Unrated section, and do not disclose that they have another OTB rating, shall have their status in the event reviewed in accordance with US Chess Rules and the procedures outlined in [Section 12.4](#) of these Scholastic Regulations. This review can result in a broad array of actions that include, but are not limited to: no negative action being taken because the non-disclosure was truly an oversight; adjusting the player’s rating within their current section; adjusting the player’s rating and moving the player to the appropriate section of the tournament, with or without any Byes or points; loss of team points; lack of eligibility for certain awards; or, expulsion from the tournament.
- 12.5.2.7 To assure fair play, US Chess shall ***review the ratings and rating histories*** of all players entering all National Scholastic events run by US Chess. The authority to adjust a player’s rating, or to move a player to a higher section, resides with the Ratings Review Group described in Section 12.5.2.2. See also [Section 12.3](#), [Section Eligibility](#).
- 12.5.2.8 In those instances when a player’s US Chess rating, or adjusted rating based on another OTB system, makes the player ineligible for the section s/he has entered, the US Chess Office will notify the player, parent or coach (e.g. the person who sent in the entry) by email or phone within two calendar weeks from the day the entry was received in complete form. Entries received in the last two weeks before the tournament will be reviewed as rapidly as possible. Notification will summarize the issue and include recommendations on what section(s) the player is eligible to compete in. Players who wait to enter the tournament the week it begins may not learn about their section eligibility issues until they arrive at the tournament site.

12.6 Definition of an Unrated Player.

An unrated player is a player who has no rating published in the US Chess Rating Supplement used for the tournament. In accordance with [Section 12.4](#) of these Scholastic Regulations and US Chess rule 28D, ***a player who has an OTB rating in another recognized rating system as described in Section 12.5 above probably will not be considered unrated*** for the purpose of prizes and sectioning, even if the player has no US Chess rating. Accordingly, the Unrated Section in a US Chess National Scholastic Event is only for players who have no rating.

13 TEAM REQUIREMENTS FOR NATIONAL SCHOLASTIC EVENTS

13.1 Individual Tournament, Team Scoring

National Scholastic Events are individual tournaments with team scoring. A student who does not qualify to represent a team under Sections 13.2, 13.3, or 13.4 (below) can compete only as an individual.

⁴ **For example**, if the May Rating Supplement is used for the 2018 Elementary (K-6) Nationals, then the OTB DB Lead shall create an equivalent “May Rating Supplement” for each of the other OTB rating systems being used in the tournament. The ratings listed in these products shall reflect player ratings dated as close as possible to the cut-off date for the US Chess May Rating Supplement.

13.2 Team Composition

In National Scholastic Events (i.e., the Spring Nationals and the K-12) a team is comprised of students who attend the same school. (See Section [13.2.4](#) for further clarification of player eligibility.)

- 13.2.1 A school is defined as an institution which is under one Head of School and serves one student body. A school may be part of one or more buildings, and on one or more campuses. A school must provide core curricular instruction as defined by state or local law or regulation. Schools with students on multiple campuses **must seek prior approval from the US Chess Director of Events at least three weeks before the start date of the tournament** to compete in a US Chess national scholastic event. (See footnote)⁵
- 13.2.1.1 A ‘local public school’ for a home schooled or virtual school student is defined as **the public school the student would attend if attending public school**. For example, the local public school for a *3rd grade home schooled or virtual school student is the local public elementary school whose geographic footprint, as defined by the applicable local department of education authorities, encompasses the student’s home residence address.
- 13.2.1.2 A ‘public school district’ is a grouping of public schools (most usually within a city or county, but may be a single school in a small city) recognized and designated as being a public school district by that state’s department of education. A ‘local public school’ is not the entire district but is the area that a single public school covers.
- 13.2.2 A student will be considered to attend a school if and only if he or she physically attends classes at that school and receives more than 50% of his or her core curricular instruction from that school.
- 13.2.2.1 **Pre-School players** are allowed to play for the school (public or private) they plan to attend once they reach Kindergarten age. Before travelling to a tournament, the parents, coach or guardian of such a player shall obtain a “Letter of Authorization” signed by a senior administrator (e.g. Principal, Director, Vice-Principal, Assistant Director, School Master) of the school to document their players’ eligibility to participate as part of a specific school’s team. In this letter, the senior administrator shall verify that the player would attend the school if the player were old enough. The player, parents, coach or guardian should bring this letter to the tournament and be ready to provide it to US Chess if requested.
- 13.2.3 Each school may enter only one team per section.
- 13.2.4 (NEW for 2019-20). **Team Eligibility for Transferring Players**. For US Chess National Scholastic Events paired as individual tournaments with team scoring (e.g. the K12 Grade Nationals, Spring Nationals, SuperNationals, etc.), a player must meet the following eligibility criteria for his/her performance to be counted toward their current school’s team score.
- 13.2.4.1 The criteria below apply to students attending public, private, home and virtual schools.
- 13.2.4.2 **In the Fall**. For events held in the Fall (from August 1 to December 31), the player must have started at his/her new school no later than one week after the beginning of the new school’s Fall semester. If the student’s new school uses a Quarter-based or Trimester system, then the effective date for the player’s eligibility is the 7th calendar day of the first quarter or trimester occurring after August 1st.
- 13.2.4.3 **In the Spring**. For events held in the Spring (January 1 to June 30), the player must have started at his/her new school no later than one week after the start of the new school’s Spring semester. If the student’s new school uses a Quarter-based or Trimester system, then the effective date for the player’s eligibility is the 7th calendar day of the first quarter or trimester occurring after January 1st. If the first day of the first quarter or trimester of the Spring begins after February 1st, then the player must have started at the new school during the previous quarter or trimester.
- 13.2.4.4 A student who transfers from one school to another after the end of the applicable eligibility period **is not eligible to play as a member of the new school’s team, but is eligible to compete as an individual**. That player’s event registration shall not contain any “team code,” including the code of their previous school.
- 13.2.4.5 **US Chess strongly encourages** players, parents or coaches who have questions about team eligibility to contact the US Chess Director of Events by email or phone well in advance of the tournament.

⁵ Also see Section 12 (Player Eligibility), or contact the US Chess Director of Events at (931)787-1234.

13.3 Home Schooled Students

Teams may also be comprised of home-schooled students.

- 13.3.1 A **home-schooled student** is defined as a student who receives at least 50% of their curricular instruction at home, through a local home school cooperative, or through other methods of homeschooling allowable by state law.
- 13.3.2 Home schooled students have three options for participating on a scholastic team in US Chess National Scholastic Events:
- 13.3.2.1 A home-schooled student may represent his or her local public school when access to extracurricular activities at the school is permitted and the student resides within the boundaries of the local public school as defined in Section 13.2.1. Before travelling to a tournament, the parents, coach or guardian of such a player shall obtain a "Letter of Authorization" signed by a senior administrator (e.g. Principal, Director, Vice-Principal, Assistant Director, School Master) of the school to document their players' authorization to participate as part of a specific school's team. The player, parents, coach or guardian shall bring this letter to the tournament and be ready to provide it to US Chess upon request.
- 13.3.2.2 A home-schooled student may join with other home schooled students who reside within the boundary of the same local public school (see [Section 13.2.1.1](#) above).
- 13.3.2.3 Home school students who attend the same local home school cooperative may form a team. A home school cooperative must meet the definition of a school in [Section 13.2.1](#). Most importantly, it must offer academic subjects and not be formed solely as a chess club for the purpose of competing.
- 13.3.2.4 **Eligibility for Players in Events with Team Scoring.** See [Section 13.2.4](#) above.
- 13.3.3 There may be more than one home school team per recognized public school district.

13.4 Virtual School Students

Teams may also be comprised of virtual school students.

- 13.4.1 Virtual school students are students who receive more than 50% of their ~~curricular~~ curricular instruction on-line, but not in the school building.
- 13.4.2 Virtual schooled students have three options for participating on a scholastic team in US Chess National Scholastic Events:
- 13.4.2.1 Virtual school students may play for their local public school in the same manner as home school students under the provisions of [Section 13.2.1.1](#).
- 13.4.2.2 Virtual school students enrolled through the public school system and who live within the boundaries of their local public school may form a team in the same manner as home school students under the provisions of [Section 13.2.1.2](#).
- 13.4.2.3 Students who attend the same virtual school, but do not live within the boundaries of their local public school, must seek prior approval from the US Chess Main Office as specified in [Section 13.2.1](#) before registering to compete as a team.
- 13.4.2.4 **Eligibility for Events with Team Scoring.** See [Section 13.2.4](#) above.

13.5 Team Size

- 13.5.1 A scholastic team must contain a minimum of two players to be eligible for team prizes.
- 13.5.2 There is no maximum number of players who may compete on a team, but only the top 4 scores in any section count for team score in the **Spring Nationals**, and the top 3 scores in the **K-12 Grade event**.

13.6 Other Specifications

- 13.6.1 No club teams are allowed in National Scholastic events.
- 13.6.2 Bonus points will not be added to team or individual scores. Points cannot be transferred between sections unless the player has changed sections as described in [Section 11.2.2](#).
- 13.6.3 The coach, an adult team representative when there is no 'coach', or the 'Team Captain' is responsible for assuring all the team's players are properly registered and eligible to participate as members of the team.
- 13.6.4 US Chess Affiliate Membership is encouraged for all teams.

14 TEAM REQUIREMENTS FOR NATIONAL YOUTH EVENTS

This Section describes Team requirements for National Youth events only—e.g., the U.S. Junior Chess Congress, the National Youth Action Championship, and the U.S. Junior Open. For Team Requirements that apply to other National Scholastic events, please see [Section 13.2](#).

14.1 Basic Team Composition

Teams will represent chess clubs and consist of youth who:

- 14.1.1 Meet the age requirements, as defined in [Section 12.2](#) of these regulations
- 14.1.2 Are members of the club represented
- 14.1.3 Are residents of the United States

14.2 Club Teams

US Chess recognizes **two types of club teams** for National Youth events:

- 14.2.1 A **School Club** is a club whose team members meet together in any brick-and-mortar school, home school, or virtual school. School club players shall be students who go to the same school and meet the attendance criteria of Section 14.2.4 below.
- 14.2.2 An **Outside Club** is a club who draws its players from different schools and whose players meet together regularly as described in Section 14.2.4 below. Outside clubs may meet at any location.
- 14.2.3 A group of students who receive individual private lessons from the same coach **are not** a club.
- 14.2.4 Any student who desires to play for a School or Outside club must attend at least six regularly scheduled club meetings over a period of at least three months immediately preceding the tournament and must be verified by the club president or designated club official. A club team member cannot count more than two attendances for any seven consecutive days for purposes of this regulation.
 - 14.2.4.1 A student who attends both a School Club and an Outside Club is eligible to play for either club only in a National Youth event. However, the player may not change clubs once the tournament begins, even if the player meets all Section 14.2.4 attendance criteria for both clubs.
- 14.2.5 A club team must contain a minimum of three players to be eligible for team prizes.
- 14.2.6 There is no maximum number of players who may compete on a club team, but only the top four scores in any one section of the National Youth Action, or the top three scores in any one section of the US Junior Open or US Junior Chess Congress, will count toward the team score.
- 14.2.7 Bonus points will not be added to team or individual scores. Points cannot be transferred between sections unless the player has changed sections. The section change must be authorized by the Chief TD for the tournament.
- 14.2.8 The Coach or designated adult accompanying the club team is responsible for assuring that all of his or her players are properly registered and eligible to participate as members of the team.
- 14.2.9 US Chess encourages Club Teams to obtain Affiliate Memberships.

15 OPENING CEREMONY

15.1 Ceremony Length

- 15.1.1 The organizer will arrange a brief (maximum 15 minutes) but impressive Opening Ceremony, a half hour prior to the start of the first round.
- 15.1.2 The organizer will arrange for a US Chess representative to be present who, along with the organizer, will welcome all participants and guests. Local dignitaries (mayor, county executive, congressmen, school superintendent, etc.) will be invited to attend, as well as, the press. Past experience has shown that local officials have a strong interest in participating in such ceremonies.
- 15.1.3 While they may not always be able to attend, an invitation to the US Chess President and US Chess Executive Director to participate in the Opening and/or Closing Ceremonies should be formally extended.
- 15.1.4 Official proclamations from the mayors, county executives, and governors are often obtainable. These proclamations may welcome visiting teams and even designate a “chess week.” The presentation of the National Anthem is strongly encouraged.

16 AWARDS CEREMONY

The organizer is responsible for one or more awards ceremonies in keeping with the stature of a national championship.

16.1 Ceremony Length

The ceremony should last no longer than an hour and a half.

16.2 Number of Awards Presented

16.2.1 The number of awards being presented on stage in each section shall be included in the Tournament Newsletter, and (if possible) also in the Tournament Program.

16.2.2 Place awards not being presented on stage will be announced before handing out the place awards during the ceremony. For example, if the Team trophies for a section are 1st-15th place, but only 1st-10th are being presented on stage, then the Announcer shall announce the players who won the 11th-15th place trophies before handing out the 1st-10th Team trophies.

16.2.3 The list of prize winners should be posted as soon as possible. Award lists shall be posted sufficiently ahead of the awards ceremony to allow for errors to be brought to the attention of the Tournament Director and to allow corrections to be made. If corrections are made, the awards lists shall be re-posted as soon as possible and before the awards ceremony.

16.3 Awards Presentation

16.3.1 The order of the awards presentation should be announced at the beginning of the ceremony.

16.3.2 It is recommended that a senior representative from US Chess, the Chief Tournament Director and/or the US Chess Scholastic Council representative (when present) announce prize winners. A minimum of three assistants should be available to hand out trophies.

16.3.3 Trophies should be displayed in awarding order (this saves time and prevents mistakes and confusion).

16.3.4 Award winners shall be called to the stage in an orderly fashion for trophy presentation. Walk them across the stage and read their names.

16.3.5 The scores of winners should be mentioned, and ties acknowledged.

16.3.6 Individual and team award presentations will be from lowest to highest place.

16.3.7 A separate area for photography should be provided for players. This area should be located far enough away from the stage so as to not disrupt the ongoing awards presentation. If available, it is recommended that a US Chess banner be available as a background.

17 SECURITY AND HEALTH**17.1 Security**

17.1.1 The organizer should work with the host facility staff to ensure appropriate security arrangements are in place for the event.

17.1.2 If the host facility does not get involved in security matters, the organizer needs to contact the local police department and discuss the event with them.

17.2 Health Matters

17.2.1 All teams should be encouraged to leave a local phone number and/or hotel room number with tournament personnel so they can be contacted in case of emergency.

17.2.2 No alcohol or tobacco products are to be allowed in any hotel or convention space which is contracted for the event.

17.2.3 For all tournaments where the anticipated attendance is above 1,000 the organizer must supply trained medical personnel on site.

18 TOURNAMENT FORMAT

Any deviations from the specifications of this section must be reviewed by the US Chess Scholastic Council's representative and approved by the US Chess Executive Board before event advertising begins.

18.1 Pairings

- 18.1.1 National Scholastic events are individual tournaments with team scoring. Accordingly, they are *paired as individual Swiss System tournaments*, with individual scores added to create team scores. If a *Championship section has more than $2^{(N+1)}$ players* where “N” is the number of rounds, the Chief TD will consider using accelerated pairings (e.g. 7 rounds with more than 256 players, or 9 rounds with more than 1,024 players). Accelerated pairings will not be used to pair players in the Unrated or Under sections.
- 18.1.2 Players from the same State or Team will not be paired together earlier than Round 5 in a 7-round event, or Round 4 in a 6-round event unless no other reasonable natural pairing can be made such as:
- 18.1.2.1 When *more than 25% of the players in a section or score group* are from the same State or Team, they may be paired together as early as Round 2.
- 18.1.2.2 When *more than 50% of the players in a section or score group* are from the same State or Team, some players must be paired together starting in Round 1. It is strongly recommended that for national tournaments only pairing programs that can accommodate these requirements be used. A waiver from the US Chess is required for the use of non-compliant programs.
- 18.1.2.3 When conflicts occur between individual pairings and team considerations—and where the top prizes/awards are at stake—individual pairings shall have higher priority.

18.2 Scoring

The combined total of the top four scoring players from the same school determines that team’s score in the **Spring Nationals** and the **National Youth Action Championship**, the top three scoring players in the **K-12 Grade Championship**, the **U.S. Junior Open**, the **All-Girls Nationals**, and the **Junior Chess Congress**.

18.3 Sectioning

Sections at the Spring Nationals and SuperNationals, shall be organized as follows:

- 18.3.1 High School:
- K-12 Championship
 - And the following non-championship sections: K12U1900, K12U1600, K12U1200, K12U800, and K12Unrated
- 18.3.2 **Junior High:**
- K-9 Championship
 - And the following non-championship sections: K9U1700, K9U1400, K9U1100, K9U900, K9U700, and K9Unrated
 - **Note: The K-8 Sections were removed from the tournament in schoolyear 2019-20.**
- 18.3.3 Elementary:
- K-6 Championship, K-5 Championship, K-3 Championship and K-1 Championship
 - And the following non-championship Sections: K6U1400, K6U1000, K6Unrated, K5U1200, K5U900, K3U1000, K3U700, K3Unrated, and K1U500&Unrated
- 18.3.4 Note: In the Spring Nationals, Unrated Players may enter only in the Championship or Unrated sections, except as noted in the K-1 Under500/Unrated Section. (See [Section 12.6](#) of these regulations for the definition of an Unrated Player.)

18.4 K-12 Grade Championship

There will be one section for each grade K through 12.

18.5 National Youth Action Championship

Four Sections: High School (K-12), Middle School/Junior High (K-9), Elementary (K-6), and Primary (K-3). These sections may be changed by the Organizer when approved as part of their winning bid by the Director of National Events in consultation with the Scholastic Council.

18.6 Junior Chess Congress

Eight Sections: Ages 6 and under, 8 and under, 10 and under, 12 and under, 14 and under, 16 and under, 18 and under, and 20 and under. These sections may be changed by the Organizer when approved as part of their winning bid by the Director of National Events in consultation with the Scholastic Council.

18.7 All-Girls Nationals

Six Sections: Under age 8, Under 10, Under 12, Under 14, Under 16, and Under 18. These sections may be changed by the Organizer when approved as part of their winning bid by the Director of National Events in consultation with the Scholastic Council.

18.8 U.S. Junior Open

Three Sections: Under age 21, under 15, and under 11. These sections may be changed by the Organizer when approved as part of their winning bid by the Director of National Events in consultation with the Scholastic Council.

18.9 Start Times

- 18.9.1 **SuperNationals:** Please consult the Tournament Life Announcement for this event, the tournament program book, and other informational materials handed out or posted at the tournament by US Chess.
- 18.9.2 **Spring Nationals:** K-12 and K-9 Sections, including Under and Unrated Sections:
- Rounds 1-2: Friday 1:00 pm & 7:00 pm
 - Rounds 3-4-5: Saturday 9:00 am, 2:00 pm & 7:00 pm
 - Rounds 6-7: Sunday 9:00 am & 2:00 pm
- 18.9.3 **Spring Nationals:** K-6 and lower sections, including Under and Unrated Sections as shown below. Note that the K-1 sections may have different start times.
- Rounds 1-2: Friday 1:00 pm & 6:00 pm
 - Rounds 3-4-5: Saturday 10:00 am, 2:00 pm & 6:00 pm
 - Rounds 6-7: Sunday 10:00 am & 2:00 pm
- 18.9.4 **National Bughouse Championship** (at **SuperNationals** and all Spring Nationals)
- 6 Rounds, with Round 1 on Thursday at 11:00 and subsequent rounds held as soon as possible after the previous round. (*See also Appendix B.*)
- 18.9.5 **National Blitz Championship** (at **SuperNationals** and all Spring Nationals)
- 6 Rounds, with Round 1 on Thursday at 5:00 pm and subsequent rounds held as soon as possible after the previous round. Minimum team size is 2 players, and the maximum number of players' scores that count toward Blitz Team awards is the top 4 scores. (*See Appendix A.*)
- 18.9.6 K-12 Grade Championship
- Rounds 1-2: Friday 1:00 pm, 6:00 pm
 - Rounds 3-4-5: Saturday 10:00 am, 2:00 pm & 6:00 pm
 - Rounds 6-7: Sunday 9:00 am & 1:00 pm
- 18.9.6.1 Rounds in the K-1 sections may have different start times. The Tournament Life Announcement and the Event Program will specify any differences.
- 18.9.6.2 **K12 Grade's Bughouse:** Thursday at 11:00 am with 5 rounds of 2-game matches and all players in one section. This is *not a national championship event*, and the face plates on the winning team's two trophies shall say "1st Place."
- 18.9.6.3 **K12 Grade's Blitz:** Thursday at 5:00 pm with 6 rounds of 2-game matches in two sections—K-6 and K-12. Minimum team size is 2 players, and the maximum number of players' scores that count toward Blitz Team awards is the top 3 scores. This is *not a national championship event*, and the face plate on the winning team's trophy shall say "1st Place."
- 18.9.7 National Youth Action Championship
- Rounds 1-2-3-4-5: Saturday 10:00 am, 12-noon, 2:00 pm, 3:30 pm & 5:00 pm
 - Rounds 6-7-8-9: Sunday 10:00 am, 12-noon, 2:00 pm, & 3:30 pm
- 18.9.8 Junior Chess Congress
- Rounds 1-2-3: Saturday 10:00 am, 2:00 pm, 6:00 pm
 - Rounds 4-5: Sunday 9:00 am & 1:00 pm
 - The Organizer may propose different start times for each round when approved as part of their winning bid by the Director of National Events in consultation with the Scholastic Council.
- 18.9.9 **U.S. Junior Open & All-Girls Nationals:** Round times to be determined by the organizers.

18.10 Time Controls:

18.10.1 Spring Nationals and SuperNationals:

- 18.10.1.1 All K-12 and K-9-Sections shall have a time control of Game/120, with a 5-second time delay.
- 18.10.1.2 All K-6, K-5, K-3 and K-1 sections shall have a time control of Game/90, with a 5-second time delay.
- 18.10.1.3 In all sections the US Chess Rule 11D about two extra minutes for illegal moves or touch-move violations will not be imposed until either player has less than 60 minutes remaining on their clock.

18.10.2 K-12 Grade Championship: Game/90, with 5-second time delay.

- 18.10.2.1 In all sections the US Chess Rule 11D about two extra minutes for illegal moves or touch-move violations will not be imposed until either player has less than 60 minutes remaining on their clock.

18.10.3 National Youth Action Championship: G/30, with 5-second time delay.

18.10.4 Junior Chess Congress & All-Girls Nationals: G/90, with 5-second time delay. The Organizer may propose time controls as short as G/45, delay-5 when approved as part of their winning bid by the Director of National Events in consultation with the Scholastic Council.

18.10.5 U.S. Junior Open: G/120, with 5-second time delay.

19 CHESS NOTATION

19.1 Requirements

- 19.1.1 All players *must use the official scoresheets provided by the tournament* to record the moves during their games. Organizers will make available a downloadable version of the official scoresheet so players can practice before the tournament. Players *may not* use their personal score books or other notation devices. For authorized exceptions see [Section 19.2](#).
- 19.1.2 The recognized forms of notation are algebraic and descriptive.
- 19.1.3 **Chess notation is required** in the following events and sections: *(The table below is new.)*

Tournament	Section	Required?	
National Elementary (K-6) Championship and SuperNationals	K-1 Under 500 & Unrated		No
	K-1 Championship		No
	K-3 Unrated		No
	K-3 Under 700		No
	K-3 Under 1000	Yes	
	K-3 Championship	Yes	
	K-5 Under 900	Yes	
	K-5 Under 1200	Yes	
	K-5 Championship	Yes	
	K-6 Unrated	Yes	
	K-6 Under 1000	Yes	
	K-6 Under 1400	Yes	
	K-6 Championship	Yes	
National Junior High (K-9) Championship and SuperNationals	All sections	Yes	
National High School (K-12) Championship and SuperNationals	All sections	Yes	
National K-12 Grade Championship	Kindergarten		No
	Grade 1		No
	Grade 2 through Grade 12	Yes	
National Youth Action Championship	Primary (K-3)	Yes	
	Elementary (K-6)	Yes	
	Middle School/ Junior High (K-9)	Yes	
	High School (K-12)	Yes	

Tournament	Section	Required?	
All-Girls National Championship	Under 8		No
	Under 10	Yes	
	Under 12	Yes	
	Under 14	Yes	
	Under 16	Yes	
	Under 18	Yes	
Junior Chess Congress	Age 6 & under		No
	Age 8 & under		No
	Age 10 & under	Yes	
	Age 12 & under	Yes	
	Age 14 & under	Yes	
	Age 16 & under	Yes	
	Age 18 & under	Yes	
	Age 20 & under	Yes	
US Junior Open	Age under 11	Yes	
	Age under 15	Yes	
	Age under 21	Yes	

19.1.4 **Rule 15A** from the US Chess Rules of Chess, requiring players to move before notating their move, **shall be enforced for all players** in National Scholastic tournaments except as listed below.

19.1.4.1 **The variation to Rule 15A** from the US Chess Rules of Chess, permitting players to notate their move before moving, **shall be allowed** for the following sections of National Scholastic tournaments:

- K-12 Grade Nationals: Kindergarten, 1st Grade, 2nd Grade.
- K-6 Elementary Nationals: All K-1 sections (including K-1 Championship), and all K-3 non-championship sections.
- All-Girls Nationals: Under 8 Section.

19.1.5 At all times during a game, both players shall keep their notation sheets, booklets or programs on the table top so they are clearly visible to the opponent and tournament staff.

19.1.6 In sections where notation is optional, players may notate by using only (a) an official scoresheet provided by the organizer, or, (b) an alternative method approved as an individual accommodation by the Director of Events.

19.1.7 A section's notation requirements pertain to all players within it. For example, if a first grader chooses to play in a K-9 section, she or he must abide by the notation requirements of that section regardless of her or his grade.

19.2 **Exceptions.**

The following exceptions apply:

19.2.1 Players with special circumstances who need to use an alternative means of score keeping.

19.2.1.1 Accommodation under Section 19.2.1 requires prior approval from the US Chess Director of Events.

19.2.1.2 US Chess strongly encourages players seeking accommodation under Section 19.2.1 to contact the Director of Events **at least** three weeks before the tournament. This allows time for the request, submission and review of supporting documentation to US Chess, if needed.

19.2.1.3 Late registrants seeking this accommodation should still contact the Director of Events before the event, or ask for the Director of Events at Chess Control upon arrival at the site.

20 **TIE BREAKS**

Tie-breaks points are used only to the awarding of trophies.

20.1 **Calculating Tie Breaks**

20.1.1 Computer programs are now used to determine pairings, standings and **tie-breaks** for all national scholastic events.

- 20.1.2 If tie-breaks are done by hand, they should be calculated at the end of the next-to-last-round. Careful preparation for manually calculating tie-breaks is necessary, even when using a computer.

20.2 Players tied for 1st Place

- 20.2.1 **All** players tied for first place are considered co-winners, but trophies will be awarded based on tie-breaks. (See Section 20.3 below.)
- 20.2.2 In the National K-12 Grade Championship and the All-Girls Nationals, all section winners **and co-winners** shall be designated **National Champion**.
- 20.2.3 In the Spring Nationals **and SuperNationals**, **only the winners and co-winners** of a Championship Section shall be designated **National Champion**. **Players who win an Under or Unrated Section shall be referred to as “1st Place Winners”, they are not National Champions.** (See also [22.1.1](#).)

20.3 Tie-Break Systems Used

- 20.3.1 When individual scores are equal, the following order of tie-break systems will be used to determine individual awards:
1. Modified Median
 2. Median
 3. Solkoff
 4. Sonneborn-Berger
 5. Cumulative
 6. Coin flip
- 20.3.2 When team scores are equal, the following order of tie-break systems will be used to determine team awards:
1. Total Individual Median
 2. Total Solkoff
 3. Total Sonneborn-Berger
 4. Total Cumulative
 5. Coin flip

21 CONDUCT OF THE TOURNAMENT

21.1 Playing Area Setup Considerations

- 21.1.1 The top boards should be roped off to highlight the top contestants and also to prevent congestion. Some events have highlighted these boards by isolating them in a central area.
- 21.1.2 The use of demonstration boards, internet transmission, or closed-circuit TV to display the top boards is recommended. If closed circuit TV is used, place the monitors in a separate room.
- 21.1.3 The intent is to allow spectators to see the players, but ***our goal is a completely silent Playing Hall!*** Access should not be denied to any person who conducts him or herself properly. The Chief TD and/or the organizer may limit spectator access only for the following two conditions: entries exceed predictions to the point that space limitations prevent full access, or when unforeseen emergency situations threaten the integrity of the tournament. The tournament staff may clear spectators from the playing hall prior to the start of each round to guarantee that the players have found their boards and can hear the signal to start clocks. Once that signal is given, spectators should be allowed to return to the playing hall(s) as soon as possible. No spectators (including players with finished games) should be allowed to sit in any area where tournament games are in progress. Only players and tournament staff are allowed in the aisles between the tables. ***See the Guide for Spectator Conduct ([Appendix E](#)) and [Section 21.6](#) below.***
- 21.1.4 The K-1 section of the Elementary event must be closed to everyone except the players and tournament staff (always be prepared to restrict access when necessary). The organizer will provide a “parent waiting area” immediately adjacent and connected to the K-1 playing room. The organizer also will install measures to account for all K-1 players (e.g. nametags or wristbands), escorting them to/from bathrooms using volunteers (not a player’s parents), and handing off each player to their parent / guardian / coach after his/her game finishes.
- 21.1.5 In the interest of player security, at all elementary sections of national events, certain doors will be identified as player exits according to the physical layout of the facility.

- 21.1.6 Where space permits, a designated parent waiting area shall be set up in the playing hall where parents and other responsible adults can meet players who are exiting the playing area after the conclusion of their game. Adults may remain in this area from the start of the round until all games have been completed. This does not apply to K-1 sections.

21.2 Announcements and Photography

- 21.2.1 Except in the event of an emergency, announcements may only be made prior to the start of each round.
- 21.2.2 Photography using cameras with flash or audible shutters is restricted to the first ten minutes of each round. Silent, unobtrusive photography will always be allowed unless the tournament staff determines that it is a distraction.

21.3 Event Staff and Pairing Lists for Teams

- 21.3.1 **Event Staff:** Relatives and coaches of players are not allowed to serve as TDs or Score Keepers for sections in which their players participate.
- 21.3.2 A list of pairings by team should be provided to coaches as soon as possible prior to the start of each round. All players from the team should be listed alphabetically regardless of section. The team pairing lists should be distributed alphabetically by team.

21.4 Game Result Slips

- 21.4.1 The organizer will provide Game Result Slips for each section in the tournament. The use of different color slips for each section (or different sections in the same room) is a preferred practice.
- 21.4.2 The Game Result Slip will contain places for both players to print their name, school, section, round of play, grade in school, indicate the result, and sign the result. It also will provide a place for the TD to sign or place his/her initials.
- 21.4.3 Players must fill out and sign the Result Slip indicating the result of the game. A TD will pick up the form at the board. No player shall exit the playing room with the Game Result Slip for their game in their possession.
- 21.4.4 The Chief TD and Section Chiefs will ensure all Floor TDs are briefed on the requirement to record game issues and player claims on the backside of the game result slip in order to support the claims process.

21.5 Electronic Devices, including Cell Phones

- 21.5.1 All players shall comply with the Electronic Device (ED) Policy for National Events Organized by US Chess. Penalties for violations at each event shall be as follows:
- 21.5.1.1 Penalties shall be the same as those listed in the ED Policy for players in the following events / sections:
- K-3 Championship Section, and all K-5 and K-6 sections of the National Elementary, and all sections of the National Middle School/Junior High (K-9) and the National High School (K-12), **including when these sections are part of the SuperNationals**
 - 4th Grade through 12th Grade Sections of the K-12 Grade tournament
 - K-12, K-9 and K-6 sections of the National Youth Action Championship
 - The Under 10 and all higher sections of the All-Girls Nationals
- 21.5.1.2 For all sections not listed above, the penalties shall be:
- 1st Offense: Half of the offending player's time
 - 2nd Offense: Forfeiture of game
- 21.5.2 Players must understand that once their game is complete, they are now "spectators" for the remainder of the current round (see Section 21.6.1 below).

21.6 Spectator Behavior.

- 21.6.1 Spectator (definition). The designation of "Spectators" includes all people inside the Playing Hall other than players with ongoing games, Tournament Directors, Event Staff, Hotel Staff, Security, Media with US Chess Press credentials, and medical support personnel. Parents, coaches or guardians are Spectators. In addition, a player whose game is finished also is a Spectator.

- 21.6.2 ***All Spectators are PROHIBITED*** from using any Electronic Device (ED) in the Playing Hall—***including the designated Seating Area***. Spectators who abuse this rule can expect to be asked to leave the Playing Hall by the Tournament Staff. See Also Appendix E (Guide for Spectator Conduct).
- 21.6.3 ***Talking among Spectators***. Any talking ***must be kept to a quiet whisper***. ***Talking on a cell phone is not permitted*** anywhere in the Playing Room, including the Seating Area. Spectators whose talking disturbs play should expect to be told by the Tournament Staff to leave the Playing Hall for at least 15 minutes during the current round, longer for repeat offenses.
- 21.6.4 ***Photography and Video-recording***. Unless the Chief TD or Floor Chief specifies otherwise, photography or video-recording is permitted only during the first 10 minutes of each round. ***This includes the use of cell phones and tablet computers*** (in accordance with 12.6.2 above they are electronic devices). At no time is flash photography or video lighting allowed. This rule does not apply to persons who possess an official US Chess Press / Media Pass or another appropriate pass authorized by the US Chess.

21.7 Players who have Ongoing Games

- 21.7.1 During their games, players should remain at their boards or in the Playing Room silently observing adjacent games within their Section.
- 21.7.2 A player with an active game may not leave the Playing Room except to travel to and from the lavatory.
- 21.7.2.1 When going to the lavatory, players must leave their official scoresheet at their board.
- 21.7.2.2 When a “Players Only” bathroom has been designated at the tournament, it is the only bathroom players shall use while their games are in progress.
- 21.7.2.3 After a player’s game ends, that player is a spectator and may not use the Players Only bathroom.
- 21.7.3 Players who need to leave the playing room during play for an extended period (more than 10 minutes) will inform one of the TDs in their Section before leaving the playing area. Players gone from the playing area for an unusual period of time should expect to be questioned by the Tournament Staff when they return to the board.
- 21.7.4 Exceptions include medical emergencies.

21.8 Contact between Players

- 21.8.1 Except for a medical emergency, a player whose game is in progress shall not have contact with anyone without first getting permission from a Tournament Director. Players and spectators have a heightened sensitivity towards any behavior that has an appearance of cheating. A good practice is for players to treat friends and teammates as strangers—avoiding any interaction while their games are in progress. Having a nearly silent playing hall minimizes distractions.

21.9 Answering Questions about Checkmate or Stalemate

- 21.9.1 Applicability. The guidance below applies to the following tournaments and sections:
- K12 Grade Nationals: Kindergarten Section, 1st Grade Section, 2nd Grade Section.
 - K-6 Elementary Nationals (**including SuperNationals**): All K-1 sections, plus all K-3 non-championship sections. The Chief TD of the K-6 Nationals may consider applying this rule to all non-championship sections of the tournament.
- 21.9.2 When a player claims checkmate or stalemate, the TD will question both players by asking, ***“What do you think?”***
- 21.9.2.1 If both players are of the same opinion, the result is confirmed regardless of the position on the board.
- 21.9.2.2 If one player expresses uncertainty, the TD will instruct the player whose turn it is to make a move.
- 21.9.2.3 If a legal move is played, the game shall continue.
- 21.9.2.4 If the players conclude that no legal move is possible, the TD further asks, ***“Is the King in check?”***
- 21.9.2.5 Depending on the answer, the TD rules checkmate or stalemate.

22 TROPHIES & AWARDS

22.1 General Specifications & Principles

- 22.1.1 Only players and teams who win, or are tied for, 1st place in a Championship Section of the event shall be designated as “National Champion” or “National Co-Champion.” Players and teams who win 1st place in any Under or Unrated section shall not be recognized by US Chess as National Champions and it is inappropriate for those players or teams to describe themselves as such.
- 22.1.2 Players can win only one individual award. Place awards take precedence over all other trophy awards.
- 22.1.3 Award size, looks, weight and quality should display the prestige and importance of a National Chess Championship event.
- 22.1.4 The Director of Events, in consultation with the Scholastic Council, may use alternative awards in place of trophies for individual winners.

22.2 Sizes and Face Plates

- 22.2.1 **Championship Sections.** Trophy sizes for Individuals and Teams in each Championship Section will be the same. Trophy sizes will be graduated from a **minimum** of 48" in height (for first place) down to a **minimum** of 24" (for the lowest place). The face plates for winning individuals and teams will bear the words “National Champion.”
- 22.2.2 **Non-Championship Sections.** Team and Individual trophies in non-championship sections shall be no taller than 32" in height down to a minimum of 14" for the lowest place. Tied-for and Rating class trophies shall be smaller than the lowest place trophies. The face plates for first place individual and team awards in the non-championship sections will bear the words “1st Place.” ***They shall not use the word “Champion” or “Champions,”*** which are reserved only for the face plates of the top trophies/awards in the Championship sections.

22.3 Quantities of Trophies & Awards

- 22.3.1 In the Spring National Scholastic tournaments, **including SuperNationals,** US Chess shall award trophies to the Championship and Non-Championship sections as listed below:
- **Championship Sections:** A minimum of 1 individual trophy per 10 players and a minimum of 1 team trophy per 15 players based on average attendance for the two previous years. Plaques shall be awarded to 4 players and the coach of the top five teams.
 - **Under Sections:** A minimum of 1 individual trophy per 15 players and a minimum of 1 team trophy per 20 players in each section based on average attendance for the previous two years. Plaques shall be awarded to **4 players and the coach of the First Place Team.** These plaques shall be at least one-quarter (25%) smaller than the individual / coaches plaques given in the Championships sections.
 - **Unrated-only Sections:** A minimum of 1 individual trophy per 15 players based on average attendance for the previous two years. ***There are no Team trophies in the Unrated Sections.***
 - Where new sections are involved and there is no history, the Director of Events will work with the Scholastic Council to identify appropriate quantities of individual and team trophies.
 - Trophies for the top 3 places shall be awarded in each of the following class sections:
 - 22.3.1.1 High School:
 - K-12 Championship Section: 1900-1999, 1800-1899, 1700-1799, 1600-1699, 1500-1599, 1400-1499, Under 1400, and Unrated
 - 22.3.1.2 Middle School/Junior High:
 - K-9 Championship Section: 1700-1799, 1600-1699, 1500-1599, 1400-1499, 1300-1399, 1200-1299, Under 1200, and Unrated
 - 22.3.1.3 Elementary:
 - K-6 Championship Section: 1400-1499, 1300-1399, 1200-1299, 1100-1199, 1000-1099, Under 1000, and Unrated
 - K-5 Championship Section: Same class awards as K-6 Championship
 - K-3 Championship Section: 1100-1199, 1000-1099, 900-999, 800-899, Under 800, and Unrated

- 22.3.1.4 National Bughouse Championship
 - National High School: K-12 section only
 - National Junior High/Middle School: K-9 section only
 - National Elementary: K-6 section only
 - SuperNationals: Sections for K-12, K-9 and K-6
- 22.3.1.5 National Blitz Championship
 - National High School: K-12 section only
 - National Junior High/Middle School: K-9 section only
 - National Elementary: Sections for K-6 and K-3
 - SuperNationals: Sections for K-12, K-9, K-6 and K-3
- 22.3.2 Each grade level of the **K-12 Grade Championship: Top ten individuals and top five teams** per 100 players in each section (averaged over the past two years), plus the following class awards:

Grade	Class Awards by Grade <i>(for the Top 3 players in each Class based on tie-break points)</i>						
12 th	1400-1599	1200-1399	1000-1199	Under 1000	none	none	Unrated
11 th	1400-1599	1200-1399	1000-1199	Under 1000	none	none	Unrated
10 th	1400-1599	1200-1399	1000-1199	Under 1000	none	none	Unrated
9 th	None	1200-1399	1000-1199	800-999	Under 800	none	Unrated
8 th	None	1200-1399	1000-1199	800-999	Under 800	none	Unrated
7 th	None	1200-1399	1000-1199	800-999	Under 800	none	Unrated
6 th	None	none	1000-1199	800-999	600-799	Under 600	Unrated
5 th	None	none	1000-1199	800-999	600-799	Under 600	Unrated
4 th	None	none	1000-1199	800-999	600-799	Under 600	Unrated
3 rd	None	none	1000-1199	800-999	600-799	Under 600	Unrated
2 nd	None	none	1000-1199	800-999	600-799	Under 600	Unrated
1 st	None	none	None	800-999	600-799	Under 600	Unrated
KinderG	None	none	None	800-999	600-799	Under 600	Unrated

- 22.3.3 Each section of the **National Youth Action Championship: 10 individual and 5 team trophies** per 100 players in each section (average attendance in the past two years); plus plaques for 4 players and coach of top five teams.
- 22.3.4 Each age group of the **Junior Chess Congress: Top 10 individual finishers** in each section and top 5 teams.
- 22.3.5 In addition to the minimum number of trophies listed above, extra trophies should be awarded based on the following calculation: Add the total number of players who have participated in each section over the last two years and divide the average number by 6. These trophies should be awarded only in the case that the final number exceeds the minimum requirement. These additional trophies may be allocated to those tied with place trophy winners.
- 22.3.6 Ribbons and certificates for each participant have been used effectively at these events. Such items need no ceremonies and may be handed out at the results table. Organizers should be aware that tangible awards of some nature are recommended for the enhancement of these nationals. Plans for awards should be included in the bid and in advance publicity for the event.
- 22.3.7 Special trophies (gender specific trophies, etc.) are prohibited. Grade trophies are prohibited at all Spring Nationals.
- 22.3.8 Do not accept donations of extra trophies without the approval of the US Chess.
- 22.3.9 For all Side Events the minimum awards will be equal to the minimum offered in the Championship Section. See Appendices for specific information on the Blitz and Bughouse.

23 SCHOLASTIC MEETING AND OTHER MEETINGS

23.1 Scholastic Meeting

- 23.1.1 The Director of Events will schedule a Scholastic Meeting at every US Chess National Scholastic Event. This meeting usually occurs 15-30 minutes after the start of one of the rounds of play and is led by the tournament’s US Chess Scholastic Representative or the Organizer. Senior staff from US Chess frequently attend. This meeting should last no longer than one hour.
- 23.1.2 The purpose of the meeting is to discuss the tournament and any scholastic chess topics.

23.2 Other Meetings

- 23.2.1 Additional meetings and seminars are common at US Chess National Scholastic events. Examples include, but are not limited to: Grandmaster Simultaneous Exhibition, Book Signings, and Lectures; discussions on Women's Chess and/or International Youth Chess; US Chess Executive Director's "Open Door", etc.
- 23.2.2 All meetings must be approved by the Director of Events or Organizer in time for them to be printed in the event Program Book.

24 INTERNET COVERAGE AND SPECIAL CONSIDERATIONS**24.1 Before the Tournament**

- 24.1.1 *At least four months before the tournament*, US Chess or the organizer will provide a dedicated "Event Home Page" for each National Scholastic Tournament. The page will have essential information about the event (e.g. event-specific rules, lodging instructions and locations, registration fees and instructions, sectioning, awards, etc.). The home page also will contain links to make lodging arrangements and to enter the tournament.
- 24.1.2 The Event Home Page will have links to the list of pre-registered players showing each player's name, school, grade in school, section entered, and Bye requests.
- 24.1.3 When possible, the Event Home Page will include a PDF or on-line edition of the official Event Program which participants can download or print.

24.2 During the Tournament

- 24.2.1 Once the event begins, US Chess or the Organizer will add links on the Event Home Page so players can access the pairings, results and standings for all sections throughout the tournament.
- 24.2.2 When pairings are posted on-line, players, parents and coaches must remember that the "Official Pairings" are those pairings posted near or inside the Playing Area. There are occasions when the on-line pairings are different. When this occurs, the pairings posted near or inside the playing hall have precedence.
- 24.2.3 For each round, the tournament staff shall, at a minimum, post on-line the next round's pairings and the current standings. In addition, for the last 3 rounds of the tournament, the event staff also will post a Wall Chart online, sorted in pairing number sequence and showing each player's total score, round-by-round result, and color history. Because Wall Charts for sections can be extensive, they will only be printed and posted on-site at the discretion of the Organizer or Chief Tournament Director.

24.3 After the Tournament

- 24.3.1 US Chess or the Organizer will update the Event Home Page and include links to pages containing the Official Results.
- 24.3.2 US Chess or the Organizer also may provide links to one or more Post-Event Surveys being used to gather information from participants.

24.4 Recommended Special Considerations

- 24.4.1 Provide a large map of the United States, with the number of players from each state indicated on the map.
- 24.4.2 Provide coaches with a copy of the US Chess tournament cross-table as soon as it is available online.
- 24.4.3 Organized activities for players add to the attractiveness of these events. Such activities, including simultaneous exhibitions or lectures by famous players, are recommended.
- 24.4.4 It is strongly recommended that side events (blitz tournaments, etc.) be completed on the day before the start of a national scholastic.

25 SUPERNATIONALS**25.1 Definition & Specifications**

- 25.1.1 A SuperNationals is a concurrent, co-located, single tournament that includes all three Spring Nationals.

- 25.1.2 SuperNationals shall follow all specifications in the previous sections of these regulations in addition to the regulations in this section.
- 25.1.3 SuperNationals may be held no more frequently than every four years. The first SuperNational was held in 1997.

////////// End of the main text, Appendices begin on the next page //////////

APPENDIX A: NATIONAL SCHOLASTIC BLITZ CHAMPIONSHIP REGULATIONS

- A1.0 It is highly encouraged to have a Blitz Tournament as a side event at all National Scholastic events. However, *the only Blitz Tournaments that will be considered as National Championships will be those associated with the Spring Nationals.*
- A2.0 Rules. See Appendix A-1 on the next page.
- A2.1 All games will be played under Game-5 time control, with no time delay.
- A2.2 The rules that to be followed for a National Scholastic Blitz event (Appendix A-1) are to be displayed at an appropriate easy-to-find location on the US Chess website.
- A3.0 Tournament Format
- A3.1 Sections will be divided as follows:
- **National High School**: K-12 Championship
 - **National Junior High/Middle School**: K-9 Championship
 - **National Elementary**: K-6 Championship and K-3 Championship
 - **SuperNationals**: K-12 Championship, K-9 Championship, K-6 Championship, K-3 Championship
- A3.2 It is recommended that the tournament be a double-swiss tournament with a number of rounds appropriate for the number of participants.
- A3.3 No round shall begin after 9:30pm.
- A3.4 Entries are to be online (when available) and are also allowed onsite up to 4:00pm on the tournament day. Contestants in line at 4pm will be allowed to register. Additional entrants may be allowed at the discretion of the Chief TD and Tournament Organizer. **Please refer to the official tournament announcement for the cut-off time for Blitz entries.**
- A3.5 Round 1 shall begin at 5:00pm on Thursday, with subsequent rounds held as soon as possible after the end of the previous round. **Please refer to the official tournament announcement for the Round 1 start time.**
- A4.0 The individual and team awards for the Championship Section, as explained in A3.1 above, shall be the same as in the Championship Section for that Spring National. Other sections will have the number of awards that are deemed necessary based on projected attendance.

APPENDIX A-1: US CHESS SCHOLASTIC BLITZ RULES

(Last updated September 2020)

1. **Blitz will be played using Touch-Move rules**, not “Clock-Move.” Therefore, if a player touches one piece, then moves another and presses the clock, the opponent may press the player’s clock to force the player to move the piece touched, or may stop the clock to claim a violation. A penalty may be assessed.
2. One “round” of Blitz chess consists of 2 games, one played with the White pieces, the other played with the Black pieces (and vice-versa for the opponent). White first goes to the player whose name appears on the left-hand side of the Pairing Sheet.
3. Pairings will be made using the higher of each player’s **over-the-board (OTB)** US Chess Regular and Blitz ratings as listed in the Rating Supplement used for the main tournament. Established OTB ratings take precedence over provisional ratings. If a player has no US Chess Regular or Blitz rating, but has a rating in another OTB rating system, the Chief TD has the option to follow procedures specified in 28D and 28E of the Rules of Chess to assign the player a rating. If a player receives an assigned rating, it will be used for pairings and prize eligibility.
4. Each player must make all of their moves in the five minutes allotted on his clock. Time delay feature will not be used.
5. All the clocks must either have a special device, usually called a flag, or be a digital clock.
 - a. Whatever clock is used, analog or digital, the clock must continue to run for both sides even if one side’s time has expired.
 - b. A digital timer (given it meets the requirements of 5a) is preferred over an analog clock.
 - c. If Black and White have equivalent clocks (e.g. both analog or both digital), then the player with Black chooses the clock provided that player is at the board when the round begins.
6. Before play begins both players should inspect the position of their pieces and the setting of the clock, since once each side has made a move all claims for correcting either are null and void. The only exception is if one or both players have more than five minutes on their clock, then the tournament director (TD) may reduce the time accordingly.
 - a. If the King and Queen are set up incorrectly, it is legal to castle short on the Queenside and long on the Kingside.
 - b. If an illegal position is created or an illegal move made without the opponent making a claim, the position stands and a claim not allowed when the opponent has determined the next move (e.g. has released their piece on its destination square).
7. Each player must press the clock button with the same hand used to move the pieces. This rule also applies to castling and capturing.
8. The TD may state at the start of the event the direction the clocks are to face. The player with the Black pieces then chooses which side of the table on which to sit.
9. Except for pushing the clock button, neither player should touch the clock except:
 - a. To straighten it.
 - b. If either player knocks over the clock, the opponent gets one minute added to their clock.
 - c. If your opponent's clock does not start you may push the opponent’s side down and re-start your side; however, if this procedure is unsatisfactory, please call for a TD.
 - d. Each player must always be allowed to push their clock after moving. Neither player should keep their hand on, or hovering over, the clock. ***Moving with one hand and pressing the clock with the other hand is not allowed.***

10. Defining a win.
- a. A game is won by the player:
 - 1) Who has mated their opponent's King.
 - 2) Whose opponent resigns.
 - 3) Whose opponent's flag falls first, at any time before the game is otherwise ended, provided the player makes a valid claim and neutralizes the clock while their own flag is still up and has mating material.
 - 4) Who, after an illegal move, takes the opponent's King (if the King is in check) or claims the win and stops the clock.
 - b. An illegal move does not negate a player's right to claim on time, provided the player makes the claim before the opponent's claim of an illegal move. If the claims are simultaneous the player who made the illegal move loses.
 - c. **The presence of two minor pieces** ~~Either two minor pieces~~ (except K vs K+N+N), a Pawn, a Rook or a Queen is sufficient mating material.
11. Defining a draw.
- a. A game is a draw:
 - 1) If one of the Kings is stalemated, even if a flag fall is claimed simultaneously.
 - 2) By agreement between the players during the game only.
 - 3) If the flag of one player falls after the flag of the other player has already fallen and a win has not been claimed, unless either side mates before noticing both flags down. Checkmate nullifies any later time claims.
 - 4) If one player has insufficient mating material (see 10c above) when the opponent's flag falls or makes an illegal move.
 - b. To claim a draw by perpetual check, a three-time repetition is necessary with the player counting 1, 2, 3, out loud so as to make it quite clear and easier for the TDs to assist. The claimant should stop the clock after the third repetition.
 - c. "Insufficient Losing Chances" (ILC) claims will be allowed only under the following conditions:
 - 1) If both players each have just one identical piece and if neither side can show a forced win.
 - 2) In K+Bishop vs K+Bishop and the Bishops are of opposite colors, with only one Pawn on the board, provided that no forced win can be demonstrated.
 - 3) K+Rook Pawn vs K can be claimed as a draw once the defender's King is on the Rook file in front of the Pawn. K+Pawn vs K can be claimed as a draw once the defender is on the square directly in front of the Pawn, as long as the Pawn is not on the 7th rank.
 - 4) K+R+Rook Pawn vs K+R is a draw if the Pawn is blockaded by the King and there is no immediate win demonstrated.
12. If a player accidentally displaces one or more pieces, the player shall replace them on their own time. If it is necessary, the opponent may start the offending player's clock without making a move in order to make sure that the culprit uses their own time while replacing the pieces.
13. It is unsportsmanlike conduct to knock over any pieces then press the clock. For the ***first offense*** claimed by a player, the opponent will ***receive a warning*** from the TD. If the claimant's flag fell while any of the opponent's pieces are laying on the board the claimant will receive one minute of time on the clock). For a ***second offense*** the TD will ***add one minute to the claimant's clock***. For a ***third offense*** the offender shall ***lose the game***.

14. In case of a dispute either player may stop the clock to summon a TD. In any unclear situation the TD will consider the testimony of both players and any reliable witnesses before rendering a decision. If a player wishes to appeal the decision of a TD, the player must first appeal to the Section Chief. Then, if necessary, the player may appeal to the Chief TD whose decision in all cases is final.
15. The TD shall not pick up the clock; except in the case of a dispute.
16. Spectators and players in other games are not to speak or otherwise interfere in a game. If a spectator interferes in any way, such as by calling attention to the flag fall or pointing out an illegal move, the TD may cancel the game and rule that a new game be played. The TD may also expel the offending party from the playing room. If the offending party is participating in the event, penalties at the discretion of the TD may be assessed up to expulsion from the event.
17. When a clearly drawn position is reached (see Rule 11), either player may pause the clock and appeal to the TD for a draw.
 - a. If the TD rules a draw, the game is over.
 - b. If the TD denies the claim, the TD will impose a one-minute penalty (or half the remaining time if less than two minutes remain) to the clock of the player who made the claim.
18. Illegal moves, unnoticed by both players, cannot be corrected afterwards, nor can they become the basis for making a claim. An illegal move is completed when the player presses the clock, whereupon the opponent may claim a win.
19. Moving the King next to another King is illegal. If this move occurs, the player making the move loses the game if the opponent makes a proper claim.
20. If a player promotes a Pawn and the desired promotion piece is not available, the player has the right to pause the clock to retrieve the desired promotion piece.
21. Only a TD may determine if a clock is defective and change the clock once the game begins.
22. Excessive banging of pieces or clock will not be tolerated. The offending player may be penalized with loss of time.
23. Each player is responsible for coming to the game with a clock. If neither player has a clock, then both players will receive a forfeit loss for both games. All players are required to know how to operate their clock and how to make any changes to the clock that may be necessary during a game.
24. Until superseded, the current edition of the Official Rules of Chess and the current online edition of “Rulebook Changes” shall be used to resolve any situation not covered by these Scholastic Blitz Rules.

APPENDIX B: US CHESS SCHOLASTIC BUGHOUSE REGULATIONS

- B1.0 It is highly encouraged to have a Bughouse Tournament as a side event at all National Scholastic events. However, *the only Bughouse Tournament that will be considered as a National Scholastic Championship will be the bughouse event associated with the Spring Nationals.*
- B2.0 Tournament Format
- B2.1 Bughouse Rules. See Appendix B-1 on the next page.
- B2.2 The tournament will begin at 11:00am on Thursday, with subsequent rounds held as soon as possible after the previous round ends. Please refer to the official tournament announcement for the cut-off time for Bughouse entries.
- B2.3 All entries are to be onsite on Wednesday evening and Thursday morning, with registration stopping at 10:00am. Contestants in line at 10 am will be allowed to register. Additional entrants may be allowed at the discretion of the Chief TD and Tournament Organizer. Please refer to the official tournament announcement for the Round 1 start time.
- B2.4 The rules to be followed for a National Scholastic Bughouse tournament (Appendix B-1) shall be displayed at an appropriate easy-to-find location on the US Chess website.
- B3.0 The team awards for the Championship Section, as explained in B2.1 above, shall be the same as in the Championship Section for that Spring National. Other sections will have the number of awards that are deemed necessary based on projected attendance.

APPENDIX B-1: US CHESS SCHOLASTIC BUGHOUSE RULES

Last updated January 2018

1. Teams are composed of two players, no substitutions are allowed.
2. Each team match consists of two games, one for each player, against the other team.
3. Each team decides which player is to play white and which player is to play black in each game of the two-game match.
4. The time control is Game in five minutes, with no delay (G/5; d/0)
5. Each player is responsible for providing a clock. If players in an individual game do not have a clock, both players receive a forfeit loss for that game.
6. **The game is clock-move.** A move is not completed until the opponent's clock has been started.
7. Each match is concluded as soon as one of the players on a team wins the game.
8. **Illegal moves lose if they are properly claimed.**
 - a. If an opponent makes a move and starts the opponent's clock, the player forfeits the right to claim that illegal move.
 - b. Before play begins, both players should inspect the position of the pieces and the setting of the clock since once each side has made a move all claims for correcting either are null and void. The only exception is if a clock is set for over five minutes, then the tournament director (TD) may reduce the time, accordingly.
 - c. Illegal moves unnoticed by both players cannot be corrected afterwards, nor can they become the basis for later making an illegal move claim. If the King and Queen are set up incorrectly when the game begins, then the player may castle short on the Queen side and long on the King side. Once each side has made a move, incorrect setups must stay.
9. When a piece is captured, it is passed to the partner only after the move is completed.
10. A player has the option of either moving one of the pieces on his board or placing on the board a piece received from the partner.
 - a. A captured piece may be placed on any unoccupied square on the board, with the exception that a pawn may not be placed on the first or last rank.
 - b. Pieces may be placed to create check or checkmate.
 - c. If a player promotes a pawn, the pawn must be left on the board and the player must clearly indicate to the opponent to what piece the pawn is being promoted. The promoted pawn will be laid on its side to indicate that it is a promoted pawn. A promoted pawn, which has been captured, reverts to a pawn and not the promoted piece.
11. A player may not attempt to hide pieces captured by either player in the team. The first attempt will result in a warning and the second attempt will result in forfeiture of the game.
12. **Partners may verbally communicate throughout the game.** It is legal to make move suggestions to partners. It is illegal, and grounds for forfeiting the game or the match, if a player physically moves one of their partner's pieces.
13. Each player must press the clock button with the same hand used to move the pieces, except that a player may use both hands during castling. When capturing, only one hand may be used. The first infraction will result in a warning, the second in a one-minute penalty and the third will result in forfeit of game.
14. Players should not touch the clock except for pushing the button after making a move or to straighten it.
 - a. If a player knocks the clock over, the opponent gets one minute added to his/her clock.

- b. If the opponent's clock does not start, a player may start the opponent's clock re-start his/her side. Call the TD if this procedure is unsatisfactory.
 - c. Each player must always be allowed to press the clock after a move is made. Players should not keep their hands on or hovering over the clock.
15. **Defining a win.** A game is won by the player:
- a. Who has mated the opponent's king. When on move, a player may wait until the partner supplies a piece that accomplishes check or checkmate provided the player's time does not run out.
 - b. Whose opponent resigns.
 - c. Whose opponent's flag fall, at any time before the game has otherwise ended, provided the player points it out and stops the clock while his own flag is still up. An illegal move does not negate a player's right to claim a win on time provided the claimant does so before the opponent's claim of an illegal move. If the claims are simultaneous, the player who made the illegal move loses.
 - d. Who, after an illegal move by the opponent, captures the king or stops the clock and makes the claim.
16. **Defining a draw.** A game is a draw:
- a. By agreement between the teams only during the game.
 - b. If both flags are down and no claim has been made, unless either side delivers checkmate before the flag-fall is noticed. Announcing the properly delivered checkmate nullifies any subsequent fall-flag claims.
17. If a player displaces one or more pieces, he shall replace them on his own time. If necessary, the player may start the opponent's **clock** without making a move to ensure the culprit uses his own time. It is unsportsmanlike conduct to knock over pieces and press the clock. The first offense will result in a warning unless this causes the opponent's fall to fall, in which case the opponent will get an extra minute added. For the second offense the opponent will get an extra minute added to his clock. The third offense will result in forfeit of the game. The TD may administer other penalties for subsequent similar infractions in the event, to include expelling the offending player.
18. In case of a dispute, either player may stop the clock and summon a TD. In any unclear situation, the TD will consider the testimony of both players and the testimony of any unbiased and reliable witnesses before rendering a decision. A player may appeal a TD decision to the Section Chief then, if necessary, to the Floor Chief whose decision is final.
19. The TD shall not pick up any clock, except in case of a dispute.
20. Spectators, including players in other matches, may not speak about or otherwise interfere in a game. If a spectator interferes in any way, such as by calling attention to a flag-fall or an illegal move, the TD may cancel the game and rule that a new game be played, and he may expel the offending person from the playing room. Calling flag-falls, illegal moves, etc., is the responsibility of the players and TDs will not do so.
21. Only a TD may rule that a clock is defective and authorize a clock change.
22. Excessive banging of pieces or clock will not be tolerated and the offending player may be penalized with loss of time.
23. Insufficient losing chances claims cannot be made in Bughouse games.
24. Until superseded, the current edition of the Official Rules of Chess and the current online edition of "Rulebook Changes" shall be used to resolve any situation not covered by these Scholastic Bughouse Rules.

APPENDIX C: FAMILY AND FRIENDS TOURNAMENT

As a means to include adults in the National Scholastic Championships, the organizer is encouraged to conduct a “Family and Friends Tournament”. The format, schedule and prize fund shall be up to the organizer with the exception of the following additional trophies for the following pairs:

- a. Father – Child
- b. Mother – Child
- c. Brother – Child
- d. Sister – Child
- e. Aunt – Child
- f. Uncle – Child
- g. Cousin – Child
- h. Coach – Child
- i. Grandfather – Child
- j. Grandmother - Child

Note: The word “Child” refers to a player in any section of the National Championship. Players in the Family and Friends Tournament shall be solely responsible for informing the Section TD of all relationships awards they qualify for including the section in which their “Child” is playing. Prizes will be awarded based on the sum of the Family and Friends Tournament participant and their “Child” based on an equal number of rounds. If there are four rounds in the Family and Friends Tournament, the “Child’s” score after the first four rounds is taken. Awards will be handed out at Chess Control the last day of the main tournament. A pair of trophies will be awarded to the winners of each pairing.

APPENDIX D: FORMS
National Tournament Proposal

Please note: The National High School, National Junior High, National Elementary, National K-12 Grade Championship, and the SuperNational Tournaments are organized by the US Chess Director of National Events and are not bid out to other organizers.

Tournament Name:			Start Date:	
			End Date:	
Sponsoring Affiliate Name:			Affiliate ID:	
Name of Organizer:			US Chess ID:	
Organizer's POC Info.	Home:	Work:	Email:	
Chief Tournament Director:			US Chess ID:	
Chief TD's POC Info.	Home:	Work:	Email:	
Name of Venue (site):			Sq. Ft. (Playing + Skittles):	
City & State:			Phone:	
Name of Venue POC:			Email:	
Venue Costs:	All Playing Rooms: \$		All Skittles Areas: \$	
	All Team Rooms: \$		Tournament Office(s): \$	
	Chess Control: \$		All Tables & Chairs: \$	
	All Staging: \$		All Audio/Visual: \$	
	Other: \$		Other: \$	
Name of Primary Lodging Hotel:			Phone:	
Primary Hotel Room Rates:	Single: \$	Dbl: \$	Trpl: \$	Qd: \$
Name of Primary Hotel POC:			Email:	
Name of Secondary Lodging Hotel #1:			Phone:	
Secondary Hotel #1 Rates:	Single: \$	Dbl: \$	Trpl: \$	Qd: \$
Name of Secondary Hotel #1 POC:			Email:	
Name of Secondary Lodging Hotel #2:			Phone:	
Secondary Hotel #2 Rates:	Single: \$	Dbl: \$	Trpl: \$	Qd: \$
Name of Secondary Hotel #2 POC:			Email:	
Name of Secondary Lodging Hotel #3:			Phone:	
Secondary Hotel #3 Rates:	Single: \$	Dbl: \$	Trpl: \$	Qd: \$
Name of Secondary Hotel #3 POC:			Email:	

Event History & Experience of Organizer and Chief TD

Area History of National and Large non-National Events		
Event Name:	Year:	Total # Players:
Event Name:	Year:	Total # Players:
Event Name:	Year:	Total # Players:
Event Name:	Year:	Total # Players:

Organizer's Experience Organizing Events of Similar Size and Format (at least 3 preferred)		
Event Name:	Year:	Total # Players:
Event Name:	Year:	Total # Players:
Event Name:	Year:	Total # Players:
Event Name:	Year:	Total # Players:

Chief TD's Experience as Chief for Events of Similar Size and Format (at least 3 preferred)		
Event Name:	Year:	Total # Players:
Event Name:	Year:	Total # Players:
Event Name:	Year:	Total # Players:
Event Name:	Year:	Total # Players:

Prize Distribution: *List and describe any prizes to be awarded other than those listed in these National Scholastic Regulations.*

PLANNING BUDGET
Projected Expenses Worksheet

Category	Remarks	Projected Cost
Administrative Supplies		\$
Advertising		\$
Audio Visual Equipment		\$
Commemorative boards & sets		\$
Computer / printer rental		\$
Computer / printer supplies		\$
Event Insurance		\$
Event T-Shirts for Staff		\$
Photocopier rental		\$
Photocopier supplies		\$
Playing Site / Venue Rental Costs		\$
Phones / Internet / WiFi		\$
Staging / Lighting		\$
Tables & Chairs		\$
ParaMedic / Medical Staff		\$
Venue / Site Security		\$
All Taxes charged by Venue		\$
Postage		\$
Shipping costs		\$
Program for the Event		\$
Score Sheets (if provided)		\$
Organizer's Fee		\$
Total TD Fees		\$
Trophies/Awards (Main Event)		\$
Trophies/Awards (all Side Events)		\$
US Chess Rating Fees		\$
FIDE Rating Fees		\$
Miscellaneous		\$
Other:		\$
Other:		\$
Other:		\$
Other:		\$
Other:		\$
Other:		\$
TOTAL Projected Expenses:		\$

Projected Income Worksheet

Category	Remarks		Projected Cost
Sale of Commemorative Sets/Boards			\$
Tournament Program Advertising			\$
Revenue-share with Bookstore			\$
Revenue share with Equipment Vendor			\$
Revenue share with Food vendor(s)			\$
Revenue share with T-Shirt vendor			\$
Other Revenue sharing:			\$
Other Revenue sharing:			\$
Other Revenue sharing:			\$
All Event Sponsorships			\$
All Donations			\$
Other Income			\$
Other Income			\$
Other Income			\$
Other Income			\$
Entry Fee (Early #1, Main Tournament)	Cost Per:	# entries:	\$
Entry Fee (Early #2, Main Tournament)	Cost Per:	# entries:	\$
Entry Fee (On-Site, Main Tournament)	Cost Per:	# entries:	\$
Entry Fee (Early #1, All Side Events)	Cost Per:	# entries:	\$
Entry Fee (Early #2, All Side Events)	Cost Per:	# entries:	\$
Entry Fee (On-site, All Side Events)	Cost Per:	# entries:	\$
TOTAL Projected Income:			\$

Budget Summary

Category	Remarks	Amount
Expenses		\$
Income		\$
Profit / Loss	Income minus expenses	\$

SITE EVALUATION CHECKLIST (page 1 of 2)

Criteria	Remarks	Yes	No
<u>Location</u>			
In a safe area			
Accessible to public transportation			
Accessible to persons with disabilities			
Adequate places for patrons to eat outside the playing site/location			
<u>Playing Site</u>			
No cost for playing site based on hotel occupancy			
Available 24-hours a day			
Has minimum required sq.ft. per player			
Adequate lighting throughout playing rooms			
Enough tables and chairs			
Adequate climate control for the season			
Playing Floor carpeted or there is other sound cushioning material			
Frequent water service provided			
Room setup is free or included in site fees			
Venue capable of feeding players on site at times that support the event schedule			
Adequate number of restrooms for number of players and event attendees			
Player-only restrooms able to be created			
Large Skittles area(s) available			
Securable room for use as Event Main Office			
Separate Analysis Room available			
Separate Room available to host other meetings that are part of this event			
Securable Room(s) for vendors			
Large and accessible Registration area to use as "Chess Control"			
Photocopier available			
Outside contractors allowed			
Adequate area for spectators, if being allowed by the event			
Medical staff (provided by hotel or from an outside vendor)			
Free Internet Access for attendees			

SITE EVALUATION CHECKLIST (page 2 of 2)

Criteria	Remarks	Yes	No
<u>Playing Site Arrangements</u>			
Venue staff easily accessible during event			
Venue staff can provide a post-event report			
Venue contract enclosed			
<u>Hotel Guest Rooms (Primary Hotel)</u>			
At or near the playing site			
Adequate block of rooms available			
Adequate block of rooms reserved			
Reduced room rates for attendees			
Hotel rebates per room night area available			
Guests responsible for own room charges			
Complimentary Rooms available			
Complimentary Suites available			
Recreational facilities available			
Free WiFi for hotel guests			
Hotel contract enclosed, if separate from Venue contract			
<u>Hotel Guest Rooms (Secondary Hotel #1)</u>			
Near the playing site	Walking Distance:	Walking Time:	
Shuttle service to/from Venue			
Adequate block of rooms available			
Adequate block of rooms reserved			
Reduced room rates for attendees			
Hotel rebates per room night area available			
Guests responsible for own room charges			
Complimentary Rooms available			
Complimentary Suites available			
Recreational facilities available			
Free WiFi for hotel guests			
Hotel contract enclosed, if separate from Venue contract			

Continues on next page ...



PROPOSAL AGREEMENT WITH US CHESS

I _____ agree to fulfill the terms of this proposal and to abide by the US Chess National Tournament Regulations. As the organizer, I will make every effort to ensure the integrity and success of this event:

- We request that US Chess provide concessions for this event.
- We request that other concessionaire options be discussed.

Signature:		Date:
------------	--	-------

- Playing site agreement included.
- Hotel agreement(s) included.
- Hotel brochure(s) included.
- Draft playing area diagram included.

APPENDIX E: GUIDE FOR SPECTATOR CONDUCT

(Last updated August 2019)

1. **Who is a spectator?** A Spectator is anyone **inside the Playing Room who is observing the games in progress or who is waiting in the authorized Spectator Area or Seating Area** for a game to finish. Coaches and event participants whose games are finished also are spectators. *See also Section 21.6* in these Scholastic Regulations.
2. **Unacceptable Conduct.** Spectators ***WILL NOT***:
 - a) Stand in front of or make eye contact with the player whose game you are observing.
 - b) **Use or talk on a cell phone, laptop computer, tablet, walkie-talkie, or any electronic communication device anywhere in the Playing Room.** This prohibition includes the Spectator Area and the Seating Area. (See Appendix G.)
 - c) Camp out at one game.
 - d) Make faces, gestures, or convey in any visible way your opinion of a game you are watching.
 - e) Discuss opinions of a game being observed, not even if you are whispering.
 - f) **Speak with any player at or away from the board while their game is in progress.**
 - g) Assume the role of Tournament Director (TD) and intervene for any reason such as pointing out illegal moves, etc.
 - h) Make any noise, such as opening a bag of potato chips, within earshot of a game in progress.
 - i) Discuss a game at its conclusion if other games are being played in the vicinity, and do NOT analyze a game at a board in the tournament room.
 - j) Take pictures after the first ten minutes when using flash or a camera with an audible shutter.
 - k) Take pictures at any time from a location which makes you an obvious distraction to the players.
 - l) Attempt to enforce rules yourself.
 - m) Discuss instances of cheating or rules violations with the perpetrators.
 - n) **Stand in front of the doors** to the Playing Hall or open them to look inside. During times when the Chief TD has asked for the doors to be closed, PLEASE HELP US KEEP THEM CLOSED.
 - o) Criticize a player, team, or persons involved with the tournament at any time or in any manner that can be interpreted as disruptive, unsportsmanlike, or hostile.
3. **Permissible Conduct.** Spectators shall:
 - a) Report instances of cheating or rule violations by players or other spectators to the TD staff, ***as long as this process does not disrupt the conduct of the tournament.***
 - b) Comply with any requests or instructions issued by members of the tournament staff.

Spectators who abuse this guide for conduct may be told by the Tournament Staff to leave the Playing Hall for at least 15 minutes during the current round or longer for repeat offenses.

This guide shall be prominently posted at multiple locations in the venue.

APPENDIX F: APPROVED ELECTRONIC NOTATION DEVICES**(LAST UPDATED SEPTEMBER 2020)****Introduction:**

This Appendix applies to **players with disabilities**~~special circumstances~~ who are approved by the Director of Events or Chief Tournament Director to use an electronic notation device (END) as an alternative means of score keeping. ***The only ENDs allowed*** are those that have been certified by US Chess for tournament use or a device provided by the Tournament Staff. (For a list of approved devices please visit <http://www.uschess.org/docs/gov/reports/eScoresheets/CertificationGuidelines.pdf>.)

A player who owns an END is responsible for setting and operating his/her device according to the instructions below.

END Owners will:

1. Set the device in the appropriate mode for recording moves.
2. Turn off or disable any setting(s) that could alert the player to any illegal moves.
3. ***Make the move on the board before recording the move on the device.***
4. Keep the END ***on the table in plain view*** of the opponent at all times during the game. A player will not at any time hold his/her END below the level of the table top or in their lap.
5. Touch the END only when recording moves.
6. Never input “variations” in the END at any time during a game.
7. If asked by the opponent to see the END for the purpose of entering missing moves on their official scoresheet, the player will set his/her END mode to algebraic (or the appropriate mode that allows the opponent to see all previous moves) and turn the END around so the opponent can view the display screen. The END will remain on the table at all times.
8. Never take the END with them if they leave the board while the game is still in progress, including trips to the lavatory.
9. Be ready to take written notation if the END malfunctions (if notation is required during game play in this section). If the END malfunctions, the player shall begin notation with the next move and fill-in missing moves when the player is on move by borrowing the opponent’s scoresheet or END.
10. Summon a TD when questions arise.

Opponents:

1. May ask the player who owns the END to show them the device, explain its use, and ensure it is correctly set for game play for this tournament.
2. May not ask the device owner to take written notation instead of using their END.
3. May ask the device owner to see the player’s END for the purpose of entering missing moves on their own scoresheet. When this occurs, the END will remain on the table.
4. Shall summon a TD when questions arise.

Coaches/Parents:

1. Are strongly encouraged to assist their players in understanding proper tournament etiquette regarding ENDs before tournament play begins.
2. Should, before the start of a game, summon a TD when questions arise.

Tournament Directors:

1. Should check a player’s END to verify it is correctly set when requested to do so by **either**~~a~~ player.

See also the [***Electronic Device Policy for National Events Organized by US Chess***](#)

APPENDIX C

EB21-033

APPENDIX A TO 2019-2020 PANAM COLLEGE RULES

Appendix A: OFFICIAL TOURNAMENT RULES for the Online Pan American Intercollegiate Championships

1. **Purpose.** This appendix provides the rules to be used for the Online Pan American Intercollegiate Championships. This annex **only highlights the differences between the online and the OTB event**, it is not a comprehensive re-statement of the event rules.
2. **Entry Fees and Registration Process.** The tournament's Entry Fees, entry deadlines, and the specific entry mechanism shall be established, supported, and promulgated by the Organizer. The Organizer shall **collaborate with the US Chess Director of Events** on the Registration Process to ensure adequate advertisement of the tournament and the registration details.
3. **Tournament Dates, Number of Rounds, and Round Times.**
 - a. Tournament Dates: Equipment tests and pre-event meetings are scheduled for Jan 3, 2021 (see paragraphs 7a and 7e). All games will be played on Jan 4, 5 and 6.
 - b. Number of Rounds: Nine (9) rounds, with the start time for all rounds on Jan 4, 5 and 6 at 12PM, 3PM, and 6PM Eastern Standard Time (EST).
 - c. Time Control: G/25+5.
4. **Player Eligibility.** The rules listed below correspond to the rules found in **paragraph 2c** of the 2019 edition of the Pan Am rules.

Rules 1 through 4: No change.

Rule 5: Rule 5 was suspended by the US Chess Executive Board through motion EB20-088 for the Fall 2020 and Spring 2021 Semesters.

Rules 6 and 7: No change.
5. **Ratings of Players.** The details provided here represent changes to the specifications found in **paragraph 2d** of the 2019 edition of the Pan Am rules.
 - a. For pairing purposes, each team's "team average rating" shall be based on the ratings of the highest rated players listed on the team's "Final Roster" as described in paragraph 6 (Team Requirements) below. The team average rating for a 3-player team is based on the ratings of the team's 3 players. The team average rating for a team of 4 players and 2 alternates is based on the ratings of the 4 highest rated players.-
 - b. Players' ratings will be determined based on the US Chess 2020 December Rating Supplement. The Chief Tournament Director (TD) is the final authority for resolving disputes regarding players' ratings to include ratings calculated by conversion from other rating systems in accordance with the US Chess Official Rules of Chess and the 2019 Pan Am rules.
6. **Team Requirements.** The specifications listed below represent changes to those found in **paragraph 2e** of the 2019 edition of the Pan Am rules.
 - a. A team **must have at least 3 players** to register for and participate in the tournament. Any team with only 3 players shall play on Boards 1-3, with Board 4 recorded as a Forfeit-Loss.

SUBJECT: PAN AMERICAN INTERCOLLEGIATE TEAM CHESS CHAMPIONSHIPS: OFFICIAL TOURNAMENT RULES

- b. Each team may register up to two Alternate Players, but ***each team's "Final Roster" must be locked-in by email from the Coach or Captain to the Chief TD no later than 6PM EST on Dec 30, 2020.*** For teams whose Coach or Captain fail to provide a formal "Final Roster" directly to the Organizer by the cut-off time and date the Chief Tournament Director (TD) shall create those teams' Final Rosters based on their original team and player registration information. ***After this deadline, no team is allowed to change its Final Roster.*** The Chief TD shall use the timestamp on the Coach's or Captain's email to determine compliance with the deadline.
- c. All players must be eligible to compete on the game platform selected by the Organizer for the tournament.
- d. Specification #10 listed under paragraph 2e does not apply to this tournament.
- e. Specification #11 listed under paragraph 2e is changed as detailed here. The roles of the Team Coach or Captain are to:
 - 1) Submit the team line-up one hour before the start time for each round. If no line-up is submitted, the team's line-up from the prior round stands, and players will be paired in the order they played.
 - 2) Ensure players know the start times for each round. This is especially important since players will be participating from multiple time zones throughout the world.
 - 3) Verify that their players are aware of the pairings for the next round.
 - 4) Confirm the results of previous rounds on the Standings posted by the Organizer.

7. Conduct of the Tournament.

- a. Date, Time and Zoom link for the pre-Tournament Captains and Coaches Meeting. The Organizer should coordinate with the US Chess Director of Events or designated representative if a Zoom Link is required.
 - 1) ***Online meeting for Coaches and Captains on Sunday, January 3 @ 4PM EST:*** The meeting will be held online via zoom meeting with unlimited number of attendees. For security reasons, registration will be required (i.e. zoom meeting information will not be public, but only be shared with those who registered for the event).
 - 2) ***College Committee meeting Open to All on Sunday, January 3 @ 6PM EST:*** This meeting will occur after the Online meeting for Coaches and Captains and provides a platform for the College Committee to hold their annual open meeting. The event Organizer will coordinate with the committee about the setup preferences and assist the committee with distribution of the meeting agenda before the meeting. For security reasons, persons desiring to attend must register in advance for the meeting (i.e. zoom meeting information will not be public but will be shared only with persons who registered for the event).
- b. Camera requirements: Players must be logged into a tournament-specific Zoom meeting with at least two web cameras arranged as shown in Figure 1 below.
 - 1) ***Camera No. 1:*** The first webcam must provide a partial landscape view of the room in which the player is competing, while also containing a full view of the player and of the entire screen on which the player is playing. The camera will be placed at approximately a 45-degree angle to the right or left rear of the player and elevated to at least at the same height as the player's desktop (Fig. 1). Players may use a cell phone as this camera, or a separate web cam, to provide the required view of

the player and the room. Players will need to ensure this camera has a continuous source of power and not rely on battery power alone. If Camera No. 1 becomes disconnected, the TD will impose a penalty of 10 minutes or half of the player's remaining time (whichever is less). As part of this protocol, additional webcam violations may result in more severe penalties, including forfeiture of the game and expulsion from the tournament.

- 2) **Camera No. 2:** The second webcam will provide a direct frontal view of the player from the shoulders-up. Players whose computers have a built-in webcam may use that webcam to provide the required view. A separate camera positioned as described here and shown in Fig. 1 is acceptable.

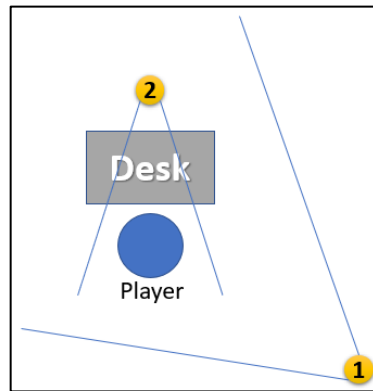


Figure 1: Required positioning of cameras.

- c. During game play, the TD has the authority to require a player to “share” his/her playing screen. Players who refuse to share the screen, or claim they do not have the capability, are subject to penalties as deemed appropriate by the Chief TD up to and including loss of game or expulsion from the event.
- d. No bathroom breaks are allowed except for emergencies.
- e. Play only on a device with a large screen (10+ inches) such as a laptop, desktop. Playing on a device with a small screen (<10 inches) such as a mobile phone or tablet is prohibited.
- f. An Equipment Test Session will be offered by the Organizer on January 3rd from 1PM-3PM EST.
- g. All players must be logged-in for the Zoom meeting at least 15 minutes before the start time for a round and signed in to Chess.com/live at least 5 minutes before the start time.
- h. The tournament staff will start all games manually. Players must be signed-on to Chess.com/live and remain signed on for the duration of their games. Players will not start their own games (e.g. no challenges) and will not engage in any other games. Games will pop up and start automatically for players.
- i. Players can contact the tournament staff through Zoom in the Zoom Meeting Room for the tournament. The Organizer or Chief TD will provide the contact information for this meeting room before the tournament or before each round of the tournament.
- j. The website for posting Pairings and Standings is www.milibrary.org/chess/Pan Am.

SUBJECT: PAN AMERICAN INTERCOLLEGIATE TEAM CHESS CHAMPIONSHIPS: OFFICIAL TOURNAMENT RULES

- k. No byes are allowed (individual or team).
 - l. Forfeit time is 25 minutes, counted from the published start time for each round as given in paragraph 3b of this appendix.
 - m. If a school enters multiple teams, those teams may be paired against each other in rounds 5, 6, 7 or 8.
- 8. Fair Play.**
- a. All player's games shall be monitored in real-time, move-by-move, by the tournament staff and the vendor's Fair Play algorithm.
 - b. After the tournament all games are subject to Fair Play Analysis by the Tournament Staff and other expertise as identified by the Organizer and Chief TD.
 - c. The Chief TD has the authority to disqualify any player determined to be in violation of Fair Play. The Chief TD **can make this ruling while rounds are in progress and after the tournament.**
 - 1) If the Chief TD disqualifies a player or entire team while the event is in progress, that decision is final and cannot be appealed until after the tournament through the US Chess Federation's claims process. The Chief TD shall change a disqualified player's or team's game results according to US Chess rules.
 - 2) If a player is disqualified during the tournament, the affected team is not allowed to back-fill with an Alternate or any other player.
 - 3) If the loss of a player causes a team's roster to drop below 3 players, then the Chief TD shall withdraw that team from further participation in the tournament and the team will not be paired for future rounds.
 - d. After the tournament, any Standings posted by the Organizer or US Chess are considered as "Preliminary Final Standings" until they are declared official by the Chief TD. In accordance with Chapter 10 of the ***Official Rules of Chess***, this process can take up to three (3) weeks.
- 9. Tie-breaks.** No changes to the specifications of paragraph 2h of the 2019 edition of the Pan Am rules.
- 10. Awards Ceremony & Prizes.** An Awards Ceremony will be held online at 9PM EST on Wednesday, January 6th using the Preliminary Final Standings. Awards will be distributed based on the advertised list shown on the event web page. These Awards were modified from the published Pan Am rules for easier shipment to the various states and countries. The Organizer will begin distributing final awards no later than January 27th. Team Captains and Coaches are responsible for providing accurate shipping instructions to the Organizer.

APPENDIX D

EB21-035

CHANGES TO COLLEGE CHESS ELIGIBILITY,
APPENDIX A, PARAGRAPH 4 OF THE
PANAM COLLEGE RULES

Appendix A, Paragraph 4 (Player Eligibility) of the Official Tournament Rules for the Online Pan American Intercollegiate Championships (Pan Am) is hereby amended by adding the following additional rule designated as “Rule 8”:

For the 2020-21 Online Pan American Intercollegiate Championships (Pan Am), all FIDE-titled (IM and GM) players representing a school for the first time must submit a signed letter of commitment from the player to the US Chess College Chess Committee’s eligibility subcommittee stating their intent to attend their school in person once the following conditions are met:

- 1) their school fully opens for in-residence attendance by all students;*
- 2) applicable governments lift COVID-related travel restrictions affecting the player; and,*
- 3) the player is otherwise able to travel. Any player who submits a letter of commitment to represent a school, and then does not attend that school in person after these conditions expire, shall be ineligible to compete for any school in the Pan Am or the Final Four of College Chess through the end of schoolyear 2021-22.*

Further, any school with two (2) or more players that breach this rule is subject to penalties directly impacting their ability to compete in US Chess college chess events in schoolyear 2021-22 up to and including team ineligibility for the 2021-22 Pan Am and Final Four of College Chess. This rule does not apply to players who graduate by June 30, 2021.

APPENDIX E

EB21-043

US CHESS DEVELOPMENT COMMITTEE CHARTER

Charter for the US Chess Development Committee

Effective September 16, 2020 – Supersedes all previous versions

Background

In 2014 US Chess transitioned from a 501(c)(4) to a 501(c)(3) organization, which enabled it to start accepting tax-deductible donations. In 2016 the Executive Board created the Development Committee and charged it with the mission of broadening financial support for US Chess. In 2018 the Executive Board approved the committee's charter, adopted US Chess's Gift Acceptance Policy, and approved the hiring of US Chess's first full-time Director of Development.

Mission

The mission of the Development Committee is to identify, advise, and assist in cultivating strategic relationships among US Chess and philanthropic organizations and donors.

Linkage to US Chess Mission, Vision and Goals

Expanding the depth and breadth of our financial partnerships with other organizations is essential to promote the game of chess, expand the number of people playing and enjoying the game, inform and engage the chess-playing community and others about the benefits of chess, and increase chess opportunities for low-income and underrepresented segments of society. US Chess also needs to identify and nurture opportunities for new partnerships with additional organizations. Exploring potential partnerships that further the mission of US Chess is a delicate business, whether it involves adjusting the details of an existing partnership or proposing new ones. Most important, engaging potential partners is a process that requires a finessed combination of access to the senior leadership of the partner, the knowledge and understanding of the partner's desires and capabilities, and a thorough acquaintance with US Chess's needs and programs, coupled with a judicious strategic message US Chess is confident will resonate with the partner. Moreover, US Chess seeks to grow its culture of giving among its members and others who have an interest in promoting initiatives that use chess as a tool to enrich lives.

Objectives

- A. Review, identify, and recommend ways to improve existing strategic relationships to support US Chess's goals and strategic objectives.
- B. Research, identify, and recommend new strategic relationships to support US Chess's goals and strategic objectives.
- C. Categorize, evaluate, and analyze US Chess's demographics and relationships data to provide recommendations on current donation patterns and trends and recommended future strategic campaigns.

Composition

The committee will consist of no more than 15 persons (an odd number is strongly preferred). Persons nominated to serve must be willing to participate actively in email discussions, phone conferences, internet-based collaboration groups, and a workshop at the annual U.S. Open (in person or by phone). The committee will include:

- 1 Chair, elected by the committee and subject to approval by the Executive Board

- 1 Vice Chair, elected by the committee
- 4 or fewer members of the Executive Board
- 9 or fewer members-at-large, appointed by the Chair and subject to approval by the Executive Board

Operations

- A. **Election of Chair and Vice Chair.** Each year the committee will elect a Chair and Vice Chair from among committee members. They will serve in these capacities, respectively, for 1 year. The Chair can be re-elected but may not serve as Chair for more than 3 consecutive years. The Vice Chair may serve an unlimited number of consecutive years.
- B. **Procedures.** The committee will meet for 1-2 hours 6 times per year: 3 times in person (at the K-12 Grade National Championships, Elementary School National Championships, and U.S. Open) and 3 times via the internet or by phone. If emergency conditions restrict US Chess from running the K-12 Grades, Elementary Nationals, or U.S. Open as on-site events, then the committee will meet via the internet or phone, instead. The committee will invite the Executive Director and the Director of Development to attend the meetings. The Chair will set the schedule and meeting agenda for committee meetings, publishing it at least one week before the meeting. The Vice Chair will run meetings in the absence of the Chair. Committee procedures will adhere to the norms of *Robert's Rules of Order*, with matters for decision being voted upon by the committee. The majority vote will prevail.
- C. **Working with the Director of Development, Executive Director, and Executive Board.**
1. The Chair will work with the Director of Development, Executive Director, and Executive Board to further the goals detailed above in the Background, Mission, and Objectives sections of this charter.
 2. Before each meeting the Chair will coordinate with the Director of Development to draft the meeting agenda.
 3. Before each meeting the Chair will consult with subcommittee chairs about their upcoming reports to the committee. The Chair and Director of Development will work with subcommittee chairs to set annual goals, and at each meeting subcommittee chairs will update the committee on their work to achieve those goals. The current permanent subcommittees are:
 - A. Corporations
 - B. Events
 - C. Individuals

At least 1 EB member will serve on each subcommittee, but EB members will not chair the subcommittees.
 4. At each meeting the Director of Development will update members on recent developments affecting the committee's work and provide guidance on how the committee can help advance US Chess's mission.
 5. At each meeting the Executive Director will update members on recent developments more broadly affecting US Chess and provide guidance on how the committee can help

advance US Chess's mission.

6. When the committee meets in person at the K-12 Grade National Championships and Elementary School National Championships, committee members will help run US Chess's Hospitality Suite by seeking to cultivate relationships with parents and other attendees who could become future or remain current donors to US Chess. Committee members will steer particularly promising donor prospects to meet with the Director of Development and Executive Director, who might otherwise be using the Hospitality Suite as a home base, while conducting additional US Chess business.
 7. When considering new members-at-large (who are subject to approval by the Executive Board), the Chair will consult extensively with the Director of Development and Executive Director.
- D. **Committee Members' Annual Donations to US Chess.** When making an appeal to potential donors, committee members can make a more compelling case for giving to US Chess by demonstrating their personal financial commitment to US Chess. An annual, meaningful financial contribution to US Chess will enable committee members to present themselves as exemplary donors to potential donors.
- E. **Annual Meeting and Report.** The Chair will prepare and submit an annual report to the Executive Board in time for publication and distribution in the *Delegates Call* at the U.S. Open. Committee members will make every effort to attend in person the Development Workshop held each year during the U.S. Open.
- F. **Confidentiality.** Committee members will keep confidential any information related to ongoing or planned partnerships with persons external to the committee. Committee members will not make direct contact with an existing or proposed partner organization without first coordinating with the Executive Director, the Director of Development, and/or the Executive Board Liaisons.

Budget and Resources

The committee does not have an operating budget. Committee members will not receive financial compensation for their activities. Any requests for funds to support committee activities must be presented to and approved by the Executive Director.

APPENDIX F

EB21-045

SCHOLASTIC COMMITTEE & COUNCIL CHARTER

Charter for the US Chess Scholastic Committee

Effective January 13, 2021 – Supersedes all previous editions

Mission

The mission of the Scholastic Committee is to advise and assist the Executive Board, US Chess Office, and other US Chess committees in matters that deal with scholastic-age members of the federation: students in classes ranging from pre-Kindergarten to 12th grade.

Background

Scholastic-age players not only comprise the majority of US Chess memberships, but also represent the future of our game. The Scholastic Committee shall represent the interests of this community to US Chess as specified in the mission statement. The Committee has 2 primary focuses:

1. the administration and ongoing review of the Scholastic Regulations;
2. assisting the Director of National Events in the conduct and organization of US Chess's national scholastic tournaments.

The committee shall also be involved in associated efforts that include (but are not necessarily limited to) the following:

1. US Chess participation in international youth chess events;
2. managing selections for the annual Scholar Chess Player awards, the All-America Team, and other youth awards;
3. oversight of Chess Coach certification for coaches of scholastic players;
4. providing advice and assistance to chess coaches who teach scholastic-age players;
5. the general promotion of youth chess activities in schools and in communities at large.

Duties and Responsibilities

- A. Hold annual elections no later than the 1st of August to select 7 committee members to serve as the Scholastic Council.
- B. Provide advice and actionable recommendations to the Executive Board on scholastic chess matters that include (but are not necessarily limited to) the following:
 - maintaining and updating the Scholastic Regulations for publication by the 1st of September;
 - representing students from all learning environments, including traditional brick-and-mortar schools, as well as home schools and virtual/online schools;
 - providing timely recommendations/nominations for players to be honored for prestigious awards such as the Scholar Chess Player and All-America Team;
 - nominating deserving individuals and organizations for Scholastic/Youth awards to be presented at the annual U.S. Open or in other venues;
 - collaborating with the Director of National Events in overseeing all aspects of national scholastic tournaments as specified in the Scholastic Regulations such as type of event, event location, player eligibility, sections, awards, and any other matter pertaining to the conduct of such tournaments.

- C. Assist other US Chess Committees, as directed by the Executive Board, and assist the US Chess Office during any formal complaints filed with US Chess that involve scholastic issues.
- D. Provide a Scholastic Council Representative to the K-12 Grade Championships and to each of the 3 Spring National Scholastic Tournaments (K-6 Elementary, K-9 Junior High, and K-12 High School tournaments) or SuperNationals (the 3 Spring Nationals run simultaneously).

Composition

A. Committee

The Scholastic Committee shall consist of no more than 35 members. Persons nominated by the Chair to serve on the committee must be:

1. current US Chess members;
2. willing to participate actively in email discussions, phone conferences, internet-based collaboration groups, and workshops at the annual U.S. Open (in person or by phone).

Committee members are subject to approval by the Executive Board and shall reflect a balanced representation from across the nation, as well as represent key stakeholders among the scholastic chess community. The composition of the committee shall include at least 3 persons from each of the following 6 stakeholder groups:

1. scholastic tournament organizers who have considerable experience running scholastic tournaments at the state and/or national level;
2. tournament directors certified at the Local level or higher and/or FIDE arbiters who have considerable experience directing scholastic tournaments at the state and/or national level;
3. coaches of scholastic-age players and teams that participate annually at the state and national levels;
4. parents of players who participate actively in scholastic chess events at the state and/or national level;
5. current or former parents who lead or led, or whose children attend or attended, home-schools or virtual schools;
6. current or former teachers of children who attend or attended a traditional brick-and-mortar school in grades K-12.

B. Council

The Scholastic Council shall consist of 7 persons nominated from within and elected by the Scholastic Committee. Candidates must have been a member of the committee for at least the previous academic year. Council members must agree to:

1. participate actively in email discussions, phone conferences, and internet-based collaboration groups;
2. attend the biannual meetings with the Executive Board;
3. attend in person workshops at the annual U.S. Open;
4. serve as the Scholastic Council Representative for at least 1 national scholastic tournament;
5. support other US Chess Committees upon direction of the Chair.

3 Council members shall be elected in odd-numbered years, and 4 in even-numbered years. Council members will have a term of service of 2 years. The Council shall endeavor to have representation from all groups comprising the Committee. Effective Sept 1, 2021, no more than 2 council members shall be from the same state, without the approval of the Executive Board.

Operations

A. Election of Council Chair and Vice Chair

Each year the Council shall elect a Chair and Vice Chair from among the council members. They will serve in these capacities for 1 year. The Chair can be re-elected, but shall not serve as Chair for more than 3 consecutive years.

B. Council and Committee Procedures

The Council shall meet 1-2 hours each month via the internet, by phone, or in person. The Council shall invite the Executive Board Liaison(s), the Director of Events, and the Assistant Director of Events to attend Council meetings. To conduct official business, the Council requires a quorum of 4 members to be present. The Chair shall determine which matters are to be addressed by the Council alone and which matters are to be considered by the full Committee. The majority vote shall prevail. The Vice Chair will preside in the absence of the Chair.

C. Annual Meeting and Report

The Chair shall prepare and submit an annual report to US Chess in time for publication and distribution within the annual Delegates Call. Council members shall make every effort to attend in person the Scholastic Workshop held each year during the U.S. Open.

D. Working with the Executive Board, Executive Director, Office, and other Committees

The Chair shall work with the appointed EB and Office Liaisons to anticipate and communicate scholastic issues with the EB, ED, US Chess office staff, and other committees. The Council shall accept issues to work on:

1. in an advisory capacity to other US Chess committees, including those with sanctioning authority;
2. when directed by the EB;
3. as specified in the Scholastic Regulations.

When the Council receives a request for assistance from another committee, the Chair shall collaborate with the Chair of the requesting committee to understand what is required in terms of the timeline and of the limits on communicating with other parties (internal and/or external to US Chess), including the potential for the Scholastic Committee member to be required to sign a non-disclosure agreement. If the Chair is uncomfortable with the request for assistance, then the Chair shall consult with the EB Liaison(s) to discuss the issue. The Council also has the freedom to discuss other scholastic matters, as necessary, but shall not discuss them outside the Council, without first consulting the EB Liaison(s). (See also paragraph F below: Confidentiality.)

E. Representation at National Scholastic Events. The Council shall appoint 1 council or

committee member to serve as the Scholastic Council Representative to the K-12 Grade Nationals and to each of the 3 Spring Nationals. The Council shall designate such persons in accordance with deadlines established by the Director of Events. It is imperative that the Scholastic Council Representative maintain the appearance of impartiality/neutrality throughout the tournament. During these events, the Scholastic Council Representative must:

1. chair the Scholastic Meeting to gather opinions and perspectives from attending players, parents, and coaches;
2. visit with parents, coaches, and players;
3. visit all event venues such as the playing rooms, team rooms, skittles area, book store, other vendors or displays, and special events/activities such as the Blitz and Bughouse tournaments, simultaneous exhibitions, book signings, and live broadcasts;
4. participate in the opening ceremony and awards ceremony, and make various announcements at the start of selected rounds;
5. be present, where appropriate, when major issues are being discussed (e.g. rulings appealed to the Chief TD);
6. fulfill responsibilities associated with an event that are designated in the Scholastic Regulations;
7. prepare a Scholastic Council Tournament Report no later than 30 days after its conclusion.

F. **Confidentiality.** Council and committee members shall consider their discussions confidential and shall not discuss or otherwise share information about issues they are working with persons external to the committee, without first consulting the EB Liaison(s).

Budget and Resources

The Scholastic Committee does not have an operating budget, and committee members shall not receive financial compensation for their committee work, except as agreed to by the Executive Board. Council members are entitled to be compensated for travel and lodging when they attend the annual meetings during the U.S. Open. When designated as the Scholastic Council Representative to a national scholastic tournament, Council or Committee members are entitled to compensation for travel and lodging. Any other requests for funds to support committee activities must be submitted to and approved by the US Chess Executive Director or Executive Board, as appropriate.

APPENDIX G

EB21-063

US CHESS POLICY ON RESEARCH REQUESTS

US Chess Policy on Research Data Requests

From time to time, US Chess is approached by university and other institutional researchers to provide data about US Chess members, game data and various ratings data we hold. US Chess is always eager to advance the state of knowledge about chess and regularly approves formal research requests, with appropriate review and boundaries for the use of our data. The following policy codifies existing informal practices that have been developed.

1. Research activities using US Chess data should advance knowledge about the impacts of chess and trends in chess. Such research shall be in alignment with the broad mission of US Chess and the organization's strategic objectives. US Chess will consider research proposals only from academic or other nonprofit institutions having research as their principal function. US Chess will not consider research requests that are, or have the appearance of being, commercial in nature.
2. US Chess holds substantial amounts of data about its members and past members including their games ratings and titles. While some of this data is publicly available, requests to US Chess often include both publicly available and non-public data.

In general, US Chess will honor requests for publicly available data after a formal request to [insert name/title] has been reviewed.

Requests for non-public or mixed data will require a formal request describing the project and data needs and a copy of the requestor's Institutional Review Board approval for the research project being supported. US Chess, or its designee, will review each research proposal for its alignment with US Chess mission, objectives, policy and standard research practices.

In addition, the requestor or his/her institutional representative will be required to complete and sign a Data Use Agreement (DUA).

3. The US Chess DUA will determine the scope and duration of use of approved data. The DUA also will specify the conditions under which the shared data must be stored, and the individuals who will have access to the data.
4. The Requestor must disclose all conflicts of interest related to the project – real or potential – that would impact their ability to use the data in an unbiased and professional manner.
5. The Requestor will be responsible for the costs of US Chess generating and delivering the requested data.

Approved by Executive Board: April 13, 2021

APPENDIX H

EB21-064

US CHESS THIRD PARTY FUNDRAISING POLICY

US Chess Third-Party Event Fundraising Policy

We are always humbled whenever an individual, group, or organization wishes to raise money for US Chess. To protect the reputation and integrity of US Chess and its affiliates, US Chess has adopted the following third-party fundraising policy in accordance with its mission and reputation. If you are planning to organize a fundraising event or campaign to benefit US Chess, we ask that the following requirements be reviewed by those involved in your proposed fundraising activity.

A third-party event is any fundraising or promotional activity which benefits US Chess but is not managed by US Chess or its employees. We recognize that third-party events are planned and organized by individuals and groups who are passionate about US Chess and its mission. To ensure that:

- a) the event does not conflict with our own fundraising efforts,
 - b) expectations are managed and met by all parties involved, and
 - c) the event is as successful as possible, please review the following requirements:
1. Organizers wishing to conduct a third-party fundraising event or campaign to benefit US Chess are required to complete a Third-Party Event Fundraising Proposal Form at least four (4) weeks prior to organizing or promoting the event. US Chess will notify the applicant of the proposal's status within ten (10) business days of receiving the form. Publicity and promotion of the event may not commence until organizers have received written approval from US Chess.
 2. To protect our nonprofit status, US Chess will not collect donations from, or issue gift receipts to, donors of third-party fundraising events or campaigns that raise funds for any project or purpose that does not directly benefit US Chess, its programs, events, or operation.
 3. The event or campaign should not have the potential to negatively impact the reputation of US Chess or conflict with its mission and goals, nor should it have the potential to adversely impact the short-term or long-term fundraising and development efforts of US Chess.
 4. US Chess will not incur any costs or liabilities associated with third party events or campaigns. It is the responsibility of event organizers to secure any necessary permits required to hold the event, as well as purchase insurance that may be needed for liability purposes.
 5. In accordance with our privacy policy, US Chess will not share any names, addresses, or contact information from our mailing list or database with third-party event or campaign organizers. Under appropriate circumstances, however, US Chess may contact members from its database on behalf of third-party event or campaign organizers via email.

6. The US Chess logo is a registered trademark. Any unauthorized use or reproduction is strictly prohibited. All advertisements and other third-party event or campaign-related promotional materials which use the US Chess name and logo must be approved by US Chess prior to use. If approved for use, all logos and related US Chess graphics will be supplied by US Chess.
7. All staff and volunteers for third party events and campaigns will be arranged for and supplied by the event or campaign organizers. Organizers, staff, and/or volunteers of third-party events or campaigns will not represent themselves as staff members or employees of US Chess.
8. Should the event or campaign be changed or canceled, the organizers will notify US Chess prior to the scheduled day of the event or campaign.
9. US Chess reserves the right to withdraw its support and the use of its name and logo from third-party events and campaigns at any time and for any reason.

Approved by the Executive Board: April 13, 2021

Third-Party Fundraising Proposal Form

General Information

Name of Organizer

First Name: _____ Last Name: _____ MI: _____

Name of Organization or
Company Planning Event: _____

Address: _____ City: _____ State: _____ Zip: _____

Phone: _____ Email: _____

Event Information

Is this an Event or a Campaign? Event Campaign

Name of Event or Campaign: _____

Type of Event: Tournament Exhibition Other

Date of Event: _____ Time of Event: _____

Length of Campaign: _____

Description of Event or Campaign: _____

What inspired you to hold this event or conduct this campaign? _____

How many people do you expect to attend this event? _____

Where would you like the proceeds of this event or campaign to be designated?

US Chess General Fund A specific US Chess Program or Tournament

If designated for a US Chess Program or Tournament, which one? _____

Will you be securing sponsors for this event? Yes No

If yes, please list all confirmed and potential sponsors: _____

Fundraising Agreement

By naming US Chess as beneficiary of this third-party event/campaign, it is agreed to that all proceeds (or partial amount as predetermined by event/campaign organizers and US Chess) will be donated to US Chess. By signing below, it is agreed to that US Chess will receive a final income/expense report, and that the proceeds raised will be transferred to US Chess within 30 days following the event or campaign. The event organizers will indemnify US Chess and its directors, officers, and employees from any and all claims, suits and causes of action arising out of this fundraising event/campaign.

It is understood and agreed upon that event organizers, staff, and volunteers will be representing the interests of US Chess and may receive private and confidential information, including gift amounts and banking information, from individuals who donate to this third-party event/campaign. As the organizer, I/we

- will not retain personal information for private or business use
- will not disclose any personal information (donation amounts, banking information, etc.) to anyone other than US Chess representatives
- will not alter or omit any information received from event/campaign participants

Please check box if you are in agreement with the above statements: I agree

Signature: _____ Date: _____

Title or Role in Event/Campaign: _____

APPENDIX I

EB21-065

HERBERT B. JACKLYN FUND PROGRAM

The Herbert B. Jacklyn Program

“It is my wish and desire that the United States Chess Federation use this gift to establish a fund in my name and that the income generated therefrom be used for the furtherance of the chess capabilities of chess players from eighteen through twenty-one years of age.”

– Dr. Herbert B. Jacklyn

US Chess is initiating the application process for the Herbert B. Jacklyn Program. The program is being funded by a generous bequest to US Chess from the late Dr. Herbert B. Jacklyn. It was the wish of Dr. Jacklyn that income derived from investing his gift be used to improve the chess of players from eighteen through twenty-one years of age. US Chess aims to provide opportunities for chess players who lack the economic resources to acquire chess coaching and instruction on their own. **The criteria will include the following considerations:**

1. Applicants must be United States citizens and reside in the United States of America.
2. Applicants must be US Chess members in good standing. For teams applying, all team members must meet eligibility requirements.
3. Applicants must be at least eighteen (18) years of age and no older than twenty-one (21) at the time the Herbert B. Jacklyn selection is announced.
4. Applicants must have an established US Chess regular over-the-board rating of at least 1500 and not higher than 2000 at the time they apply. Prospective applicants on a chess scholarship are ineligible.
5. Applicants must not have a negative judgement, past or pending, rendered against them by the US Chess Ethics Committee or have ever been found in violation of the US Chess Code of Ethics.
6. Preferential consideration will be given to:
 - a. applicants who attend an accredited school of higher learning in the United States;
 - b. applicants who have demonstrated a sustained history of playing in US Chess-rated events;
 - c. applicants who are members of groups that have been historically under-represented in the chess community, such as women, and underserved students from rural and urban backgrounds; and
 - d. applications who demonstrate financial need.
7. Applicants are encouraged to provide a letter of recommendation from one of the following:
 - a) Their current or past chess coach or mentor
 - b) A former or current high school/college teacher/professor
 - c) A former or current school or community Guidance Counselor

A letter of recommendation should be from someone who knows the applicant well and who can address why the applicant is a good candidate to be selected for the Herbert B. Jacklyn Program. It is important that a letter of recommendation speak to either the character of the applicant, their financial need, or the applicant's potential and promise as a chess player. Letters from family members are not allowed.

8. Applicants are required to write an essay on why they are a good candidate for the Herbert B. Jacklyn Program. Applicants should address what the game of chess means to them and how it has impacted their life, their goals for improving their game, as well as anything else that they believe the committee should know about them that could contribute to a decision in their favor.
9. Applications are due annually by September 15, with selections and notifications made by the first week of October.

The selection committee will award up to \$7,500 annually, split among individual and team applicants. Individual awards will be capped at \$1,000 and team awards will be capped at \$1,500.

Herbert B. Jacklyn Program Application

Personal Information

Name:

Last _____ First _____ MI _____

DOB: _____ US Chess Rating: _____ US Chess Member ID#: _____

Address:

Line 1: _____ Line 2: _____

City: _____ State: _____ Zip Code: _____

Is your application for an individual or a team? Individual Team

(Note: for form, insert logic for team application to allow for multiple people to be named)

Please answer the following questions

Have you ever had a negative judgement rendered against you by the US Chess Ethics Committee? Yes No

Have you ever been found to be in violation of the US Chess Code of Ethics? Yes No

Education:

Did you graduate from High School? Yes No

Year of graduation: _____

Name of High School: _____

Location of High School:

City: _____ State: _____

Did you, or do you, attend college? Yes No

Please list the name(s) of any college(s) you have attended and years you attended:

Name of college: _____

Location of college: _____ Years attended: _____

Name of college: _____

Location of college: _____ Years attended: _____

Name of college: _____

Location of college: _____ Years attended: _____

Are you receiving need-based or other financial assistance? (check all that apply) (This is too general ... what counts? If the family is receiving welfare, does that count? If I receive free or reduced meals at my school, does that count?)

Need-based Academic Athletic Other _____

Do you have a college degree? Yes No

What type of degree do you have? _____ Bachelor's Degree (B.S. or B.A.)

_____ Associate's Degree (A.A. or A.S.)

Major/Field of study: _____

Are you including a Letter of Recommendation? Yes No

If so, from who and their relationship to you?

Are you currently working? Yes No (Not sure why we are asking this question.
Does a player who is working get less or more consideration?)

If so, are you working full time or part time? _____

What gender do you identify as? Male Female Other (please specify)

The Herbert B. Jacklyn Program Essay Section (Recommend 500 words, the paragraph below is 75 words. I don't think 250 is enough space to express one's self.

In 250 words or less, please tell us what makes you a good candidate to be the recipient of the Herbert B. Jacklyn Program. Be sure to tell us what the game of chess has meant to you and how it has impacted your life, your goals for improving your game, as well as anything else that you believe the selection committee should know about you that could contribute to a decision in your favor.

APPENDIX J

EB21-068

ANNUAL DAN HEISMAN AWARD

Annual Award for Excellence in Chess Instruction

Through a generous gift from FM Dan Heisman, US Chess now has an endowed award for excellence in chess instruction. According to FM Heisman, *“When contemplating my own legacy, it occurred to me that there was no award recognizing excellence in the field of chess instruction. The Heisman family has a long history of supporting philanthropic causes, and I thought the time was right to establish an award that celebrates and honors the people behind the players – the men and women who impart their knowledge and help students develop skills so that they can more fully understand and appreciate the game and play to a higher level of proficiency. It is my hope that acknowledging outstanding chess instructors will create a greater awareness of their indispensable contributions to the game of chess.”*

Goal: Provide an annual recognition to a Chess Instructor who has demonstrated long standing commitment to developing chess players and in service to the chess and broader community. Per the donor, a chess instructor is a teacher first and foremost. While a coach often has these same attributes, an instructor conveys a broader concept, which the donor endorses.

Name of Award: Dan Heisman Award for Excellence in Chess Instruction

Key Attributes of Winner:

- Commitment to bringing along students of the game, at all levels of progress
- Length of service in chess instruction
- Chess service outside of chess instruction

Selection Process: The US Chess Executive Board will receive nominations for this award annually and make the selection.

Criteria:

- Must be a US Chess Member in good standing and have been a member throughout the period for which the award relates
- Does not have to be a “certified” coach
- Does not have to have 1-1 private students (can just teach groups or give internet group lessons)
- Can coach players better than themselves but not to the extent they are exclusively a trainer for top player(s)
- Can only win the award once
- Instructors who benefit large groups (via schools, books, internet, etc) would have slight preference over those who only influence a few
- Members of the selection committee should recuse themselves from judging their friends

What will be Awarded:

- Annual recognition of winner at US Open Awards ceremony
- Award recognition in the US Chess Annual Report
- \$500 cash prize
- Plaque recognizing the winner

APPENDIX K

EB21-069

2021 NATIONAL ONLINE SCHOLASTIC CHESS
CHAMPIONSHIPS RULES FOR CHAMPIONSHIP
WEEKEND

2021 National Online Scholastic Chess Championships Rules for Championship Weekend

Appendix A: General Rules

A1 Purpose

These rules supplement the rules in section 4 of the Rules for the NOSC Qualification Weekends that US Chess published on February 19, 2021. Section 4 focuses on the NOSC Championship Weekend, the purpose of which is to determine the 2021 national scholastic individual champions. All rules in Section 4 remain in effect without change. This document provides more tournament-specific rules for the players participating in the NOSC Championship Weekend. These rules supplement the *US Chess National Scholastic Chess Tournament Regulations* (published Sept. 30, 2020) and the 7th Edition of the *US Chess Official Rules of Chess*, which includes Chapter 10: “Rules for Online Tournaments and Matches”.

A2 Tournament Format

A2.1 Number of Rounds and Start Times

6 Rounds: three on Saturday, three on Sunday. Round times are identical each day: 11 AM, 2 PM, and 5 PM. All times are Eastern (ET).

Day & Date	Round & Start Time
Saturday, June 12, 2021	Round 1 11 AM (ET)
	Round 2 2 PM (ET)
	Round 3 5 PM (ET)
Sunday, June 13, 2021	Round 4 11 AM (ET)
	Round 5 2 PM (ET)
	Round 6 5 PM (ET)

A2.2 Time Control

G/40 +5inc for all rounds. (Game in 40 minutes with 5 second increment added for each move, starting with move one.)

A2.3 Half-Point Byes

A player has the option to request and receive **one half-point bye** for a specified round. This bye must be requested when a player confirms participation in the Championship Weekend (see Rule A3.2).

Half-point byes will not be available after 9 PM (ET) on Thursday, June 10th. Byes requested thereafter will be treated as zero-point byes.

A2.4 Zero-Point Byes

A player has the option to request and receive **any number of zero-point byes** for any round(s). Such requests may be made at any time before or during the tournament.

A player who receives a zero-point bye(s) remains eligible for prizes, as long as the player completes the tournament by competing in the last round. However, a player who requests and receives a zero-point bye for the last round is deemed to have withdrawn from the tournament and will be ineligible for prizes.

A2.5 Withdrawals

A player may withdraw from the tournament at any time. Withdrawal will render the player ineligible for prizes.

A3 Tournament Registration

A3.1 Automatic Registration

US Chess will **automatically register** the top players from each Qualification section as determined by NOSC Rule 4.1. These players are known as “Qualified Players”.

A3.2 All Qualified Players Must Confirm Their Participation

US Chess will begin contacting Qualified Players to verify their intent to participate during the Championship Weekend **no later than one week after their Qualification Tournament**. Qualified Players will have until 9 PM (ET) on the fifth day after notification to confirm their intent to participate. If any qualified players decline or do not respond to the invitation from US Chess, US Chess will pass those players’ participation rights to the players next in line for qualification. **If a player declines to participate, that decision is final; it may not be reversed.**

Example: If the K-3 Section qualifies 42 players and four decline to participate in the Championship tournament, then those four players’ slots in the Championship

will pass to the players who finished in 43rd through 46th places. (See also Rule A3.3 below about Alternate Players.)

A3.3 Special Note about Fair-Play Analysis of Results from Qualification Weekend

Fair-play analysis might continue for up to three weeks after the end of a Qualification Tournament. If US Chess changes a qualified player's status because of fair-play analysis, then that player's slot in the Championship Weekend will be revoked by US Chess and the player's right to participate will be passed to the next player in line as described above in Rule A3.2.

A3.4 Alternate Players

In addition to contacting the Qualified Players, US Chess will contact players who finish **in the next 10 places** to inform them of their status as "Alternates." US Chess will instruct those players to remain alert (e.g., watch for emails) for further information. If one or more Qualified Players declines, then US Chess will contact the appropriate number of Alternates in the same manner described in Rule A3.2 above.

A3.5 Players Who Have Qualified for Two Sections of the Championship Weekend

A player who qualifies for the Championship Weekend in two sections must play in the section with the lower ceiling. For example, a 6th grader who played both in the K-6 and K-9 sections of the Qualifiers on separate weekends, and who qualified for the championship in both sections, must play in the K-6 section during Championship Weekend. In accordance with A3.3, the 6th grader's place in the K-9 Championship section will be given to an Alternate.

This option does not exist for players in grades 7-12.

A3.6 Condition of Entry

A3.6.1 All players must read and agree to follow the [ICC User Agreement](#), as well as the Event Rules and Player Agreement with US Chess.

A3.6.2 Entering the tournament is considered acceptance of these conditions.

A4 Pairings and Player Ratings

A4.1 Pairings

A4.1.1 Pairings rules will be the same as those used during the Qualification Weekend for each section with the following exceptions:

A4.1.2 Pairing of Teammates or Players from the Same State.

A4.1.2.1 Section 18.1.2 of the *National Scholastic Chess Regulations* is **modified** as follows:

- Players from the same **state** *might have to* be paired against each other in any round.
- Players from the same **team** *will not* be paired against each other in Rounds 1 or 2.

Rationale: Given the restricted field (a maximum of 64 players per section), it is unreasonable to avoid state-on-state pairings in any round. However, even though this is not a team event, players from the same team will not be paired against each other in the first two rounds, in keeping with the spirit of the *National Scholastic Chess Regulations*.

A4.2 Player Ratings

Rating rules will be the same as those used during the Qualification Weekend for each section with the following **exception**:

- The **June** 2021 Rating Supplement will be used.

A5 Playing Environment

A5.1 Monitoring Devices

Players are required to have two cameras providing two different views (a side/rear view and a front view), as specified in *Appendix B: Procedures, B1 (Zoom)*.

A5.1.1 Deliberate and/or Repeated Interruption of Video

If a player's camera feeds are interrupted deliberately and/or repeatedly, the TD has the discretion to impose up to a 20-minute time penalty or half of the player's remaining time (whichever is less). Additional interruptions of the player's camera feeds might result in more significant time penalties such as forfeiture of the game and removal from the tournament.

A5.2 Playing Devices

A5.2.1 Desktop, laptop, or notebook computers running Mac, Windows, or Linux.

A5.2.2 Tablets can be used but are not recommended.

If a player *does* choose to play on a tablet, it cannot be relied on to provide

a continuous front-view image because the tablet's video function will turn off whenever the player toggles off Zoom. This would leave the player without a working front-view camera. Consequently, the player will have to rely on two additional devices, separate from the tablet, to provide the required side-/rear-view camera and front-view camera.

A5.2.3 WARNING: Playing on a phone is prohibited. A phone may be used only as the required side-/rear-view camera.

A5.3 Additional Devices

A5.3.1 The use of a phone or additional electronic device for any reason other than connecting to the Zoom meeting to provide a side-/rear-view camera and/or front-view is subject to penalty at the TD's discretion, ranging from a warning to removal from the tournament.

A5.3.2 Dual monitors are allowed as long as both of them are visible on the side-/rear-view camera. Prior to Round 1, players must disclose the use of a dual-monitor setup to their Zoom TD.

A5.3.3 Players may not use a physical board or set during the tournament, unless authorized by the Chief TD and the Director of Events for medical reasons.

A5.3.4 No headphones or headsets will be allowed once games have started.

A5.4 Player's Equipment Responsibilities

A5.4.1 Players are responsible for providing their own equipment for the tournament. US Chess will neither provide nor pay for a player's equipment.

A5.4.2 The player's playing device must have access to a reliable high-speed internet connection: upload capability of 2+ megabits per second (Mbps). An unreliable or slow internet connection and/or technical setup errors might result in a loss or forfeit loss. A player's internet connection and, more broadly, all aspects of the player's technical setup is the **responsibility of the player**—not of US Chess.

A5.5 Quiet Setting

While playing, players must be alone in a quiet room with no background noise. Players may be penalized for violating this rule.

A6 Failure to Log-In and Disconnections

A6.1 Failure to Log-In by the Scheduled Start of the Game

The Zoom TD has the discretion to impose a time penalty on a player who logs in late, depending on the length of the delay. If a player has not logged into ICC within 20 minutes after the start of the round, the player will lose the game by forfeit.

A6.2 Disconnecting during the Game

A6.2.1 If a player disconnects from ICC while playing, the opponent should use Zoom chat immediately to inform the Zoom TD of the disconnection and wait patiently. The player who disconnected will not lose the game automatically. Instead, the game will be suspended temporarily. (On the screen it might seem as if the board has been reset, but actually the game position has been preserved.)

A6.2.2 It is the **player's responsibility** to log back in as soon as possible. Once the disconnected player has logged back in, ICC will issue a "challenge" enabling the players to resume their game. Both players must accept the challenge.

A6.2.3 The TD has the discretion to impose the following penalties on the player who disconnected:

- A time penalty up to 20 minutes or half of the player's remaining time (whichever is less).
- A more significant time deduction or penalty including possible forfeiture of the game for prolonged or repeated disconnections.

A6.3 Scoring of Forfeited or Disconnected Games

A6.3.1 If both players have not made at least one move, the game will be declared a forfeit loss for the absent player. Ratings will not be impacted.

A6.3.2 If both players have made at least one move, and if the game ends as a result of a disconnection, the game will be rated. The disconnected player will be removed from the tournament.

A6.3.3 ICC and US Chess are not responsible for games lost because of a player's difficulty remaining connected or inability to use the web-based play page.

A6.4 Remaining in the Tournament

Players who fail to log-in for a scheduled round within 20 minutes of the start of the round, thereby losing the game by forfeit, are deemed to have withdrawn from the tournament. Players who lose a rated game by disconnection are also deemed to have withdrawn from the tournament.

If such a player wishes to be paired for subsequent rounds, the player must inform a TD via the section's Slack channel or Chess Control at least one hour before the start of the next round.

A7 Fair Play

A7.1 Computer Analysis

A7.1.1 US Chess will **monitor all games move-by-move**, using ICC's US Chess-approved fair-play analysis methodology during and after the tournament.

A7.1.2 Additional review might be conducted by experts in the field of fair-play analysis.

A7.2 Zoom Rules

US Chess will use Zoom meetings to monitor all players via live-camera view and will review the recordings of the Zoom meetings, if necessary.

A7.2.1 Players are required to have two cameras providing two views (side/rear and front), as specified in *Appendix B: Procedures, B1 (Zoom)*.

A7.2.2 Players must be visible on camera in the Zoom meeting during their games and must list their legal first and last names—not their ICC handle.

A7.2.3 Players must follow all directions issued by the Zoom TD such as verbal announcements, visual displays that are screen shared, and direct communication via the Zoom chat window.

A7.2.4 The Zoom TD may require that a player's Zoom cameras be adjusted if the player falls out of view or if the view of the playing environment is inadequate.

A7.2.5 The Zoom TD may request that a player join a breakout room and/or screen share, as necessary.

A7.2.6 Players who do not conform with the Zoom camera and audio requirements or who fail to follow a Zoom TD's directions, will be issued a warning before being penalized. Repeated non-compliance will result in penalties up to removal from the tournament at the TD's discretion.

A7.2.7 **Players may not access applications and/or browser windows outside of the [ICC play page](#), Zoom, the official tournament pairings/standings, and the ICC Grids during the tournament.** Players who *do* access them

will be penalized up to possible removal from the tournament, at the TD's discretion.

Before the start of their game, players must close all browser windows not associated with the tournament.

A7.2.8 Players found to be **watching the Twitch broadcast** of NOSC Championship Weekend during their games will be **disqualified immediately**.

A7.3 Bathroom Policy

A7.3.1 While on move, a player may not leave for a bathroom break.

A7.3.2 Two bathroom breaks are allowed in each round. Players do not need to ask for permission from a Zoom TD to go to the bathroom. However, before going on a bathroom break, players must type *bathroom* to the Zoom TD; after they have returned, they must type *bathroom*. This will provide a time-stamped record of the player's absence from the board.

Exception: Players in K-1 are exempt from this requirement.

A7.3.3 Additional bathroom breaks (three or more) require prior approval from a Zoom TD.

A7.3.4 Excessive breaks might be penalized at the TD's discretion and might be a factor considered during fair-play analysis.

A7.3.5 Significant improvement of play after a bathroom break is considered highly suspicious and, depending on additional factors, could lead to a player being forfeited and removed from the tournament.

A7.4 Removal of Player

A7.4.1 US Chess reserves the right to remove from the tournament any player whose play is indicative of fair-play violations.

A7.4.2 When a player is removed, scores will be adjusted as follows:

A7.4.2.1 If two or more rounds remain, all opponents of the disqualified player will receive a win by forfeit, unless they defeated the disqualified player, in which case they will retain a rated win.

A7.4.2.2 If the tournament has concluded, or if there is only one round remaining:

- The last opponent of the disqualified player will receive a win by forfeit.
- Other opponents who lost to the disqualified player will receive a half-point bye.

- Other opponents who drew with the disqualified player will receive a win by forfeit.
- Anyone who defeated the disqualified player will retain a rated win, instead of a forfeit win.

A7.5 Final Standings

Standings posted by US Chess after the last round will be considered unofficial until fair-play analysis is complete. This process might take three weeks.

A8 Prizes

A8.1 Individual Plaques

US Chess will award commemorative plaques to the Top 1-8, Top 1-10, or Top 1-12 players in each section, based on the size of the section as shown in the table below.

Section Size	Plaques
32 - 41 players	8
42 - 52 players	10
53 - 64 players	12

In addition, all players will receive a uniquely designed electronic Participation Certificate.

US Chess will not distribute prizes or certificates until fair-play analysis is completed and the results are certified by the US Chess Director of Events as official and final.

Appendix B: Procedures

B1. Protocols of Communication and Fair-Play Monitoring: Zoom

B1.1 Camera Requirements

Two cameras logged into the Zoom meeting are required:

B1.1.1 1. Side-/rear-view camera

- This camera **provides a view of the player and his/her playing device**. See photos below. The camera is to be placed at approximately a **45-degree angle to the left or right rear** of the player and **elevated to at least the same height as the player's desktop**. The view must show the **player's upper body, arms, head, and playing device's screen**. All of these elements must be visible simultaneously in the video stream.
- Players may use a cell phone or a separate webcam to provide the required view. Players should ensure this camera uses a continuous source of power and does not rely on battery power alone, to avoid interruptions in the camera's video feed, resulting from a loss of battery power.

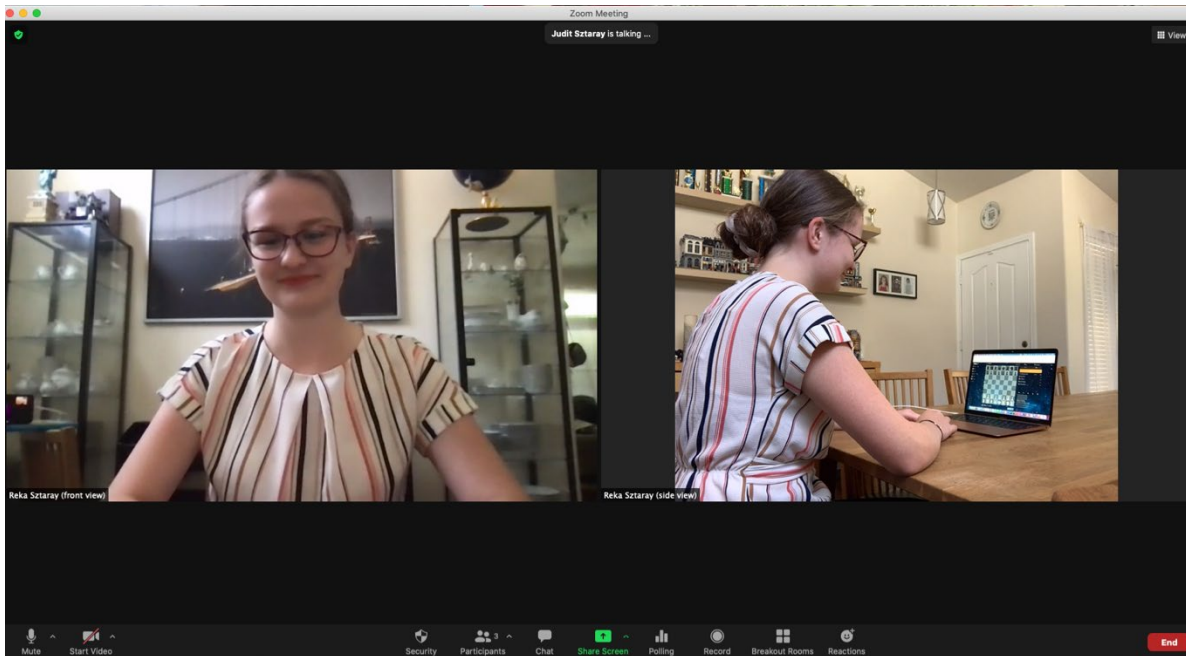
B1.1.2 2. Front-view camera

- This camera **provides a head-on view of the player** and enables easier communication between the player and the Zoom TD. See photos below. If the player's computer has a built-in web camera, it should be used. A second webcam is an acceptable option if the computer camera is not present or does not work. The view of the player must **include the player's chest from the arm pits to the top of the head**.
- This camera should be embedded in a laptop, desktop, or notebook computer. A tablet can be used as a front-view camera only if the player is playing on a separate device (a computer or an additional tablet). The player must toggle off Zoom in order to play his/her game. This turns off the tablet's video function, thus leaving the player without a working front-view camera.

Photo 1: Overview of Ideal Playing Environment



Photo 2: Screenshot of a Zoom Meeting with Player Images from the Front-View Camera & the Side-/Rear-View Camera



B1.2 Audio Requirements

B1.2.1 Players in K-1 and K-3 will remain muted at all times. The Zoom TD will mute all players automatically as they enter the Zoom meeting.

B1.2.2 Players in K-5 and above will be unmuted. To avoid an echo, only one camera may be unmuted.

Note: The Section Chief and/or Chief TD may mute any and all players in any section, if circumstances arise to warrant it.

B1.2.3 When players enter the Zoom meeting, they must make the following adjustments to their audio settings:

- Turn **speaker microphone ON** at full volume.
- Turn **speaker output volume OFF**.

B1.3 Guidance for Using Zoom

B1.3.1 US Chess and ICC will schedule one or more opportunities for players to test their devices and the proper Zoom protocol in the days before the Championship. Watch for this information to be advertised on the [NOSC page](#) or sent to all participants by email.

B1.3.2 Players should arrive early (≈ 30 minutes prior) to the first round, so they will have time to test their Zoom settings.

B1.3.3 After players have completed a game, they may turn their cameras off or leave the Zoom meeting entirely. They should expect to rejoin their Zoom meeting room 15 minutes before the next scheduled round.

B1.3.4 Logging in to Zoom is not the same thing as logging in to ICC! In order to play, players must be logged in to both ICC and Zoom.

B1.4 Players' Communication with TDs

If a player has a problem during their game, or if a player needs a TD for any reason, then the player should take the following steps in descending order of preference:

1. Contact the Zoom TD via the Zoom chat. The Zoom TD will respond and communicate with the player. A player should not wait until the end of the game to raise a concern for the first time. If the player's opponent has not moved for a considerable amount of time, the player should contact the Zoom TD.
2. Use the ICC channel chat room setup for the tournament.
3. Use the section-specific Slack channel if the player experiences difficulties with the previous options.

Zoom TDs may instruct players to enter a Zoom breakout room, as necessary. This applies particularly to players who might not be able to write or read well enough to chat effectively.

B1.5 TDs' Communication with Players

If a TD needs to contact a player during the game, the TD will take the following approaches in descending order of preference:

1. Contact the player on Zoom via the Zoom chat. (It is the player's responsibility to respond to the TD in a reasonable amount of time.)
2. Send a message in the ICC platform directly to the player. This will pop up on the player's screen via a chat box on the play page. Usually this will remind a player to go back to the Zoom chat for the TD Request.
3. Contact the player via registration information.

B1.6 Reporting a Fair-Play Concern

To report a fair-play concern, a player should:

- Report it directly to a Zoom TD.
- Keep the communication private. Do not broadcast or message the concern to other players.

B2 Protocols of Playing Platform: ICC

B2.1 How to Play on ICC

B2.1 Go to the [ICC landing page for NOSC](#):

Note: Using the webpage above is required. Using Blitzin, Dasher, ICC for Windows, or other ICC software is prohibited.

B2.2 Click the MEMBER LOGIN button on the left menu.

B2.3 Usernames and Passwords. All players **MUST** use the same username and password they used in the Qualification Tournament. Players will receive their username and unique password again as a reminder at least three days before the tournament. Enter your provided username and password and click on *submit*.

B2.4 Once players are logged in, they should wait patiently until the scheduled round time.

B2.5 Player Responsibility to Review Settings: US Chess strongly encourages players to check their user settings on the play page. Players should turn the following options **OFF**:

- pre-move
- drag and drop
- auto-promote.

Players are responsible for knowing how the platform operates. A player's

individual user settings have no effect on the opponent's settings.

B2.6 On the ICC Platform, games start automatically at the scheduled round time as long as both players are logged in. Should only one player be logged in, ICC will not start the game until after the second player has logged in.

B2.7 Players will receive a challenge, which they must accept in order to start their game.

B2.8 For more information, players and parents should review the instructional videos provided by US Chess and ICC prior to the tournament.

B3 Role of Parents

B3.1 Before the Tournament

Parents should ensure that their players watch all informational videos created for the tournament, read the rules, and attend pre-tournament quality control testing that US Chess and ICC provide.

B3.2 Day of the Tournament

B3.2.1 Round Times

Parents should be aware of the scheduled round times, which are listed in Eastern Time (ET) in the tournament announcements. Parents not in the Eastern time zone should convert the start times to their time zone.

B3.2.2 Remove Unauthorized Electronic Devices

B3.2.1.1 Before the start of each round, parents may assist players by logging the players into the Zoom meeting, making sure their Zoom settings satisfy the camera and audio requirements, and helping the players log in to ICC.

Note: Logging in to Zoom is not the same thing as logging in to ICC! In order to play, players must be logged in to both ICC and Zoom.

B3.2.1.2 Parents should ensure that players do not have any unauthorized electronic devices on their person or in the playing room. Only devices required for playing or being monitored are permitted.

B3.2.3 Communication with TDs

Parents will have access to a tournament-specific and section-specific Slack channel to request assistance or communicate with a TD. The Slack

channel will be the primary means of communication for parents and should be used the way Chess Control is at over-the-board tournaments. For example, a parent should use the Slack channel for bye requests and/or withdrawals during the tournament.

Note: Although Slack is the primary means of communication for parents, Slack is **not** the primary means of communication for players. Players should communicate directly with a TD on Zoom.

B3.3 Playing Room

B3.3.1 Parents must leave the playing area once a round has started. While playing, players must be alone in a quiet room with no background noise.

B3.3.2 US Chess requires every player to be alone while the player's game is in progress and to play without any form of external assistance (human or machine). US Chess understands the strong desire for parents of young players to monitor the activities of their player. It is an unfortunate reality that this situation creates opportunities for a young player to seek outside assistance or for a parent to intervene. **Such outside assistance is not permitted and is counter to the principles of fair play.** Before the tournament begins, parents should prepare their children to be alone in the playing room during their games.

B3.3.3 Parents may enter the playing room **only in the case of an emergency** such as a computer problem or the player taking ill.

APPENDIX L

EB21-070

REGAN'S FAIR PLAY ENDORSEMENT LETTER



P.O. BOX 3967
CROSSVILLE, TN 38557
(931) 787-1234
www.uschess.org

5/11/21

Dr. Kenneth W. Regan
162 Maynard Drive
Amherst, NY 14226-3368

Subject: US Chess Federation Letter of Endorsement of Professor Kenneth W. Regan's Fair Play Analysis Methodology

With this letter the US Chess Executive Board endorses the fair play analysis methodology developed and used by Professor and International Master Kenneth W. Regan to identify possible cheating in online and over-the-board games of chess. US Chess approves the use of Dr. Regan's methodology by tournament directors (TDs) and organizers to conduct post-event analysis of games played by US Chess members. US Chess highlights that Dr. Regan's technique differs from methods already endorsed by US Chess. Such differences do not mean one method is superior to another; rather, it simply indicates that fair play algorithms have an array of data they can use. ***Accordingly, US Chess strongly advises caution by anyone attempting to compare results from Dr. Regan's method with the output of other approaches.*** This endorsement shall remain in effect until removed by US Chess or superseded by an updated endorsement.

US Chess is deeply concerned about the potential for violations of fair play in rated chess events—online and over-the-board. Therefore, to reassure members and provide TDs and organizers with information about possible violations of fair play, US Chess formed a special subcommittee of the US Chess Ratings Committee to review the approaches being used in the chess industry. As regards Prof. Regan's methodology, we share the following words from the subcommittee's findings:

"His process provides unique capabilities not available from the methods used by other vendors. Because Dr. Regan's method is not tied to a single vendor it provides a pathway for external review of vendor results and reviews of games not played online. A strong advantage of his method is that the algorithm has been published in the public domain. His method is well tested and used extensively around the world. He is conservative in the threshold he uses for determining cheating and thus the expected false positive rate is at or below that of other methods. His method intrinsically generates a performance rating for a given game that can be used during appeals. Because his method compares the move selection distribution of a player to the expected distribution for players of that rating, it can potentially detect assistance from higher rated players. This is a form of fair play violation that some other methods have a problem finding."

US Chess shall continue its outreach to review the fair play methods other parties offer for deterring and detecting cheating. We encourage interested individuals to contact US Chess.

US Chess has not received any fee or compensation for this endorsement, nor has any member of the subcommittee who participated in the assessment. Additionally, this endorsement does not modify in any way the right of a US Chess member accused of having violated fair play to receive due process by having their case heard through the Ethics process, and/or other US Chess claims processes, and to review the evidence against them. The fact that a fair play detection approach identified a player as having not played fairly may or may not be sufficient to sustain a charge that the accused player violated the US Chess Code of Ethics.

For the Executive Board,

Michael E. Hoffpauir
President, U.S. Chess Federation

APPENDIX M

EB21-073

NOMINATING COMMITTEE CHARTER

CHARTER

NOMINATING COMMITTEE

Membership

The Nominating Committee (the “**Committee**”) of the US Chess Federation (“**US Chess**”) shall be dually appointed by the Delegates and Executive Board (the “**Board**”) of US Chess. The members of the Committee shall be appointed for two-year terms and shall serve for such term until replaced or until earlier resignation or death. The Board may remove any of its appointed members from the Committee at any time with or without cause. A committee member appointed by the Delegates may be removed by a majority of the committee members appointed by the Delegates at any time with or without cause. Persons seeking election to the Board or who are members of the Elections Committee are not eligible to serve on the Committee.

Purpose

The purpose of the Committee is to assist in the identification of candidates for the Executive Board of US Chess and provide recommendations to the Voting Members of US Chess about the candidates’ potential contributions to the Board.

Duties and Responsibilities

The Committee and its members shall have the following authority, responsibilities, and limitations:

- solicit members to run for election to the Board, taking into account the Board’s Expectations overview and other requirements expressed by the Board and the candidate’s ability to meet all qualifications for the Board;
- advertise for applications, seek, and recruit potential candidates;
- receive, review, and vote upon applications;
- remain active throughout the year to develop a list of potential candidates;
- announce nominations for the Board by December 1 of the year before the board election.

In the event a special election is called, the Nominating Committee will follow the same procedures for a regular election, qualifying candidates at least 30 days before the petition submission deadline.

Structure and Operations

- The Committee shall elect a Chair and Vice Chair by majority vote from among the currently serving Committee members. If the Chair is unable to fulfill his/her duties due to short term unavailability (e.g. illness, work), the Vice Chair shall preside.
- The Chair is responsible for organizing and scheduling at least four (4) meetings of the Committee each year. The Chair shall provide all Committee members at least one week (7 days) of notice before scheduling a meeting.

- All activities and correspondence of the Committee are considered “confidential” business of US Chess. Any information intended for public release by the Committee shall receive a majority approval vote by the Committee before being released. Committee meetings shall not be recorded.
- The US Chess Executive Director (“ED”) will provide use of teleconferencing and collaboration capabilities for Committee business. The ED shall be entitled to attend all meetings of the Committee and shall be included in all intra-committee correspondence. The ED has no vote on any matters being voted upon by the Committee. The ED communicates information to the Committee and serves as a technical advisor with respect to qualification requirements for potential Board members and other relevant information.
- Committee members may not accept gifts, money, or any form of remuneration in exchange for supporting or opposing any candidate seeking nomination.
- Committee members will not actively campaign in support of any Executive Board candidate, nor accept gifts, money, or any form of remuneration in exchange for supporting or opposing any candidate seeking nomination.
- Committee members understand that they must work together to support the overall integrity of the Nominating Committee. Committee members will thus refrain from making any personal attacks individually or in group discussions about any candidate (qualified by the Nominating Committee or not) for the Executive Board.
- Nomination of candidates and other business of the Committee require the approval of a majority of the members of the Committee.

Performance Evaluation

The Committee shall conduct an annual evaluation of the performance of its duties under this charter and shall present the results of the evaluation in the form of a written report to the Delegates. The Committee’s annual report shall be included in the pre-meeting distribution of materials for the Annual Delegates Meeting.

Approved by Executive Board: May 11, 2021

APPENDIX N

EB21-081

ONLINE RATINGS SYSTEMS ADJUSTMENT

Online Ratings System Adjustments
(proposed by the Ratings Committee and Mike Nolan)

There are two issues, one is a programming bug that has been in the online ratings system since it was launched, the K factor is computed incorrectly (1/4 K rather than full K). This means all online ratings are wrong, essentially. Most players online ratings will go up, but some will go down.

The second issue is one the ratings committee came up with after reviewing data on how ratings are initialized from other ratings information. They came up with a blended/weighted/time sensitive approach where more recent ratings are given more weight than older ratings. It will look at the other 5 ratings types plus FIDE and Canadian/CFC ratings. (CFC ratings still have to be set up manually because we do not have cross-links between CFC IDs and US Chess IDs like we do between FIDE IDs and US Chess IDs, so there is a new manual adjustment record type for entering CFC ratings that can then be used for blended initializations.)

The online ratings need to be completely recomputed back to 2014 due to the programming bug. The Ratings Committee wants to use June 1, 2020, as the start of the new blended initialization formula, because that way any (new) players who have an online regular rating but not an OTB regular rating would have their first OTB regular rating based at least in part on their online regular rating.

We would not be rating any new events during the changeover. We probably don't want to do that the same week as a ratings supplement run. The July ratings list will be generated on June 16th, so at this point it seems like the week of June 21st is probably the earliest we could make the changeover.

.....
Proposed Motion:

The Executive Board moves to authorize a programming fix for a bug in the online ratings system that has been present since its inception. Further, the Executive Board authorizes the adjustment in the online ratings system formula to account for greater weight being placed on more recent game that were more recently played.

Rationale:
[insert language from above]

APPENDIX O

EB21-086

2021-2023 BUDGET

**US Chess Budget
2021-2023**

Account	Operating Revenue	2021-2022	2022-2023
	Membership		
4005	Adult	\$551,318	\$620,233
4010	Senior	\$110,400	\$124,200
4020	Family	\$68,208	\$76,734
4030	Young Adult	\$139,406	\$156,832
4040	Youth (includes Youth + Scholastic)	\$562,195	\$632,470
4070	Life	\$56,000	\$63,000
4100, 4105, 4107, 4	Affiliates (All)	\$44,000	\$48,625
4080, 4085, 4095	Special, Prison, Group Memberships	\$106,907	\$120,270
	Membership Total	\$1,638,435	\$1,842,364
	Royalty Payments		
4200, 4205	Royalty	\$130,000	\$130,000
4210	Commissions	\$51,500	\$51,500
	Royalty Total	\$181,500	\$181,500
	Communications		
4300, 4400	TLAs/Advertising	\$71,884	\$81,900
	Member Magazine Print Subs	\$90,654	\$101,869
4305, 4310	Subscriptions, Newstand	\$12,551	\$20,082
	Communications Total	\$175,089	\$203,851
	Other Services		
4500	Ratings Fees	\$92,500	\$92,500
4515	FIDE Income	\$30,000	\$30,000
4510, 4505, 4600, 8	Mailing Lists, Correspondence Chess, Interest	\$115,000	\$120,000
	Other Services Total	\$237,500	\$242,500
	Other Revenue		
	Investments	\$30,000	\$30,000
4700, 4710, 4711, 4	Unrestricted/Restricted Donations	\$200,000	\$200,000
	Other Revenue Total	\$230,000	\$230,000
	Events		
8000 - 8106	National Events	\$788,202	\$788,202
8000 - 8106	Online National Events	\$100,000	\$100,000
8300 - 8303	International Events	\$0	\$82,400
	Events Total	\$888,202	\$970,602
	Total Operating Revenue	\$3,350,726	\$3,670,817
	Restricted Revenue		
8500, 8515	LMA / Reserve	\$45,000	\$45,000

**US Chess Budget
2021-2023**

4719	Women's Program	\$125,000	\$125,000
8505	Endowment Fund (Jacklyn)	\$7,500	\$7,500
	Other Program Support	\$10,000	\$35,000
	Total Restricted Revenue	\$187,500	\$212,500
	Total Revenue	\$3,538,226	\$3,883,317
	Operating Expenses		
	Membership		
	Membership Promotions	\$43,700	\$50,700
	Subtotal Membership Promotions	\$43,700	\$50,700
	Communications		
	Magazine Expenses		
5005	Magazine Printing	\$122,000	\$177,000
5010	Magazine Mailing	\$80,325	\$113,690
5015, 5025	Print Contracts	\$73,200	\$87,000
5030	Print Other	\$3,750	\$3,600
	Subtotal Magazine Expenses	\$279,275	\$381,290
	Online/Digital Expenses		
5105	Podcasts	\$3,868	\$4,000
5110	Web Site Development & Hosting	\$16,000	\$16,000
5120	Online Contributors	\$8,400	\$14,400
5125	Digital Archive	\$3,588	\$3,588
	Subtotal Digital Expenses	\$31,856	\$37,988
	Marketing & PR Expenses		
5300, 5305	Public Relations & Photography	\$10,000	\$10,000
5310	Marketing	\$500	\$500
	Subtotal Marketing/PR Expenses	\$10,500	\$10,500
	Communications Personnel Expenses		
5505	Salary	\$302,826	\$310,396
5510	Benefits	\$44,397	\$48,837
5515	Taxes	\$23,166	\$19,746
	Subtotal Personnel Expenses	\$370,389	\$378,979
	Misc. Communications		
5405	Training/Development	250	1300
5410	Memberships	-	400
5415	Subscriptions	-	400
5020	Intern (print + digital), 80 hours total	-	1200

**US Chess Budget
2021-2023**

5420	World Championship Coverage, if needed	5000	-
5425	Travel (US Open, State Meetings)	3200	3200
5430	Special Projects	\$8,000	\$2,000
	Subtotal Misc. Communications	\$16,450	\$8,500
	Subtotal Communications	\$708,470	\$817,257
	Admin & General		
6005, 6010, 6015, 6020	Travel (air, food, lodging, car, mileage)	\$21,750	\$28,500
6025	Postage	\$25,000	\$25,000
6030	Supplies	\$21,000	\$22,000
6036	Legal	\$35,000	\$35,000
6038	Audit	\$18,000	\$18,500
6035	CFO (Contract)	\$50,000	\$50,000
6040	IT Operations/Development	\$364,600	\$373,715
6045	Contractors	\$5,000	\$5,000
6050, 6055	Utilities	\$22,200	\$22,200
6060	General Liability/D&O Insurance	\$24,500	\$24,500
6065	Maintenance & Repairs	\$11,625	\$11,625
6075	Property Taxes	\$5,500	5500
6080	Computer Software & Maintenance	\$10,000	\$10,000
6085	Lease - Equipt.	\$6,000	\$6,000
6090	Depr. & Amortization	\$33,600	\$33,600
6100	Bank Charges	\$1,200	\$1,200
6105	Credit Card Charges	\$75,000	\$90,000
6110	Miscellaneous Expenses	\$12,000	\$12,000
	Subtotal Admin & General	\$741,975	\$774,340
	Admin Personnel Expenses		
6300	Salary	\$435,284	\$444,916
6310	Benefits	\$38,735	\$42,608
6305	Taxes	\$29,474	\$30,211
	Subtotal Admin Payroll	\$503,493	\$517,736
	Other Payroll Expenses		
6405	Cafeteria Plan	\$24,000	\$24,000
6400	ADP Payroll & Time	\$7,000	\$7,000
6410	401K Profit Sharing	\$30,454	\$31,178
6415	401K Employer Match	\$16,000	\$16,480
6420	401K Admin Fee	\$4,400	\$4,600
6425	Workers Compensation	\$3,000	\$3,000
	Subtotal Other Payroll	\$84,854	\$86,258
	Subtotal Admin	\$1,330,322	\$1,378,333

**US Chess Budget
2021-2023**

	Program Expenses		
	Events		
	National Events	\$583,586	\$583,586
	Online National Events	\$40,000	\$40,000
	International Events	\$112,700	\$416,300
	Subtotal Events	\$736,286	\$1,039,886
	Governance		
6505, 6510, 6515, 6	US Chess Governance	\$101,800	\$106,890
6520, 6525, 6600	FIDE Governance and Fees	\$28,000	\$28,000
	Subtotal Governance	\$129,800	\$134,890
	Womens		
7505, 7510, 7520	Womens Program Activities	\$121,000	\$136,500
	Subtotal Womens	\$121,000	\$136,500
	Other Programs		
7520, 7550	Program Committee Grants	\$0	\$25,000
	Jacklyn Fund (18-21)	\$7,500	\$7,500
7525, 7530, 7535, 7	At Risk Youth	\$10,000	\$10,000
	Subtotal Other Programs	\$17,500	\$42,500
	Programs Payroll		
7605	Salary	\$277,014	\$283,939
7610	Benefits	\$59,435	\$65,378
7615	Taxes	\$21,192	\$21,721
	Subtotal Programs Payroll	\$357,640	\$371,038
	Programs Subtotal	\$1,362,226	\$1,724,814
	Fundraising Expenses		
7005, 7030	Printing and Mailing	\$27,200	\$23,700
7010	Travel	\$3,560	\$7,120
7015, 7020, 7035, 7	Other Development	\$16,000	\$22,100
	Fundraising Subtotal	\$46,760	\$52,920
	Expenses Total	\$3,447,778	\$3,973,325
	Net Operating Income/(Loss)	\$90,448	-\$90,008
	Capital Expenses		
	IT Infrastructure	\$300,000	\$300,000
	Laptop Computers	\$5,000	\$5,000
		\$305,000	\$305,000